

# THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON

## ANNUAL FINANCIAL REPORT

(WITH DETAILED SUPPORTIVE SCHEDULES)

UNAUDITED

FISCAL YEAR ENDED AUGUST 31, 2017



The University of Texas at Arlington ♦ The University of Texas at Austin ♦ The University of Texas at Dallas  
♦ The University of Texas at El Paso ♦ The University of Texas of the Permian Basin ♦ The University of Texas  
Rio Grande Valley ♦ The University of Texas at San Antonio ♦ The University of Texas at Tyler ♦ The University  
of Texas Southwestern Medical Center ♦ The University of Texas Medical Branch at Galveston ♦ The University  
of Texas Health Science Center at Houston ♦ The University of Texas Health Science Center at San Antonio ♦  
The University of Texas M. D. Anderson Cancer Center ♦ The University of Texas Health Science Center at  
Tyler ♦ The University of Texas System Administration



**THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON**  
**FINANCIAL STATEMENTS**  
**(WITH DETAILED SUPPORTIVE SCHEDULES)**

Presented herein are the financial statements with detailed supportive schedules for The University of Texas Health Science Center at Houston for the year ended August 31, 2017. These Statements and detailed supportive schedules have been prepared in compliance with applicable State statutes, Governmental Accounting Standards Board pronouncements, and the Texas Comptroller of Public Accounts' Annual Financial Reporting Requirements.

This "detailed internal report" supplements the consolidated published Annual Financial Report of The University of Texas System and is intended to be for limited distribution primarily to financial and academic officers of the University who need access to the details included herein. It also provides an historical record of transactions relating to this particular institution.

The Annual Financial Report for public distribution for The University of Texas System includes primary statements on a consolidated System-wide basis, including footnotes and Managements' Discussion and Analysis.



**THE UNIVERSITY OF TEXAS SYSTEM**  
**BOARD OF REGENTS**  
**As of August 31, 2017**

**Officers**

Paul L. Foster, Chairman  
R. Steven “Steve” Hicks, Vice Chairman  
Jeffery D. Hildebrand, Vice Chairman  
Francie A. Frederick, General Counsel to the Board of Regents

**Members**

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*Terms scheduled to expire February 1, 2019\**

|                       |         |
|-----------------------|---------|
| Paul L. Foster        | El Paso |
| Jeffery D. Hildebrand | Houston |
| Ernest Aliseda        | McAllen |

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*Terms scheduled to expire February 1, 2021\**

|                         |         |
|-------------------------|---------|
| R. Steven “Steve” Hicks | Austin  |
| David J. Beck           | Houston |
| Sara Martinez Tucker    | Dallas  |

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*Terms scheduled to expire February 1, 2023\**

|                           |             |
|---------------------------|-------------|
| Janiece Longoria          | Houston     |
| Kevin P. Eltife           | Tyler       |
| James Conrad “Rad” Weaver | San Antonio |

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*Term scheduled to expire May 31, 2018\**

|                                |             |
|--------------------------------|-------------|
| Jaciel Castro (Student Regent) | San Antonio |
|--------------------------------|-------------|

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\*Each Regent’s term expires when a successor has been appointed, qualified, and taken the oath of office. The Student Regent serves a one-year term.



**THE UNIVERSITY OF TEXAS SYSTEM**  
**SENIOR ADMINISTRATIVE OFFICIALS**  
As of August 31, 2017

\*\*\*\*\*

William H. McRaven, Chancellor

David E. Daniel, Deputy Chancellor

Raymond S. Greenberg, Executive Vice Chancellor for Health Affairs

Scott C. Kelley, Executive Vice Chancellor for Business Affairs

Steven W. Leslie, Executive Vice Chancellor for Academic Affairs

Stephanie A. Bond Huie, Vice Chancellor for Strategic Initiatives

Barry R. McBee, Vice Chancellor and Chief Governmental Relations Officer

Randa S. Safady, Vice Chancellor for External Relations

Daniel H. Sharphorn, Vice Chancellor and General Counsel

William H. Shute, Vice Chancellor for Federal Relations

Amy Shaw Thomas, Vice Chancellor for Academic and Health Affairs

Thomas Britton "Britt" Harris IV, Chief Executive Officer and Chief Investment Officer–UTIMCO

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## PRIMARY SCHEDULES

The University of Texas Health Science Center at Houston  
 Exhibit A Statement of Net Position  
 As of August 31, 2017

|  | Educational and<br>General | Designated            | Auxiliary Enterprises | Restricted<br>Expendable | Loan Funds           |
|--|----------------------------|-----------------------|-----------------------|--------------------------|----------------------|
| <b>ASSETS AND DEFERRED OUTFLOWS</b>  |                            |                       |                       |                          |                      |
| <b>Current Assets:</b>   |                            |                       |                       |                          |                      |
| Cash & Cash Equivalents  | \$ 20,284,486.26           | 119,500,500.10        | 5,504,696.14          |                          |                      |
| Restricted Cash & Cash Equivalents   |                            | 1,535,804.71          |                       | 8,202,973.53             | 725,747.15           |
| Balance in State Appropriations  | 9,604,103.27               |                       |                       |                          |                      |
| <b>Accounts Receivable, Net:</b>   |                            |                       |                       |                          |                      |
| Federal (allow. \$0.00 in 2017 & \$0.00 in 2016)                             |                            | 3,592,729.00          |                       | 16,589,931.18            |                      |
| Other Intergov. (allow. \$81,541.27 in 2017 & \$27,138.94 in 2016)           | 1,225,735.09               | 16,565,558.14         |                       | 13,765,160.64            |                      |
| Student (allow. \$825,527.43 in 2017 & \$792,915.92 in 2016)                 | 15,870,325.06              |                       |                       |                          |                      |
| Patient & Healthcare(allow. \$5,460,760.82 in 2017 & \$6,587,765.95 in 2016) | 1,173,213.03               | 52,110,971.27         |                       |                          |                      |
| Interest and Dividend Receivables  | 1,271,613.15               | 202,393.96            |                       |                          |                      |
| Contributions (allow. \$95,833.27 in 2017 & \$112,390.13 in 2016)            |                            |                       |                       | 3,909,209.67             |                      |
| Other (allow. \$141,541.06 in 2017 & \$88,395.82 in 2016)                    | 381,770.24                 | 28,598,020.28         | 383,937.03            | 8,478,694.07             |                      |
| Due From System Administration   |                            | 9,739,935.18          |                       | 25,202.21                |                      |
| Due From Other Institutions  |                            |                       |                       | 537,820.96               |                      |
| Due From Other Agencies  |                            | 21,904.00             |                       | 2,669,949.35             |                      |
| Inventories  | 143,311.03                 | 576,254.53            |                       |                          |                      |
| Loans & Contracts (allow. \$255,283.43 in 2017 & \$267,635.40 in 2016)       |                            |                       |                       |                          | 5,277,000.95         |
| Other Current Assets   | 125,395.03                 | 3,873,527.67          | 18,960.18             |                          |                      |
| <b>Total Current Assets</b>  | <b>50,079,952.16</b>       | <b>236,317,598.84</b> | <b>5,907,593.35</b>   | <b>54,178,941.61</b>     | <b>6,002,748.10</b>  |
| <b>Noncurrent Assets:</b>  |                            |                       |                       |                          |                      |
| Restricted Investments   |                            |                       |                       | 57,870,315.27            | 5,117,344.32         |
| Loans & Contracts (allow. \$519,279.19 in 2017 & \$493,196.54 in 2016)       |                            |                       |                       |                          | 6,212,864.57         |
| Funds Held by System Administration (Restricted)                             |                            |                       |                       |                          |                      |
| Contributions Rec. (allow. \$58,652.48 in 2017 & \$93,865.99 in 2016)        |                            |                       |                       | 2,388,300.83             |                      |
| Unrestricted Investments   | 84,738,348.68              | 335,656,288.35        | 38,814,379.69         |                          |                      |
| Other Noncurrent Assets  | 13,225,362.00              | 1,754,760.17          |                       |                          |                      |
| <b>Gross Capital/Intangible Assets</b>                                       |                            |                       |                       |                          |                      |
| Accumulated Depreciation/Amortization  |                            |                       |                       |                          |                      |
| <b>Total Noncurrent Assets</b>   | <b>97,963,710.68</b>       | <b>337,411,048.52</b> | <b>38,814,379.69</b>  | <b>60,258,616.10</b>     | <b>11,330,208.89</b> |
| <b>Total Assets</b>  | <b>148,043,662.84</b>      | <b>573,728,647.36</b> | <b>44,721,973.04</b>  | <b>114,437,557.71</b>    | <b>17,332,956.99</b> |
| <b>Total Assets and Deferred Outflows</b>                                    | <b>148,043,662.84</b>      | <b>573,728,647.36</b> | <b>44,721,973.04</b>  | <b>114,437,557.71</b>    | <b>17,332,956.99</b> |
| <b>LIABILITIES AND DEFERRED INFLOWS</b>                                      |                            |                       |                       |                          |                      |
| <b>Current Liabilities:</b>  |                            |                       |                       |                          |                      |
| Accounts Payable and Accrued Liabilities                                     | 34,960,975.36              | 37,186,517.83         | 595,719.60            |                          |                      |
| Salaries Payable   | 8,807,126.72               | 24,290,508.80         | 99,357.23             | 2,735,103.76             |                      |
| Federal Payables   |                            |                       |                       |                          |                      |
| Due To System Administration   | 9,247,178.45               | 12,463.95             |                       | 25,680.33                |                      |
| Due To Other Institutions  |                            | 22,973.74             |                       | 1,253,909.32             |                      |
| Due To Other State Agencies  |                            | 42,540.64             |                       | 153,949.45               |                      |
| Unearned Revenue   | 23,733,737.42              | 24,201,391.61         | 862,106.74            | 16,332,818.68            |                      |
| Employees' Compensable Leave - Current Portion                               | 6,746,102.96               | 29,108,265.27         | 146,782.45            |                          |                      |
| Notes, Loans, and Leases Payable   |                            |                       |                       |                          |                      |
| Payable From Restricted Assets   |                            |                       |                       | 2,717,793.26             | 125,215.32           |
| Assets Held for Others   |                            |                       |                       |                          |                      |
| Other Current Liabilities  | 706,221.39                 | 69,747,637.85         | 285,166.07            |                          |                      |
| <b>Total Current Liabilities</b>   | <b>84,201,342.30</b>       | <b>184,612,299.69</b> | <b>1,989,132.09</b>   | <b>23,219,254.80</b>     | <b>125,215.32</b>    |
| <b>Noncurrent Liabilities:</b>   |                            |                       |                       |                          |                      |
| Employees' Compensable Leave   | 5,181,236.09               | 21,707,139.71         | 112,733.90            |                          |                      |
| Assets Held for Others   |                            |                       |                       |                          |                      |
| Liability to Beneficiaries   |                            |                       |                       |                          |                      |
| Notes, Loans, and Leases Payable   |                            |                       |                       |                          |                      |
| Other Noncurrent Liabilities   |                            | 424,000.00            | 6,600.00              |                          |                      |
| <b>Total Noncurrent Liabilities</b>  | <b>5,181,236.09</b>        | <b>22,131,139.71</b>  | <b>119,333.90</b>     |                          |                      |
| <b>Total Liabilities</b>   | <b>89,382,578.39</b>       | <b>206,743,439.40</b> | <b>2,108,465.99</b>   | <b>23,219,254.80</b>     | <b>125,215.32</b>    |
| <b>Total Liabilities and Deferred Inflows</b>                                | <b>89,382,578.39</b>       | <b>206,743,439.40</b> | <b>2,108,465.99</b>   | <b>23,219,254.80</b>     | <b>125,215.32</b>    |
| <b>NET POSITION</b>  |                            |                       |                       |                          |                      |
| <b>Net Investment in Capital Assets</b>                                      |                            |                       |                       |                          |                      |
| <b>Restricted for:</b>   |                            |                       |                       |                          |                      |
| <b>Nonexpendable</b>   |                            |                       |                       |                          |                      |
| Permanent Health, True Endowments, and Annuities                             |                            |                       |                       |                          |                      |
| <b>Expendable</b>  |                            |                       |                       |                          |                      |
| Capital Projects   |                            |                       |                       |                          |                      |
| Funds Functioning as Endowment (Restricted)                                  |                            |                       |                       |                          |                      |
| Other Expendable   |                            | 1,535,804.71          |                       | 91,218,302.91            | 17,207,741.67        |
| Unrestricted   | 58,661,084.45              | 365,449,403.25        | 42,613,507.05         |                          |                      |
| <b>Total Net Position</b>  | <b>\$ 58,661,084.45</b>    | <b>366,985,207.96</b> | <b>42,613,507.05</b>  | <b>91,218,302.91</b>     | <b>17,207,741.67</b> |

