DATA MANAGEMENT AND SHARING PLAN

If any of the proposed research in the application involves the generation of scientific data, this application is subject to the NIH Policy for Data Management and Sharing and requires submission of a Data Management and Sharing Plan. If the proposed research in the application will generate large-scale genomic data, the Genomic Data Sharing Policy also applies and should be addressed in this Plan. Refer to the detailed instructions in the application guide for developing this plan as well as to additional guidance on [sharing.nih.gov](https://sharing.nih.gov/). The Plan is recommended not to exceed two pages. Text in italics should be deleted. There is no “form page” for the Data Management and Sharing Plan. The DMS Plan may be provided in the *format* shown below.

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0001 and 0925-0002). Do not return the completed form to this address.

**Element 1: Data Type**

1. **Types and amount of scientific data expected to be generated in the project:**

*Summarize the types and estimated amount of scientific data expected to be generated in the project,*

1. **Scientific data that will be preserved and shared, and the rationale for doing so:**

*Describe which scientific data from the project will be preserved and shared and provide the rationale for this decision.*

1. **Metadata, other relevant data, and associated documentation:**

*Briefly list the metadata, other relevant data, and any associated documentation (e.g., study protocols and data collection instruments) that will be made accessible to facilitate interpretation of the scientific data.*

**Element 2: Related Tools, Software and/or Code:**

***State whether specialized tools, software, and/or code are needed to access or manipulate shared scientific data, and if so, provide the name(s) of the needed tool(s) and software and specify how they can be accessed.***

**Element 3: Standards:**

***State what common data standards will be applied to the scientific data and associated metadata to enable interoperability of datasets and resources, and provide the name(s) of the data standards that will be applied and describe how these data standards will be applied to the scientific data generated by the research proposed in this project. If applicable, indicate that no consensus standards exist.***

**Element 4: Data Preservation, Access, and Associated Timelines**

1. **Repository where scientific data and metadata will be archived:**

*Provide the name of the repository(ies) where scientific data and metadata arising from the project will be archived;* *see* [*Selecting a Data Repository*](https://sharing.nih.gov/data-management-and-sharing-policy/sharing-scientific-data/selecting-a-data-repository)*).*

1. **How scientific data will be findable and identifiable:**

*Describe how the scientific data will be findable and identifiable, i.e., via a persistent unique identifier or other standard indexing tools.*

1. **When and how long the scientific data will be made available:**

*Describe when the scientific data will be made available to other users (i.e., no later than time of an associated publication or end of the performance period, whichever comes first) and for how long data will be available.*

**Element 5: Access, Distribution, or Reuse Considerations**

1. **Factors affecting subsequent access, distribution, or reuse of scientific data:***NIH expects that in drafting Plans, researchers maximize the appropriate sharing of scientific data. Describe and justify any applicable factors or data use limitations affecting subsequent access, distribution, or reuse of scientific data related to informed consent, privacy and confidentiality protections, and any other considerations that may limit the extent of data sharing. See* [*Frequently Asked Questions*](https://sharing.nih.gov/faqs#/data-management-and-sharing-policy.htm) *for examples of justifiable reasons for limiting sharing of data.*

1. **Whether access to scientific data will be controlled:** ***State whether access to the scientific data will be controlled (i.e., made available by a data repository only after approval).)***

1. **Protections for privacy, rights, and confidentiality of human research participants:**

*If generating scientific data derived from humans, describe how the privacy, rights, and confidentiality of human research participants will be protected (e.g., through de-identification, Certificates of Confidentiality, and other protective measures).*

**Element 6: Oversight of Data Management and Sharing:**

*Describe how compliance with this Plan will be monitored and managed, frequency of oversight, and by whom at your institution (e.g., titles, roles).*

The Office of the Executive Vice President & Chief Academic Officer (EVP/CAO) and The Office of Data Science (ODS) at UTHealth Houston will provide joint institutional oversight for the DMS plan. Datasets resulting from this research will be cataloged with in the institutional DEPUT. DEPUT is the institutional oversight management portal supported by UTHealth Houston for DMS validation and tracking*.*Project Contact PI will update data status in DEPUT, and the institutional office of Sponsored Projects Administration (SPA) will perform annual validation according to the DMS plan. Validation results will be maintained in DEPUT. Noncompliance with the DMS plan will be identified with appropriate correcting measures implemented. The PI will have overall responsibility for compliance with data collection, storage, and safety protocols.