| Endowment and Similar<br>Funds - State<br>Permanent Health Fund | Endowment and<br>Similar Funds - Other<br>Than State | Annuity and Life<br>Income Funds | Unexpended Plant<br>Funds | Investment in Plant | Agency Funds | Current Year<br>Totals | Prior Year<br>Totals |
|---|--|----------------------------------|---------------------------|---------------------|--------------|------------------------|----------------------|
|   |  |                                  | 3,970,073.27              |                     |              | 149,259,755.77         | 99,986,231.82        |
|   |  |                                  |                           |                     | 1,735,613.13 | 12,200,138.52          | 8,731,319.33         |
|   |  |                                  |                           |                     |              | 9,604,103.27           | 10,705,416.79        |
|   |  |                                  |                           |                     |              | 20,182,660.18          | 24,961,514.89        |
|   |  |                                  |                           |                     |              | 31,556,453.87          | 39,884,551.48        |
|   |  |                                  |                           |                     | 274,221.09   | 16,144,546.15          | 15,155,390.74        |
|   |  |                                  |                           |                     |              | 53,284,184.30          | 44,385,237.97        |
|   |  |                                  |                           |                     |              | 1,474,007.11           | 1,313,474.56         |
|   |  |                                  |                           |                     |              | 3,909,209.67           | 4,584,593.58         |
|   |  |                                  |                           |                     | 1,949,061.87 | 39,791,483.49          | 40,559,553.58        |
|   |  |                                  | 43,112,901.07             |                     |              | 52,878,038.46          | 11,163,870.20        |
|   |  |                                  |                           |                     |              | 537,820.96             | 1,428,428.89         |
|   |  |                                  |                           |                     |              | 2,691,853.35           | 5,501,813.95         |
|   |  |                                  |                           |                     |              | 719,565.56             | 558,182.81           |
|   |  |                                  |                           |                     |              | 5,277,000.95           | 5,228,086.79         |
|   |  |                                  |                           |                     |              | 4,017,882.88           | 4,610,435.96         |
|   |  |                                  | 47,082,974.34             |                     | 3,958,896.09 | 403,528,704.49         | 318,758,103.34       |
|   |  |                                  |                           |                     |              | 62,987,659.59          | 54,454,310.26        |
|   |  |                                  |                           |                     |              | 6,212,864.57           | 5,900,582.75         |
| 34,897,650.00   | 350,923,924.30                                       | 401,700.96                       | 4,238.27                  |                     |              | 386,223,275.26         | 336,474,321.03       |
|   |  |                                  | 27,993,539.93             |                     |              | 2,392,539.10           | 3,828,961.06         |
|   |  |                                  |                           |                     |              | 487,202,556.65         | 463,525,813.04       |
|   |  |                                  |                           |                     |              | 14,980,122.17          | 13,425,206.85        |
|   |  |                                  |                           | 1,244,182,456.74    |              | 1,244,182,456.74       | 1,182,454,628.29     |
|   |  |                                  |                           | (660,174,066.46)    |              | (660,174,066.46)       | (605,651,004.27)     |
| 34,897,650.00   | 350,923,924.30                                       | 401,700.96                       | 27,997,778.20             | 584,008,390.28      |              | 1,544,007,407.62       | 1,454,412,819.01     |
| 34,897,650.00   | 350,923,924.30                                       | 401,700.96                       | 75,080,752.54             | 584,008,390.28      | 3,958,896.09 | 1,947,536,112.11       | 1,773,170,922.35     |
| 34,897,650.00   | 350,923,924.30                                       | 401,700.96                       | 75,080,752.54             | 584,008,390.28      | 3,958,896.09 | 1,947,536,112.11       | 1,773,170,922.35     |
|   |  |                                  | 4,909,603.30              |                     |              | 77,652,816.09          | 69,255,717.43        |
|   |  |                                  |                           |                     |              | 35,932,096.51          | 33,535,815.26        |
|   |  |                                  |                           |                     |              |                        | 8,443,013.00         |
|   |  |                                  |                           |                     |              | 9,285,322.73           | 8,223,252.48         |
|   |  |                                  |                           |                     |              | 1,276,883.06           | 2,580,742.15         |
|   |  |                                  |                           |                     |              | 196,490.09             | 209,756.88           |
|   |  |                                  |                           |                     |              | 65,130,054.45          | 62,566,323.46        |
|   |  |                                  |                           |                     |              | 36,001,150.68          | 31,857,062.63        |
|   |  |                                  |                           |                     | 674,526.20   | 3,517,534.78           | 1,617,334.13         |
|   |  |                                  |                           |                     |              | 70,739,025.31          | 44,349,711.59        |
|   |  |                                  | 4,909,603.30              |                     | 674,526.20   | 299,731,373.70         | 262,638,729.01       |
|   |  |                                  |                           |                     |              | 27,001,109.70          | 25,478,523.68        |
|   |  |                                  |                           |                     | 3,284,369.89 | 3,284,369.89           | 2,857,688.41         |
|   |  | 212,738.96                       |                           |                     |              | 212,738.96             | 212,873.41           |
|   |  |                                  |                           |                     |              | 430,600.00             | 430,600.00           |
|   |  |                                  | 212,738.96                |                     | 3,284,369.89 | 30,928,818.55          | 28,979,685.50        |
|   |  |                                  | 212,738.96                | 4,909,603.30        | 3,958,896.09 | 330,660,192.25         | 291,618,414.51       |
|   |  |                                  | 212,738.96                | 4,909,603.30        | 3,958,896.09 | 330,660,192.25         | 291,618,414.51       |
|   |  |                                  |                           | 584,008,390.28      |              | 584,008,390.28         | 576,803,624.02       |
| 25,000,000.00   | 193,581,475.85                                       | 188,962.00                       |                           |                     |              | 218,770,437.85         | 193,565,760.80       |
|   |  |                                  | 36,920,787.36             |                     |              | 36,920,787.36          | 2,495,458.02         |
|   | 26,003,967.67  |                                  |                           |                     |              | 26,003,967.67          | 22,483,463.51        |
| 9,897,650.00  | 55,005,478.62  |                                  |                           |                     |              | 174,864,977.91         | 152,218,170.37       |
|   | 76,333,002.16  |                                  | 33,250,361.88             |                     |              | 576,307,358.79         | 533,986,031.12       |
| 34,897,650.00   | 350,923,924.30                                       | 188,962.00                       | 70,171,149.24             | 584,008,390.28      |              | 1,616,875,919.86       | 1,481,552,507.84     |

The University of Texas Health Science Center at Houston  
Exhibit B Statement of Revenues, Expenses, and Changes in Net Position  
For the Year Ended August 31, 2017

|   | Educational and<br>General | Designated         | Auxiliary<br>Enterprises | Restricted<br>Expendable | Loan Funds    | Endowment and<br>Similar Funds -<br>State Permanent<br>Health Fund |
|---|----------------------------|--------------------|--------------------------|--------------------------|---------------|--|
| Operating Revenues:   |                            |                    |                          |                          |               |  |
| Student Tuition and Fees  | \$ 23,957,503.48           | 28,454,024.15      | 2,163,321.29             |                          |               |  |
| Discounts and Allowances  | (432,766.03)               | (514,028.16)       | (39,067.26)              |                          |               |  |
| Federal Sponsored Programs  |                            | 33,805,143.92      |                          | 99,548,350.89            |               |  |
| Federal Sponsored Programs Pass-Through from State Agencies                 |                            | 1,895,622.91       |                          | 18,703,596.49            |               |  |
| State Sponsored Programs Pass-Through from State Agencies                   | 5,669,644.39               | 599,431.27         |                          | 16,157,552.16            |               |  |
| Local Sponsored Programs  |                            | 392,474,286.51     |                          | 17,330,332.22            |               |  |
| Private Sponsored Programs  |                            | 69,551,404.64      |                          | 68,594,285.96            |               |  |
| Sales and Services of Educational Activities                                | 6,032,334.29               | 16,136,089.62      |                          | 16,055,431.31            |               |  |
| Sales and Services of Hospitals   | 107,273,046.63             | 23,281,842.09      |                          |                          |               |  |
| Discounts and Allowances Sales and Services of Hospitals                    | (61,675,054.92)            |                    |                          |                          |               |  |
| Professional Fees (Patient Charges)   |                            | 1,396,370,718.88   |                          |                          |               |  |
| Discounts and Allowances Professional Fees (Patient Charges)                |                            | (1,045,842,583.36) |                          |                          |               |  |
| Auxiliary Enterprises   |                            |                    | 27,399,035.45            |                          |               |  |
| Other Operating Revenues  | 662,784.12                 | 56,990,155.22      |                          | 1,065,137.86             | 188,321.77    |  |
| Total Operating Revenues  | 81,487,491.96              | 973,202,107.69     | 29,523,289.48            | 237,454,686.89           | 188,321.77    |  |
| Operating Expenses:   |                            |                    |                          |                          |               |  |
| Instruction   | 108,657,672.60             | 558,047,262.29     |                          | 11,840,437.67            |               |  |
| Research  | 21,894,367.46              | 20,643,504.18      |                          | 151,936,371.04           |               |  |
| Public Service  | 232,091.26                 | 4,002,784.44       |                          | 28,689,901.17            |               |  |
| Hospitals / Clinics   | 54,670,289.32              | 355,115,482.11     |                          | 61,191.43                |               |  |
| Academic Support  | 27,660,224.91              | 22,849,749.26      |                          | 2,495,099.80             |               |  |
| Student Services  | 2,243,033.68               | 5,654,132.96       |                          | 1,518,031.81             | 85,760.98     |  |
| Institutional Support   | 32,535,573.42              | 38,125,106.17      |                          | 1,916,590.20             |               |  |
| Operations and Maintenance of Plant   | 23,657,804.47              | 13,260,469.48      |                          | 1,945.03                 |               |  |
| Scholarships and Fellowships  | 110,614.45                 | 2,971,099.00       |                          | 5,756,583.82             |               |  |
| Auxiliary Enterprises   |                            |                    | 17,523,355.13            |                          |               |  |
| Depreciation and Amortization   |                            |                    |                          |                          |               |  |
| Total Operating Expenses  | 271,661,671.57             | 1,020,669,589.89   | 17,523,355.13            | 204,216,151.97           | 85,760.98     |  |
| Operating Income (Loss)   | (190,174,179.61)           | (47,467,482.20)    | 11,999,934.35            | 33,238,534.92            | 102,560.79    |  |
| Nonoperating Revenues (Expenses):   |                            |                    |                          |                          |               |  |
| State Appropriations  | 210,796,835.78             |                    |                          |                          |               |  |
| Federal Nonexchange Sponsored Programs                                      |                            |                    |                          | 689,485.05               |               |  |
| Federal Nonexchange Pass-Through  |                            |                    |                          |                          |               |  |
| State Nonexchange Pass-Through  |                            |                    |                          |                          |               |  |
| Gift Contributions for Operations   |                            |                    |                          | 21,999,625.16            |               |  |
| Investment Income   | 3,145,432.05               | 23,675,330.91      | 1,073,675.23             | 16,055,336.78            | 161,367.59    |  |
| Net Increase (Decrease) in Fair Value of Investments                        |                            | 23,036,907.73      |                          | 5,529.88                 |               | 2,405,350.00   |
| Gain (Loss) on Sale of Capital Assets                                       |                            |                    |                          |                          |               |  |
| Other Nonoperating (Expenses)   |                            |                    |                          |                          |               |  |
| Net Nonoperating Revenues (Expenses)  | 213,942,267.83             | 46,712,238.64      | 1,073,675.23             | 38,749,976.87            | 161,367.59    | 2,405,350.00   |
| Income/(Loss) Before Other Revenue, Expenses, Gains/(Losses), and Transfers | 23,768,088.22              | (755,243.56)       | 13,073,609.58            | 71,988,511.79            | 263,928.38    | 2,405,350.00   |
| Gifts and Sponsored Programs for Capital Acquisitions                       | 3,318,975.49               |                    |                          |                          |               |  |
| Additions to Permanent Endowments / Annuities                               |                            |                    |                          | 749,814.55               |               |  |
| Reclass from/(to) Other Institutions  |                            |                    |                          |                          |               |  |
| Capital Asset Purchases   | (432,024.45)               | (12,191,677.92)    | (1,012,650.07)           | (2,770,738.43)           |               |  |
| Transactions Between Funds  |                            | 80,024.60          |                          |                          |               |  |
| Transfers Between Institutions & System, Debt Service - Mandatory           | (18,749,811.00)            | (8,270,914.02)     | (4,564,464.83)           |                          |               |  |
| Transfers Between Institutions & System Admin. - Non mandatory              |                            | 1,059,301.05       |                          | 2,480,507.90             |               |  |
| Transfers From Other State Agencies   | 6,225,594.00               | 21,904.00          |                          |                          |               |  |
| Transfers to Other State Agencies   |                            | (44,492.38)        |                          |                          |               |  |
| Legislative Appropriations Lapsed   |                            |                    |                          |                          |               |  |
| Transfers Between Funds   | (7,657,475.37)             | 48,302,548.31      | 2,669,468.48             | (69,112,799.44)          | 1,078.92      |  |
| Change in Net Position  | 6,473,346.89               | 28,201,450.08      | 10,165,963.16            | 3,335,296.37             | 265,007.30    | 2,405,350.00   |
| Beginning Net Position  | 52,187,737.56              | 338,783,757.88     | 32,447,543.89            | 87,883,006.54            | 16,942,734.37 | 32,492,300.00  |
| Restatements  |                            |                    |                          |                          |               |  |
| Beginning Net Position (As Restated)  | 52,187,737.56              | 338,783,757.88     | 32,447,543.89            | 87,883,006.54            | 16,942,734.37 | 32,492,300.00  |
| Ending Net Position   | \$ 58,661,084.45           | 366,985,207.96     | 42,613,507.05            | 91,218,302.91            | 17,207,741.67 | 34,897,650.00  |

| Endowment and<br>Similar Funds -<br>Other Than State | Annuity and Life<br>Income Funds | Unexpended Plant<br>Funds | Investment in Plant   | Current Year<br>Totals  | Prior Year<br>Totals    |
|--|----------------------------------|---------------------------|-----------------------|-------------------------|-------------------------|
|  |                                  |                           |                       | 54,574,848.92           | 51,089,294.52           |
|  |                                  |                           |                       | (985,861.45)            | (1,270,779.64)          |
|  |                                  |                           |                       | 133,353,494.81          | 128,628,993.07          |
|  |                                  |                           |                       | 20,599,219.40           | 20,572,554.61           |
|  |                                  |                           |                       | 22,426,627.82           | 15,604,507.69           |
|  |                                  |                           |                       | 409,804,618.73          | 390,831,288.38          |
|  |                                  |                           |                       | 138,145,690.60          | 140,629,662.92          |
|  |                                  |                           |                       | 38,223,855.22           | 43,003,750.31           |
|  |                                  |                           |                       | 130,554,888.72          | 137,434,685.59          |
|  |                                  |                           |                       | (61,675,054.92)         | (63,880,462.14)         |
|  |                                  |                           |                       | 1,396,370,718.88        | 1,381,108,442.11        |
|  |                                  |                           |                       | (1,045,842,583.36)      | (1,040,970,788.88)      |
|  |                                  |                           |                       | 27,399,035.45           | 25,318,293.73           |
|  |                                  |                           |                       | <u>58,906,398.97</u>    | <u>62,467,873.64</u>    |
|  |                                  |                           |                       | 1,321,855,897.79        | 1,290,567,315.91        |
|  |                                  |                           |                       | 678,545,372.56          | 623,523,017.25          |
|  |                                  |                           |                       | 194,474,242.68          | 183,156,923.17          |
|  |                                  |                           |                       | 32,924,776.87           | 34,757,925.84           |
|  |                                  |                           |                       | 409,846,962.86          | 389,596,698.70          |
|  |                                  |                           |                       | 53,005,073.97           | 50,137,972.36           |
|  |                                  |                           |                       | 9,500,959.43            | 9,764,745.10            |
|  |                                  |                           |                       | 72,577,269.79           | 68,420,070.52           |
|  |                                  | 2,707,950.31              |                       | 39,628,169.29           | 37,999,085.72           |
|  |                                  |                           |                       | 8,838,297.27            | 8,676,561.00            |
|  |                                  |                           |                       | 17,523,355.13           | 16,508,003.26           |
|  |                                  |                           | 62,441,826.83         | <u>62,441,826.83</u>    | <u>64,757,343.12</u>    |
|  |                                  | 2,707,950.31              | 62,441,826.83         | 1,579,306,306.68        | 1,487,298,346.04        |
|  |                                  | (2,707,950.31)            | (62,441,826.83)       | (257,450,408.89)        | (196,731,030.13)        |
|  |                                  |                           |                       | 210,796,835.78          | 207,148,703.12          |
|  |                                  |                           |                       | 689,485.05              | 999,265.13              |
|  |                                  |                           |                       | 21,999,625.16           | 22,788,882.10           |
| 185,991.12   | 11,720.99                        | 828,652.97                |                       | 45,137,507.64           | 35,111,189.66           |
| 22,725,725.19  | 23,270.36                        |                           | (503,355.48)          | 48,196,783.16           | (510,547.95)            |
|  |                                  |                           |                       | (503,355.48)            | (858,980.16)            |
|  | (21,182.35)                      |                           |                       | (21,182.35)             | (18,759.16)             |
| <u>22,911,716.31</u>                                 | <u>13,809.00</u>                 | <u>828,652.97</u>         | <u>(503,355.48)</u>   | <u>326,295,698.96</u>   | <u>264,659,752.74</u>   |
| 22,911,716.31  | 13,809.00                        | (1,879,297.34)            | (62,945,182.31)       | 68,845,290.07           | 67,928,722.61           |
|  |                                  | (10,487.10)               |                       | 3,308,488.39            | 474,267.56              |
| 13,111,086.33  |                                  | (75,915,449.07)           |                       | 13,860,900.88           | 18,115,650.38           |
|  |                                  | (53,822,882.30)           | 70,229,973.17         | (75,915,449.07)         | (5,280,202.33)          |
|  |                                  |                           | (80,024.60)           |                         |                         |
|  |                                  | (294,196.43)              |                       | (31,879,386.28)         | (28,637,431.06)         |
|  |                                  | 147,360,753.46            |                       | 150,900,562.41          | 26,718,972.73           |
|  |                                  |                           |                       | 6,247,498.00            | 58,988.33               |
|  |                                  |                           |                       | (44,492.38)             | (41,692.43)             |
| <u>11,307,127.04</u>                                 |                                  | <u>14,490,052.06</u>      |                       |                         |                         |
| <u>47,329,929.68</u>                                 | <u>13,809.00</u>                 | <u>29,928,493.28</u>      | <u>7,204,766.26</u>   | <u>135,323,412.02</u>   | <u>79,337,275.79</u>    |
| 303,593,994.62                                       | 175,153.00                       | 40,242,655.96             | 576,803,624.02        | 1,481,552,507.84        | 1,398,634,775.05        |
|  |                                  |                           |                       |                         | <u>3,580,457.00</u>     |
| <u>303,593,994.62</u>                                | <u>175,153.00</u>                | <u>40,242,655.96</u>      | <u>576,803,624.02</u> | <u>1,481,552,507.84</u> | <u>1,402,215,232.05</u> |
| <u>350,923,924.30</u>                                | <u>188,962.00</u>                | <u>70,171,149.24</u>      | <u>584,008,390.28</u> | <u>1,616,875,919.86</u> | <u>1,481,552,507.84</u> |

The University of Texas Health Science Center at Houston  
 Exhibit C - Statement of Cash Flows  
 For the Year Ended August 31, 2017

|  | Current Year Totals        | Prior Year Totals          |
|--|----------------------------|----------------------------|
| Cash Flows from Operating Activities:                                |                            |                            |
| Proceeds from Tuition and Fees                                       | 55,454,630.45              | 56,933,506.95              |
| Proceeds from Patients and Customers                                 | 410,509,022.99             | 410,016,793.96             |
| Proceeds from Sponsored Programs                                     | 742,241,215.14             | 668,444,702.02             |
| Proceeds from Auxiliaries  | 26,671,086.89              | 25,396,409.13              |
| Proceeds from Other Revenues   | 123,104,527.94             | 110,542,122.52             |
| Payments to Suppliers  | (380,490,349.07)           | (378,853,301.33)           |
| Payments to Employees  | (1,129,524,000.59)         | (1,027,595,602.52)         |
| Payments for Loans Provided  | (2,629,859.32)             | (2,478,376.80)             |
| Proceeds from Loan Programs  | 2,268,663.34               | 2,800,555.78               |
| Net Cash Provided (Used) by Operating Activities                     | <u>(152,395,062.23)</u>    | <u>(134,793,190.29)</u>    |
| Cash Flows from Noncapital Financing Activities:                     |                            |                            |
| Proceeds from State Appropriations                                   | 211,898,149.30             | 201,662,628.85             |
| Proceeds from Operating Gifts  | 24,084,943.93              | 23,845,503.51              |
| Proceeds from Private Gifts for Endowment and Annuity Life Purposes  | 13,860,900.88              | 18,115,650.38              |
| Proceeds from Other Nonoperating Revenues                            | 22,973.74                  | (103,562.50)               |
| Receipts for Transfers from System or Other Agencies                 | 11,415,780.40              | 14,101,330.21              |
| Payments for Transfers to System or Other Agencies                   | (3,836,777.12)             | (180,443.70)               |
| Proceeds from Nonexchange Sponsored Programs                         | 999,265.13                 | 429,946.00                 |
| Net Cash Provided by Noncapital Financing Activities                 | <u>258,445,236.26</u>      | <u>257,871,052.75</u>      |
| Cash Flows from Capital and Related Financing Activities:            |                            |                            |
| Nonmandatory Capital Related Transfers                               | 31,900,702.90              | 3,938,015.42               |
| Proceeds from Capital Appropriations, Grants, and Gifts              | 3,334,975.49               | 534,823.16                 |
| Proceeds from Sale of Capital Assets                                 | 80,024.60                  | 268,231.18                 |
| Payments for Additions to Capital Assets                             | (66,376,300.95)            | (68,015,424.61)            |
| Mandatory Transfers to System for Capital Related Debt               | (31,879,386.28)            | (28,637,431.06)            |
| Net Cash Provided (Used) by Capital and Related Financing Activities | <u>(62,939,984.24)</u>     | <u>(91,911,785.91)</u>     |
| Cash Flows from Investing Activities                                 |                            |                            |
| Proceeds from Sales of Investments                                   | -                          | 569,867.75                 |
| Proceeds from Sales of Investments Invested by System                | -                          | -                          |
| Proceeds from Interest and Investment Income                         | 2,632,958.54               | 1,983,534.34               |
| Proceeds from Interest and Investment Income Invested by System      | 42,316,944.27              | 33,001,622.32              |
| Payments to Acquire Investments                                      | -                          | (893,112.39)               |
| Payments to Acquire Investments Invested by System                   | (35,317,749.46)            | (60,704,625.05)            |
| Net Cash Provided (Used) by Investing Activities                     | <u>9,632,153.35</u>        | <u>(26,042,713.03)</u>     |
| Net Increase (Decrease) in Cash                                      | 52,742,343.14              | 5,123,363.52               |
| Cash and Cash Equivalents (Beginning of the Year)                    | 108,717,551.15             | 103,594,187.63             |
| Cash and Cash Equivalents (End of the Year)                          | <u>\$ 161,459,894.29</u>   | <u>\$ 108,717,551.15</u>   |
| Reconciliation of Net Operating Revenues (Expenses) to Net Cash      |                            |                            |
| Provided (Used) by Operating Activities                              |                            |                            |
| Operating Income (Loss)  | (257,450,408.89)           | (196,731,030.13)           |
| Adjustments to Reconcile Operating Results to Net Cash:              |                            |                            |
| Depreciation and Amortization Expense                                | 62,441,826.83              | 64,757,343.12              |
| Changes in Assets and Liabilities:                                   |                            |                            |
| Accounts Receivable  | 7,377,709.12               | (29,237,415.40)            |
| Inventories  | (161,382.75)               | (41,325.08)                |
| Loans and Contracts  | (361,195.98)               | 322,178.98                 |
| Other Current and Noncurrent Assets                                  | 593,123.21                 | (14,755,626.44)            |
| Accounts Payable   | (943,204.28)               | 10,540,334.80              |
| Due to System  | 1,062,070.25               | 1,018,540.11               |
| Unearned Revenue   | 2,563,730.99               | 6,043,573.55               |
| Assets Held for Others   | 426,681.48                 | (131,681.18)               |
| Employees' Compensable Leave   | 5,666,674.07               | 7,023,878.50               |
| Other Current and Noncurrent Liabilities                             | 26,389,313.72              | 16,398,038.88              |
| Total Adjustments  | <u>105,055,346.66</u>      | <u>61,937,839.84</u>       |
| Net Cash Provided (Used) by Operating Activities                     | <u>\$ (152,395,062.23)</u> | <u>\$ (134,793,190.29)</u> |
| Non Cash Transactions:   |                            |                            |
| Net Increase (Decrease) in Fair Value of Investments                 | 48,196,783.16              | (510,547.95)               |
| Miscellaneous Noncash Transactions                                   | (503,355.48)               | (847,299.83)               |



The University of Texas Health Science Center at Houston  
 Exhibit D Comparison of Budget to Actual Statement of Revenues, Expenses, and Changes in Net Position  
 For the Year Ended August 31, 2017

|   | Operating<br>Budget     | Actual                  |
|---|-------------------------|-------------------------|
| OPERATING REVENUES:                                   |                         |                         |
| Net Student Tuition and Fees                          | \$ 49,452,189.00        | 53,588,987.47           |
| Federal Sponsored Programs                            | 157,278,574.00          | 153,952,714.21          |
| State Sponsored Programs                              | 38,150,844.00           | 22,426,627.82           |
| Local and Private Sponsored Programs                  | 444,558,255.00          | 547,950,309.33          |
| Net Sales and Services of Educational Activities      | 24,497,021.00           | 38,223,855.22           |
| Net Sales and Services of Hospitals                   | 76,662,124.00           | 68,879,833.80           |
| Net Professional Fees                                 | 344,702,557.00          | 350,528,135.52          |
| Net Auxiliary Enterprises                             | 30,999,819.00           | 27,399,035.45           |
| Other Operating Revenues                              | 58,316,596.00           | 58,906,398.97           |
| Total Operating Revenues                              | <u>1,224,617,979.00</u> | <u>1,321,855,897.79</u> |
| OPERATING EXPENSES:                                   |                         |                         |
| Instruction   | 658,342,893.00          | 678,545,372.56          |
| Research  | 192,443,137.00          | 194,474,242.68          |
| Public Service  | 37,306,807.00           | 32,924,776.87           |
| Hospitals / Clinics                                   | 330,774,548.00          | 409,846,962.86          |
| Academic Support                                      | 62,053,735.00           | 53,005,073.97           |
| Student Services                                      | 9,872,416.00            | 9,500,959.43            |
| Institutional Support                                 | 71,648,709.00           | 72,577,269.79           |
| Operations and Maintenance of Plant                   | 38,273,009.00           | 39,628,169.29           |
| Scholarships and Fellowships                          | 7,218,651.00            | 8,838,297.27            |
| Auxiliary Enterprises                                 | 17,963,249.00           | 17,523,355.13           |
| Depreciation and Amortization                         | 58,519,423.00           | 62,441,826.83           |
| Total Operating Expenses                              | <u>1,484,416,577.00</u> | <u>1,579,306,306.68</u> |
| Operating Income (Loss)                               | <u>(259,798,598.00)</u> | <u>(257,450,408.89)</u> |
| NONOPERATING REVENUES (EXPENSES):                     |                         |                         |
| State Appropriations                                  | 214,955,014.00          | 210,796,835.78          |
| Federal Nonexchange Sponsored Programs                | 600,000.00              | 689,485.05              |
| Gift Contributions for Operations                     | 27,087,003.00           | 21,999,625.16           |
| Investment Income                                     | 33,416,101.00           | 45,137,507.64           |
| Net Increase (Decrease) in Fair Value of Investments  |                         | 48,196,783.16           |
| Other Nonoperating Revenues (Expenses)                |                         | <u>(524,537.83)</u>     |
| Net Nonoperating Revenues (Expenses)                  | <u>276,058,118.00</u>   | <u>326,295,698.96</u>   |
| TRANSFERS AND OTHERS:                                 |                         |                         |
| Capital Appropriations, Gifts, and Sponsored Programs |                         | 3,308,488.39            |
| Additions to Permanent Endowments                     | 25,000,000.00           | 13,860,900.88           |
| Transfers for Debt Service                            | (31,828,243.00)         | (31,879,386.28)         |
| Transfers and Other                                   | 38,000,000.00           | 81,188,118.96           |
| Total Transfers and Other                             | <u>31,171,757.00</u>    | <u>66,478,121.95</u>    |
| Change in Net Position                                | <u>\$ 47,431,277.00</u> | <u>135,323,412.02</u>   |

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**SUPPORTING SCHEDULES**

The University of Texas Health Science Center at Houston  
 Schedule A-1 Schedule of Cash, Cash Equivalents, and Investments  
 As of August 31, 2017

|  | CURRENT ASSETS        |                      | NONCURRENT ASSETS |            | Current Year Total    | Prior Year Total      |
|--|-----------------------|----------------------|-------------------|------------|-----------------------|-----------------------|
|  | Unrestricted          | Restricted           | Unrestricted      | Restricted |                       |                       |
| <b>Cash &amp; Cash Equivalents</b>                           |                       |                      |                   |            |                       |                       |
| Cash on Hand   |                       |                      |                   |            |                       |                       |
| Petty Cash   | 303,910.59            | -                    |                   |            | 303,910.59            | 254,773.11            |
| Cash in Transit  | 99,716.02             | -                    |                   |            | 99,716.02             | -                     |
| Subtotal Cash on Hand  | 403,626.61            | -                    |                   |            | 403,626.61            | 254,773.11            |
| Cash in Bank   |                       |                      |                   |            |                       |                       |
| Demand Cash Held by System                                   |                       |                      |                   |            |                       |                       |
| Demand Accounts  | 14,300.06             | -                    |                   |            | 14,300.06             | 513,008.04            |
| Subtotal Cash in Bank  | 14,300.06             | -                    |                   |            | 14,300.06             | 513,008.04            |
| Cash in State Treasury                                       |                       |                      |                   |            |                       |                       |
| Available University Fund                                    | -                     | -                    |                   |            | -                     | -                     |
| Permanent University Fund                                    | -                     | -                    |                   |            | -                     | -                     |
| Permanent Health Fund  | -                     | 1,535,804.71         |                   |            | 1,535,804.71          | 379,139.07            |
| ROI Fund 211   | -                     | -                    |                   |            | -                     | -                     |
| Local Revenue Fund   | 7,885,119.43          | -                    |                   |            | 7,885,119.43          | 6,779,919.57          |
| Direct Deposit of Bills - Holding Account Fund               | -                     | -                    |                   |            | -                     | -                     |
| Departmental Suspense Fund                                   | -                     | -                    |                   |            | -                     | -                     |
| US Savings Bond Account Fund                                 | -                     | -                    |                   |            | -                     | -                     |
| Deferred Compensation 401K Fund                              | -                     | -                    |                   |            | -                     | -                     |
| Direct Deposit Hold - Transmit Account Fund                  | -                     | -                    |                   |            | -                     | -                     |
| Correction Account for Direct Deposit Fund                   | -                     | -                    |                   |            | -                     | -                     |
| Subtotal Cash in State Treasury                              | 7,885,119.43          | 1,535,804.71         |                   |            | 9,420,924.14          | 7,159,058.64          |
| Cash Equivalent Investments (Intent)                         |                       |                      |                   |            |                       |                       |
| US Treasury Bills and Notes                                  | -                     | -                    |                   |            | -                     | -                     |
| Time Deposits  | -                     | -                    |                   |            | -                     | -                     |
| Repurchase Agreements - Texas Treasury Safekeeping Trust Co. | -                     | -                    |                   |            | -                     | -                     |
| Money Market Funds   | 140,956,709.67        | 10,664,333.81        |                   |            | 151,621,043.48        | 100,790,711.36        |
| Subtotal Cash Equivalent Investments                         | 140,956,709.67        | 10,664,333.81        |                   |            | 151,621,043.48        | 100,790,711.36        |
| Reimbursements due from State Treasury                       | -                     | -                    |                   |            | -                     | -                     |
| <b>Total Cash and Cash Equivalents (Exhibit A)</b>           | <b>149,259,755.77</b> | <b>12,200,138.52</b> |                   |            | <b>161,459,894.29</b> | <b>108,717,551.15</b> |

The University of Texas Health Science Center at Houston  
 Schedule A-1 Schedule of Cash, Cash Equivalents, and Investments  
 As of August 31, 2017

|  | NONCURRENT ASSETS |                |                    | Prior Year Total |
|--|-------------------|----------------|--------------------|------------------|
|  | Unrestricted      | Restricted     | Current Year Total |                  |
| <b>Investments</b>   |                   |                |                    |                  |
| Funds Held by System Administration                                    | \$ -              | 386,223,275.26 | 386,223,275.26     | 336,474,321.03   |
| Pooled Operating Funds (Held by System - ITF)                          | 487,202,556.65    | 62,957,653.91  | 550,160,210.56     | 516,388,941.26   |
| Bonds and Preferred Stock  | -                 | -              | -                  | -                |
| Stocks   | -                 | 1.00           | -                  | 1.00             |
| Real Estate Mortgages and Other Notes                                  | -                 | -              | -                  | -                |
| Real Estate  | -                 | -              | -                  | -                |
| PUF Investment - Other   | -                 | -              | -                  | -                |
| Mineral Rights and Other Royalties                                     | -                 | -              | -                  | -                |
| Physical Commodity   | -                 | -              | -                  | -                |
| Investment Funds   | -                 | -              | -                  | -                |
| Other Investments  | -                 | 30,005.68      | 30,005.68          | 1,591,181.04     |
| Investment Derivatives - Asset Positions                               | -                 | -              | -                  | -                |
| <b>Total Investments (Exhibit A)</b>                                   | 487,202,556.65    | 449,210,934.85 | 936,413,491.50     | 854,454,444.33   |
| Securities Lending Collateral  | -                 | -              | -                  | -                |
| <b>Total Investments and Securities Lending Collateral (Exhibit A)</b> | \$ 487,202,556.65 | 449,210,934.85 | 936,413,491.50     | 854,454,444.33   |

Schedule A-3a  
 Schedule of Legislative Appropriations  
 For the Year Ended August 31, 2017

| Legislative Appropriation Number  | BALANCES Prior Year's Appropriations | Currently Appropriated | Deduct Estimated Locally Collected Income as Applied | Reported as Income    | Transfers             | Expended              | Lapsed         | BALANCES Aug. 31, 2017 |
|---|--------------------------------------|------------------------|--|-----------------------|-----------------------|-----------------------|----------------|------------------------|
| <b>General Revenue Appropriations</b>   |                                      |                        |  |                       |                       |                       |                |                        |
| <b>Current General Funds</b>  |                                      |                        |  |                       |                       |                       |                |                        |
| H.B.1 78th Legislature, Regular Session Advanced Research Program   | 127,627.17                           |                        |  |                       |                       |                       |                | 127,627.17             |
| S.B.1 79th Legislature, Regular Session Advanced Research Program   | 100,000.00                           |                        |  |                       |                       |                       |                | 100,000.00             |
| H.B.1 80th Legislature, Regular Session Advanced Research Program   | 157,204.00                           |                        |  |                       |                       |                       |                | 157,204.00             |
| S.B.1 81st Legislature, Regular Session Advanced Research Program   | 66,200.00                            |                        |  |                       |                       |                       |                | 66,200.00              |
| S.B. 1, 83rd Legislature, Regular Session Prof Nursing Shortage Reduct  | 947,425.23                           |                        |  |                       |                       | 508,807.32            |                | 438,617.91             |
| Graduate Med Ed HB 1025   | 780,000.00                           |                        |  |                       |                       | 780,000.00            |                |                        |
| Family Practice Residency HB 1025   | 6,733.00                             |                        |  |                       |                       | 6,733.00              |                |                        |
| Primary Care Innovation HB 1025   | 396,318.85                           |                        |  |                       |                       | 396,318.85            |                |                        |
| H.B. 1, 84th Legislature, Regular Session Educational and General State Support                                       | 3,122,465.25                         | 192,320,093.00         | 25,393,229.00  | 166,926,864.00        | (12,562,155.00) (1)   | 3,122,465.25          | 154,364,709.00 | 37,938.00              |
| Hazelwood Reimbursement - TVC   |                                      |                        |  |                       | 37,938.00 (2)         |                       |                |                        |
| Employee Benefits   |                                      |                        |  |                       |                       |                       |                |                        |
| OASI Matching   | 657,996.79                           | 10,387,738.48          |  | 10,387,738.48         |                       | 657,996.79            |                | 864,238.31             |
| Group Insurance   | 892,702.23                           | 23,319,936.01          |  | 23,319,936.01         |                       | 9,523,500.17          |                |                        |
| Optional Retirement Program   | 195,744.27                           | 2,848,527.22           |  | 2,848,527.22          |                       | 892,702.23            |                | 1,270,899.22           |
| THECB Programs  |                                      |                        |  |                       |                       | 195,744.27            |                | 158,090.60             |
| Prof Nursing Shortage Reduct  | 430,000.00                           |                        |  |                       | 214,946.34 (3)        | 2,690,436.62          |                | 430,000.00             |
| GME Expansion   | 2,825,000.00                         |                        |  |                       | 5,210,000.00 (4)      | 2,501,884.28          |                | 214,946.34             |
| Autism Parent Directed Treatment  |                                      |                        |  |                       | 205,226.00 (5)        |                       |                | 323,115.72             |
| Matching Portion of Staff Benefits Paid by State  |                                      | 7,245,290.52           |  | 7,245,290.52          |                       | 6,547,270.91          |                | 5,210,000.00           |
| Teacher Retirement System (TRS)   |                                      | 68,479.55              |  | 68,479.55             |                       | 110,419.18            |                | 205,226.00             |
| Unemployment Compensation Insurance   |                                      |                        |  |                       |                       |                       |                |                        |
| <b>Total General Revenue Appropriations</b>   | <b>10,705,416.79</b>                 | <b>236,190,064.78</b>  | <b>25,393,229.00</b>                                 | <b>210,796,835.78</b> | <b>(6,894,044.66)</b> | <b>204,348,024.66</b> | <b>-</b>       | <b>9,604,103.27</b>    |
|   |                                      |                        |  | Exhibit B             |                       |                       | Exhibit B      | Exhibit A              |
| (1) Transfer to System Administration - Tuition Revenue Bonds   |                                      |                        |  | (18,749,811.00)       |                       |                       |                |                        |
| Transfer from THECB for Tuition Revenue Bonds debt service - HB 100/HB 1, Rider 71                                    |                                      |                        |  | 6,187,656.00          |                       |                       |                |                        |
| (2) Transfer from Texas Veterans Commission - Hazelwood Reimbursement   |                                      |                        |  | 37,938.00             |                       |                       |                |                        |
| (3) State Sponsored Programs Pass-Through from State Agencies - THECB Professional Nursing Shortage Reduction Program |                                      |                        |  | 214,946.34            |                       |                       |                |                        |
| (4) State Sponsored Programs Pass-Through from State Agencies - THECB GME Expansion                                   |                                      |                        |  | 5,210,000.00          |                       |                       |                |                        |
| (5) State Sponsored Programs Pass-Through from State Agencies - THECB Autism Parent Directed Treatment                |                                      |                        |  | 205,226.00            |                       |                       |                |                        |
|   |                                      |                        |  | <u>(6,894,044.66)</u> |                       |                       |                |                        |

The University of Texas Health Science Center at Houston  
 Schedule B-1 E&G Funds - Summary of Operations  
 For the Year Ended August 31, 2017

|  | Total                   | Student Activities      | Hospitals             |
|--|-------------------------|-------------------------|-----------------------|
| <b>Operating Revenues:</b>   |                         |                         |                       |
| Gross Student Tuition  | \$ 23,782,854.73        | 23,782,854.73           | -                     |
| Other Fees   | 174,648.75              | 174,648.75              | -                     |
| Discounts & Allowances Tuition and Fees                                | (432,766.03)            | (432,766.03)            | -                     |
| Net Tuition and Fees   | 23,524,737.45           | 23,524,737.45           | -                     |
| Gross Sales and Services of Hospitals                                  | 107,273,046.63          | -                       | 107,273,046.63        |
| Discounts and Allowances Sales and Services of Hospitals               | (61,675,054.92)         | -                       | (61,675,054.92)       |
| Net Sales and Services of Hospitals                                    | 45,597,991.71           | -                       | 45,597,991.71         |
| State Sponsored Programs Pass-Through from State Agencies              | 5,669,644.39            | 5,669,644.39            | -                     |
| Sales and Services of Educational Activities                           | 6,032,334.29            | 6,032,334.29            | -                     |
| Other Operating Revenues   | 662,784.12              | 630,205.97              | 32,578.15             |
| <b>Total Operating Revenues</b>  | <b>81,487,491.96</b>    | <b>35,856,922.10</b>    | <b>45,630,569.86</b>  |
| <b>Operating Expenses:</b>   |                         |                         |                       |
| Salaries and Wages   | 189,087,159.81          | 153,277,715.76          | 35,809,444.05         |
| Payroll Related Costs  | 55,496,166.88           | 45,129,455.56           | 10,366,711.32         |
| Membership Dues  | 54,079.00               | 50,813.00               | 3,266.00              |
| Registration Fees, Meetings, Conferences                               | 5,730.00                | 5,730.00                | -                     |
| Professional Fees and Services   | 2,254,091.21            | 863,486.58              | 1,390,604.63          |
| Other Contracted Services  | 5,149,949.06            | 4,673,755.82            | 476,193.24            |
| Fees and Other Charges   | 596,774.90              | 580,733.40              | 16,041.50             |
| Travel   | 43,401.62               | 43,334.12               | 67.50                 |
| Materials and Supplies   | 4,317,946.94            | 1,636,778.32            | 2,681,168.62          |
| Utilities  | 12,077,514.68           | 11,697,719.34           | 379,795.34            |
| Communications   | 458,316.38              | 219,409.18              | 238,907.20            |
| Repairs and Maintenance  | 550,547.83              | 250,718.80              | 299,829.03            |
| Rentals and Leases   | 788,097.35              | 763,397.39              | 24,699.96             |
| Printing and Reproduction  | 216,276.27              | 175,308.12              | 40,968.15             |
| Insurance Costs/Premiums   | 35,237.14               | 5,892.00                | 29,345.14             |
| Other Operating Expenses   | 530,382.50              | 513,752.58              | 16,629.92             |
| <b>Total Operating Expenses</b>  | <b>271,661,671.57</b>   | <b>219,887,999.97</b>   | <b>51,773,671.60</b>  |
| <b>Operating Income (Loss)</b>   | <b>(190,174,179.61)</b> | <b>(184,031,077.87)</b> | <b>(6,143,101.74)</b> |
| <b>Nonoperating Revenues (Expenses):</b>                               |                         |                         |                       |
| State Appropriations   | 210,796,835.78          |                         |                       |
| Investment Income  | 3,145,432.05            |                         |                       |
| <b>Net Nonoperating Revenues (Expenses)</b>                            | <b>213,942,267.83</b>   |                         |                       |
| <b>Income (Loss) Before Other Revenues, Expenses, Gains or Losses:</b> | <b>23,768,088.22</b>    |                         |                       |
| Gifts and Sponsored Programs for Capital Acquisitions                  | 3,318,975.49            |                         |                       |
| Capital Asset Purchases  | (432,024.45)            |                         |                       |
| Transfers In   | 10,300,718.77           |                         |                       |
| Transfers Out  | (30,482,411.14)         |                         |                       |
| <b>Change in E&amp;G Funds Net Position</b>                            | <b>6,473,346.89</b>     |                         |                       |
| Net Position - September 1, 2016                                       | 52,187,737.56           |                         |                       |
| <b>Net Position - August 31, 2017 (See NOTE)</b>                       | <b>\$ 58,661,084.45</b> |                         |                       |

NOTE: Ending Net Position August 31, 2017 was composed of the following:

|   |                         |
|---|-------------------------|
| <b>Unrestricted:</b>  |                         |
| <b>Reserved</b>   |                         |
| Encumbrances  | \$ 2,257,587.96         |
| Accounts Receivable (less related unearned revenue)           | 5,861,905.38            |
| Inventories   | 143,311.03              |
| <b>Other Specific Purposes:</b>                               |                         |
| Advanced Research Program / Advanced Technology Program / TDT | 451,031.17              |
| Deposits  | 2,052.01                |
| Prepaid Expenses  | 125,395.03              |
| Imprest Funds   | 281,969.11              |
| <b>Unreserved</b>   |                         |
| <b>Allocated</b>  |                         |
| Capital Projects  | 464,195.00              |
| Debt Service  | 18,749,811.00           |
| Unallocated   | 30,323,826.76           |
| <b>Total Unrestricted Net Position</b>                        | <b>\$ 58,661,084.45</b> |

The University of Texas Health Science Center at Houston  
Schedule B-2 Designated Funds - Summary of Operations  
For the Year Ended August 31, 2017

|  | Patient Care Related Activities |                         |                       |                        |                      | Post Elimination of<br>Blended NPHC |
|--|---------------------------------|-------------------------|-----------------------|------------------------|----------------------|-------------------------------------|
|  | Instruction<br>and Other        | Net Service Departments | Hospitals and Clinics | Physician Plan         | Other Practice Plans |                                     |
| <b>Operating Revenues:</b>   |                                 |                         |                       |                        |                      |                                     |
| Gross Designated Tuition   | 19,983,090.88                   |                         |                       |                        |                      |                                     |
| Other Fees   | 8,470,933.27                    | 7,109,959.16            |                       |                        |                      |                                     |
| Discounts & Allowances Designated Tuition and Fees                         | (5,14,028.16)                   |                         |                       |                        |                      |                                     |
| Net Designated Tuition and Fees  | 27,939,995.99                   | 7,109,959.16            | 23,281,842.09         | 1,388,932,486.00       | 7,434,947.88         |                                     |
| Gross Sales and Services of Hospitals/Professional Fees                    | 1,419,652,560.97                | 3,285.00                |                       | (1,042,263,756.39)     | (3,578,826.97)       |                                     |
| Discounts and Allowances Sales and Services of Hospitals/Professional Fees | (1,045,842,583.36)              |                         |                       |                        |                      |                                     |
| Net Sales and Services of Hospitals/Professional Fees                      | 373,809,977.61                  | 3,285.00                | 23,281,842.09         | 346,668,729.61         | 3,856,120.91         |                                     |
| Federal Sponsored Programs   | 33,805,143.92                   |                         |                       |                        |                      |                                     |
| Federal Sponsored Programs Pass-Through from State Agencies                | 1,895,622.91                    |                         |                       |                        |                      |                                     |
| State Sponsored Programs Pass-Through from State Agencies                  | 599,431.27                      |                         |                       |                        |                      |                                     |
| Local Sponsored Programs   | 3,018,018.93                    | 6,411,575.49            | 874,902.42            | 376,982,774.54         | 5,187,015.13         | 64,585,716.13                       |
| Private Sponsored Programs   | 69,551,404.64                   |                         |                       |                        |                      |                                     |
| Sales and Services of Educational Activities                               | 16,136,089.62                   | 1,433,586.58            |                       | 1,685,204.91           |                      |                                     |
| Other Operating Revenues   | 56,990,155.22                   | 13,719,108.88           | 4,619.49              | 35,720,716.27          | 47,632.67            | 1,562,743.33                        |
| <b>Total Operating Revenues</b>  | <b>973,202,107.69</b>           | <b>14,491,455.81</b>    | <b>24,161,364.00</b>  | <b>761,057,425.33</b>  | <b>9,090,768.71</b>  | <b>66,148,459.46</b>                |
| <b>Operating Expenses:</b>   |                                 |                         |                       |                        |                      |                                     |
| Salaries and Wages   | 642,341,026.99                  | 35,198,492.62           | 1,400,777.21          | 533,026,807.38         | 6,149,707.21         |                                     |
| Payroll Related Costs  | 120,637,244.39                  | 8,987,520.01            | 4,103,928.82          | 103,756,358.09         | 1,302,386.75         |                                     |
| Membership Dues  | 1,860,838.15                    | 468,402.95              | 6,196.66              | 12,360.00              | 1,210,805.11         | 135,932.00                          |
| Registration Fees, Meetings, Conferences                                   | 2,545,928.36                    | 931,829.51              | 106,457.48            | 3,664.00               | 1,324,350.51         | 141,091.41                          |
| Cost of Goods Sold   | 20,625,148.69                   | 119,439.73              | 130,409.91            | 20,375,299.05          |                      |                                     |
| Professional Fees and Services   | 51,440,928.44                   | 10,719,434.90           | 139,415.80            | 290,887.53             | 18,678,029.32        | 21,562,118.85                       |
| Other Contracted Services  | 47,496,099.03                   | 7,022,700.62            | 185,271.84            | 2,700,614.13           | 52,179.64            | 37,535,332.80                       |
| Fees and Other Charges   | 8,964,341.32                    | 3,315,891.51            | 198,874.95            | 4,102,490.98           | 89,758.78            | 1,157,610.99                        |
| Travel   | 7,097,503.54                    | 2,227,125.17            | 26,503.61             | 3,923,937.16           | 105,435.28           | 605,380.56                          |
| Materials and Supplies   | 41,383,712.34                   | 13,096,332.10           | 570,697.60            | 2,642,197.59           | 520,258.89           | 19,716,234.90                       |
| Utilities  | 3,230,719.22                    | 65,486.54               | 77,349.25             | 2,642,197.59           |                      | 383,070.02                          |
| Communications   | 2,965,026.03                    | 1,279,348.34            | 422,471.97            | 22,462.26              | 1,172,421.97         | 57,464.46                           |
| Repairs and Maintenance  | 12,792,038.83                   | 7,126,752.82            | 153,371.28            | 365.00                 | 693,171.15           | 4,808,221.11                        |
| Rentals and Leases   | 29,011,520.63                   | 5,345,507.07            | 1,044,366.97          | 341,067.52             | 4,024,748.60         | 18,075,080.27                       |
| Printing and Reproduction  | 3,766,669.30                    | 1,171,669.13            | 12,726.00             | 706,106.03             |                      | 1,831,747.66                        |
| Royalty Payments   | 2,430,759.21                    |                         |                       |                        |                      |                                     |
| Insurance Costs/Premiums   | 9,190,620.91                    | 1,034,820.81            |                       |                        |                      |                                     |
| Scholarships and Fellowships   | 3,126,184.08                    | 3,112,184.08            |                       |                        |                      |                                     |
| Other Operating Expenses   | 9,763,280.43                    | 2,742,888.71            |                       |                        |                      |                                     |
| <b>Total Operating Expenses</b>  | <b>1,020,669,589.89</b>         | <b>106,396,585.83</b>   | <b>11,178,348.68</b>  | <b>689,079,440.40</b>  | <b>109,177.94</b>    | <b>2,805,192.68</b>                 |
| <b>Nonoperating Revenues (Expenses):</b>                                   | <b>(47,467,482.20)</b>          | <b>(8,143,951.45)</b>   | <b>3,313,107.13</b>   | <b>(71,459,059.10)</b> | <b>8,722,314.12</b>  | <b>(43,524,018.30)</b>              |
| Investment Income  | 23,675,330.91                   |                         |                       |                        |                      |                                     |
| Net Increase (Decrease) in Fair Value of Investments                       | 23,036,907.73                   |                         |                       |                        |                      |                                     |
| Net Nonoperating Revenues (Expenses)                                       | 46,712,238.64                   |                         |                       |                        |                      |                                     |
| Income (Loss) Before Other Revenues, Expenses, Gains or Losses:            | (755,243.56)                    |                         |                       |                        |                      |                                     |
| Capital Asset Purchases  | (12,191,677.92)                 |                         |                       |                        |                      |                                     |
| Other Transactions Between Funds   | 80,024.60                       |                         |                       |                        |                      |                                     |
| Transfers In   | 68,224,676.44                   |                         |                       |                        |                      |                                     |
| Transfers Out  | (27,156,329.48)                 |                         |                       |                        |                      |                                     |
| Change in Designated Funds Net Position                                    | 28,201,450.08                   |                         |                       |                        |                      |                                     |
| Net Position - September 1, 2016   | 338,783,757.88                  |                         |                       |                        |                      |                                     |
| Net Position - August 31, 2017 (See NOTE)                                  | 366,985,207.96                  |                         |                       |                        |                      |                                     |



The University of Texas Health Science Center at Houston  
 Schedule B-2 Designated Funds - Summary of Operations  
 For the Year Ended August 31, 2017

NOTE: Ending Net Position August 31, 2017 was composed of the following:

|   |                |                          |
|---|----------------|--------------------------|
| Restricted:   |                |                          |
| Expendable  |                |                          |
| Other Expendable                                    |                | \$ 1,535,804.71          |
| Unrestricted:                                       |                |                          |
| Reserved  |                |                          |
| Encumbrances  | 27,734,913.35  |                          |
| Accounts Receivable (less related unearned revenue) | 105,880,737.34 |                          |
| Inventories   | 576,254.53     |                          |
| Other Specific Purposes:                            |                |                          |
| Deposits  | 34,672.56      |                          |
| Prepaid Expenses                                    | 3,251,830.97   |                          |
| Imprest Funds                                       | 21,941.48      |                          |
| Unreserved  |                |                          |
| Allocated   |                |                          |
| Capital Projects                                    | 8,462,851.00   |                          |
| Debt Service  | 8,557,444.00   |                          |
| Practice Plan Minimum Operating Reserve of 90 days  | 15,970,674.57  |                          |
| Unallocated   | 194,958,083.45 |                          |
| Total Unrestricted Net Position                     | 365,449,403.25 |                          |
| Total Net Position                                  |                | \$ <u>366,985,207.96</u> |

The University of Texas Health Science Center at Houston  
 Schedule B-3 Auxiliary Enterprise Funds - Summary of Operations  
 For the Year Ended August 31, 2017

|  | Total            | Housing and Food Service | Bookstore  | Parking and Traffic | Student Health Center | Student Service Fees | Student Activities | Other        |
|--|------------------|--------------------------|------------|---------------------|-----------------------|----------------------|--------------------|--------------|
| <b>Operating Revenues:</b>                                     |                  |                          |            |                     |                       |                      |                    |              |
| Student Fees   | \$ 2,163,321.29  |                          |            | 262,268.78          | 844,443.57            | 122,628.44           | 933,980.50         |              |
| Discounts & Allowances Student Fees                            | (39,067.26)      |                          |            | (4,736.29)          | (15,249.74)           | (2,214.54)           | (16,866.69)        |              |
| Net Student Fees   | 2,124,254.03     |                          |            | 257,532.49          | 829,193.83            | 120,413.90           | 917,113.81         |              |
| Sales and Services   | 25,697,266.87    | 9,049,649.13             | 342,168.27 | 7,578,442.15        | 11,992.31             | 11,967.95            | 612,070.78         | 8,090,976.28 |
| Other Operating Revenues                                       | 1,701,768.58     |                          |            |                     |                       |                      | 24.00              | 1,701,744.58 |
| Net Auxiliary Enterprises                                      | 27,399,035.45    | 9,049,649.13             | 342,168.27 | 7,578,442.15        | 11,992.31             | 11,967.95            | 612,094.78         | 9,792,720.86 |
| Total Operating Revenues                                       | 29,523,289.48    | 9,049,649.13             | 342,168.27 | 7,835,974.64        | 841,186.14            | 132,381.85           | 1,529,208.59       | 9,792,720.86 |
| <b>Operating Expenses:</b>                                     |                  |                          |            |                     |                       |                      |                    |              |
| Salaries and Wages   | 3,568,656.24     | 702,382.13               |            | 598,036.30          | 4,661.99              | 33,467.74            | 328,894.93         | 1,900,193.15 |
| Payroll Related Costs  | 1,097,052.59     | 243,010.49               |            | 206,483.81          | 509.92                | 13,008.60            | 100,159.40         | 533,880.37   |
| Membership Dues  | 926.40           |                          |            |                     |                       |                      | 26.40              | 900.00       |
| Registration Fees, Meetings, Conferences                       | 8,150.71         | 66.43                    |            | 1,990.00            | 148.65                | 2,472.75             | 712.55             | 2,760.33     |
| Professional Fees and Services                                 | 1,605,672.63     | 11,888.81                | 870.71     | 297,051.67          | 529,453.00            | 206,302.87           | 66,029.16          | 494,076.41   |
| Other Contracted Services                                      | 1,802,278.28     | 459,216.69               |            | 613,463.98          | 50,176.00             |                      | 45,941.35          | 633,480.26   |
| Fees and Other Charges   | 346,344.78       | 13,620.45                | 15.00      | 48,966.10           | 3,585.60              | 504.56               | 12,647.85          | 267,005.22   |
| Travel   | 41,155.86        | 2,472.80                 |            | 16,145.08           |                       | 738.54               | 3,267.70           | 18,531.74    |
| Materials and Supplies   | 2,209,215.54     | 266,745.39               | 21,776.45  | 200,010.79          |                       | 128.75               | 96,307.18          | 1,624,246.98 |
| Utilities  | 2,399,080.09     | 883,792.09               |            | 148,934.63          |                       |                      | 106,049.31         | 1,260,304.06 |
| Communications   | 129,255.42       | 19,465.92                | 3,930.00   | 54,415.46           |                       | 1,409.64             | 5,264.84           | 44,769.56    |
| Repairs and Maintenance  | 1,399,005.69     | 264,455.84               | 315.00     | 226,713.76          |                       | 8,937.44             | 104,717.87         | 802,803.22   |
| Rentals and Leases   | 1,168,514.78     | 11,977.07                |            | 399,500.09          |                       |                      | 748,100.18         | 1,340,212.78 |
| Printing and Reproduction                                      | 1,377,214.84     | 8,377.78                 | 415.00     | 14,410.54           |                       | 7,870.83             | 5,927.91           | 1,340,212.78 |
| Insurance Costs/Premiums                                       | 16,677.00        | 1,964.00                 |            | 982.00              |                       |                      | 939.00             | 12,792.00    |
| Other Operating Expenses                                       | 354,154.28       | 3,400.41                 |            | 135,409.06          | 30,809.86             | 6,919.09             | 81,135.71          | 96,480.15    |
| Total Operating Expenses                                       | 17,523,355.13    | 2,892,836.30             | 27,322.16  | 2,963,513.27        | 619,365.02            | 281,760.81           | 958,021.16         | 9,780,536.41 |
| Operating Income (Loss)  | 11,999,934.35    | 6,156,812.83             | 314,846.11 | 4,872,461.37        | 221,821.12            | (149,378.96)         | 571,187.43         | 12,184.45    |
| <b>Nonoperating Revenues (Expenses):</b>                       |                  |                          |            |                     |                       |                      |                    |              |
| Investment Income  | 1,073,675.23     |                          |            |                     |                       |                      |                    |              |
| Net Nonoperating Revenues (Expenses)                           | 1,073,675.23     |                          |            |                     |                       |                      |                    |              |
| Income (Loss) Before Other Revenues, Expenses, Gains or Losses | 13,073,609.58    |                          |            |                     |                       |                      |                    |              |
| Capital Asset Purchases  | (1,012,650.07)   |                          |            |                     |                       |                      |                    |              |
| Transfers In   | 4,953,055.62     |                          |            |                     |                       |                      |                    |              |
| Transfers Out  | (6,848,051.97)   |                          |            |                     |                       |                      |                    |              |
| Change in Auxiliary Funds Net Position                         | 10,165,963.16    |                          |            |                     |                       |                      |                    |              |
| Net Position - September 1, 2016                               | 32,447,543.89    |                          |            |                     |                       |                      |                    |              |
| Net Position - August 31, 2017 (See NOTE)                      | 42,613,507.05    |                          |            |                     |                       |                      |                    |              |
| <b>Unrestricted:</b>   |                  |                          |            |                     |                       |                      |                    |              |
| Reserved   |                  |                          |            |                     |                       |                      |                    |              |
| Encumbrances   | \$ 3,189,866.25  |                          |            |                     |                       |                      |                    |              |
| Accounts Receivable (less related unearned revenue)            | 383,937.03       |                          |            |                     |                       |                      |                    |              |
| Other Specific Purposes:                                       |                  |                          |            |                     |                       |                      |                    |              |
| Deposits   | 285,166.07       |                          |            |                     |                       |                      |                    |              |
| Prepaid Expenses   | 18,960.15        |                          |            |                     |                       |                      |                    |              |
| Unreserved   |                  |                          |            |                     |                       |                      |                    |              |
| Allocated  |                  |                          |            |                     |                       |                      |                    |              |
| Debt Service   | 4,838,952.00     |                          |            |                     |                       |                      |                    |              |
| Self Supporting Enterprises                                    | 33,806,625.55    |                          |            |                     |                       |                      |                    |              |
| Total Unrestricted Net Position                                | \$ 42,613,507.05 |                          |            |                     |                       |                      |                    |              |

NOTE: Ending Net Position August 31, 2017 was composed of the following:

The University of Texas Health Science Center at Houston  
Schedule B-4 Restricted Expendable Funds - Summary of Operations  
For the Year Ended August 31, 2017

|   | Total                   | Federal               | Federal Indirect Cost Recoveries | State                | State Indirect Cost Recoveries | Local                | Local Indirect Cost Recoveries | Private Sector       | Private Indirect Cost Recoveries |
|---|-------------------------|-----------------------|----------------------------------|----------------------|--------------------------------|----------------------|--------------------------------|----------------------|----------------------------------|
| <b>Operating Revenues:</b>  |                         |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Sponsored Program Revenues  | \$ 185,912,119.97       | 133,399,021.98        | (33,782,633.17)                  |                      |                                | 17,898,592.28        | (568,260.06)                   | 73,931,087.45        | (4,965,688.51)                   |
| Sponsored Program Pass-Through From State Agencies                    | 34,861,148.65           | 20,599,219.40         | (1,895,622.91)                   | 16,756,983.42        | (599,431.26)                   |                      |                                | 4,227.13             |                                  |
| Net Sales and Services of Educational Activities                      | 16,055,431.31           |                       |                                  | 17,191,827.75        | (1,140,623.57)                 |                      |                                | 1,065,137.86         |                                  |
| Other Operating Revenues  | 1,065,137.86            |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Sponsored Program Adjustments (Contra Revenue)                        | (439,150.90)            | (68,037.92)           |                                  |                      |                                |                      |                                | (371,112.98)         |                                  |
| <b>Total Operating Revenues</b>                                       | <b>237,454,686.89</b>   | <b>153,930,203.46</b> | <b>(35,678,256.08)</b>           | <b>33,948,811.17</b> | <b>(1,740,054.83)</b>          | <b>17,898,592.28</b> | <b>(568,260.06)</b>            | <b>74,629,339.46</b> | <b>(4,965,688.51)</b>            |
| <b>Operating Expenses:</b>  |                         |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Salaries and Wages  | 98,237,895.15           | 52,092,247.35         |                                  | 13,201,144.79        |                                | 327,220.32           |                                | 32,617,282.69        |                                  |
| Payroll Related Costs   | 27,119,844.79           | 14,629,644.53         |                                  | 3,669,162.39         |                                | 96,449.79            |                                | 8,724,588.08         |                                  |
| Membership Dues   | 215,962.73              | 5,760.00              |                                  | 2,819.40             |                                |                      |                                | 207,383.33           |                                  |
| Registration Fees, Meetings, Conferences                              | 907,923.54              | 234,740.58            |                                  | 27,142.79            |                                |                      |                                | 646,040.17           |                                  |
| Cost of Goods Sold  | 3,072.30                |                       |                                  | 62.70                |                                |                      |                                | 3,009.60             |                                  |
| Professional Fees and Services  | 9,039,109.30            | 4,315,524.27          |                                  | 1,131,508.15         |                                | 930.00               |                                | 3,591,146.88         |                                  |
| Other Contracted Services   | 31,613,194.31           | 25,647,100.55         |                                  | 2,505,826.00         |                                | 6,672.00             |                                | 3,453,595.76         |                                  |
| Fees and Other Charges  | 3,402,123.73            | 858,568.94            |                                  | 289,493.95           |                                | 6,210.40             |                                | 2,247,850.44         |                                  |
| Travel  | 3,772,431.28            | 1,444,442.08          |                                  | 284,909.76           |                                | 3,836.75             |                                | 2,039,242.69         |                                  |
| Materials and Supplies  | 13,060,685.68           | 6,206,196.06          |                                  | 1,719,332.32         |                                | 14,731.74            |                                | 5,120,425.56         |                                  |
| Utilities   | 3,846.31                | 3,846.31              |                                  |                      |                                |                      |                                |                      |                                  |
| Communications  | 394,730.59              | 209,661.49            |                                  | 66,796.14            |                                |                      |                                | 118,272.96           |                                  |
| Repairs and Maintenance   | 604,025.25              | 201,423.22            |                                  | 243,840.81           |                                |                      |                                | 158,761.22           |                                  |
| Rentals and Leases  | 1,186,354.48            | 669,525.43            |                                  | 67,880.44            |                                |                      |                                | 448,948.61           |                                  |
| Printing and Reproduction   | 1,512,592.33            | 735,307.18            |                                  | 225,698.37           |                                | 725.58               |                                | 550,861.20           |                                  |
| Royalty Payments  | 1,765.95                |                       |                                  |                      |                                |                      |                                | 1,765.95             |                                  |
| Insurance Costs/Premiums  | 81,165.96               | 2,769.00              |                                  | 8,950.32             |                                |                      |                                | 69,446.64            |                                  |
| Scholarships and Fellowships  | 3,853,995.05            | 1,087,725.05          |                                  | 758,652.00           |                                |                      |                                | 2,007,618.00         |                                  |
| Federal Sponsored Passthroughs to State Agencies                      | 4,337,778.00            | 4,337,778.00          |                                  |                      |                                |                      |                                |                      |                                  |
| State Sponsored Passthroughs to State Agencies                        | 506,018.17              |                       |                                  | 506,018.17           |                                |                      |                                |                      |                                  |
| Other Operating Expenses  | 4,361,637.07            | 2,775,341.70          |                                  | 747,994.16           |                                | 9,702.03             |                                | 828,599.18           |                                  |
| <b>Total Operating Expenses</b>                                       | <b>204,216,151.97</b>   | <b>115,457,601.74</b> |                                  | <b>25,457,232.66</b> |                                | <b>466,478.61</b>    |                                | <b>62,834,838.96</b> |                                  |
| <b>Operating Income (Loss)</b>  | <b>33,238,534.92</b>    | <b>38,472,601.72</b>  | <b>(35,678,256.08)</b>           | <b>8,491,578.51</b>  | <b>(1,740,054.83)</b>          | <b>17,432,113.67</b> | <b>(568,260.06)</b>            | <b>11,794,500.50</b> | <b>(4,965,688.51)</b>            |
| <b>Nonoperating Revenues (Expenses):</b>                              |                         |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Federal Nonexchange Sponsored Programs                                | \$ 689,485.05           |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Gift Contributions for Operations                                     | 21,999,625.16           |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Investment Income   | 16,055,336.78           |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Net Increase (Decrease) in Fair Value of Investments                  | 5,529.88                |                       |                                  |                      |                                |                      |                                |                      |                                  |
| <b>Net Nonoperating Revenues (Expenses)</b>                           | <b>38,749,976.87</b>    |                       |                                  |                      |                                |                      |                                |                      |                                  |
| <b>Income (Loss) Before Other Revenues, Expenses, Gains or Losses</b> | <b>71,988,511.79</b>    |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Capital Asset Purchases   | (2,770,738.43)          |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Gifts for Endowments  | 749,814.55              |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Transfers In  | 6,353,056.84            |                       |                                  |                      |                                |                      |                                |                      |                                  |
| Transfers Out   | (72,985,348.38)         |                       |                                  |                      |                                |                      |                                |                      |                                  |
| <b>Change in Restricted Expendable Net Position</b>                   | <b>3,335,296.37</b>     |                       |                                  |                      |                                |                      |                                |                      |                                  |
| <b>Net Position - September 1, 2016</b>                               | <b>87,883,006.54</b>    |                       |                                  |                      |                                |                      |                                |                      |                                  |
| <b>Net Position - August 31, 2017</b>                                 | <b>\$ 91,218,302.91</b> |                       |                                  |                      |                                |                      |                                |                      |                                  |

NOTE: Indirect Cost Recoveries made up as follows:  
Instruction 515,465.88  
Research 38,169,606.18  
Public Service 2,426,268.13  
Hospitals / Clinics 1,820,772.95  
Academic Support 1,786.18  
Scholarships and Fellowships 18,360.16  
Total Indirect Cost Recoveries 42,952,259.48

The University of Texas Health Science Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2017

|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|

TRUE ENDOWMENT FUNDS

INSTRUCTION

|  |              |  |  |            |  |           |              |
|--|--------------|--|--|------------|--|-----------|--------------|
| 57600002 UTSD Alumni Association Endowment Fund                | 1,312,907.49 |  |  | 90,215.23  |  | 358.21    | 1,403,480.93 |
| 57600003 Dr. John T. Armstrong Professorship In Obstetric And  | 356,186.33   |  |  | 24,475.02  |  | 97.18     | 380,758.53   |
| 57600005 Children'S Fund, Inc. Professorship In Pediatric      | 568,409.99   |  |  | 39,057.78  |  | 155.08    | 607,622.85   |
| 57600007 The Harold Cooper Lectureship For The Department Of   | 150,565.96   |  |  | 10,346.03  |  | 41.08     | 160,953.07   |
| 57600008 Dr. Thomas D. Cronin Chair In Plastic Surgery         | 1,398,836.84 |  |  | 96,119.81  |  | 381.65    | 1,495,338.30 |
| 57600010 R. P. Doherty Memorial Fund                           | 32,052.67    |  |  | 2,202.47   |  | 8.75      | 34,263.89    |
| 57600020 Robert Gross Lectureship In Pediatric Surgery         | 68,006.70    |  |  | 4,673.02   |  | 18.55     | 72,698.27    |
| 57600026 H. Wayne Hightower Professorship In The Medical       | 540,939.08   |  |  | 37,170.14  |  | 147.59    | 578,256.81   |
| 57600035 Josey Professorship In Dermatology                    | 339,011.64   |  |  | 23,294.88  |  | 92.49     | 362,399.01   |
| 57600043 Amelia Mccarthy Memorial Fund For Dr. Walter Henry    | 39,588.71    |  |  | 2,720.28   |  | 10.80     | 42,319.79    |
| 57600044 John P. Mcgovern Lectureship In Biomedical Computing  | 142,827.66   |  |  | 9,814.30   |  | 38.97     | 152,680.93   |
| 57600047 A. G. Mcneese Chair In Pediatric Surgery              | 1,586,403.35 |  |  | 109,008.28 |  | 432.82    | 1,695,844.45 |
| 57600051 Richard Warren Mithoff Professorship In               | 330,384.29   |  |  | 22,702.02  |  | 90.14     | 353,176.45   |
| 57600053 The Sam And Elizabeth Nixon Visiting Lectureship In   | 113,174.53   |  |  | 7,776.68   |  | 30.88     | 120,982.09   |
| 57600055 Dr. David R. Park Professorship In Pediatrics         | 431,957.38   |  |  | 29,681.54  |  | 117.85    | 461,756.77   |
| 57600059 James W. Rockwell Professorship In Public Health      | 467,832.85   |  |  | 32,146.71  |  | 127.64    | 500,107.20   |
| 57600061 Pat R. Rutherford, Jr. Chair In Psychiatry            | 2,729,313.06 |  |  | 187,542.30 |  | 744.65    | 2,917,600.01 |
| 57600062 Walter Henry Scherer Fund For Dentistry               | 437,682.83   |  |  | 30,074.95  |  | 119.41    | 467,877.19   |
| 57600064 Bettie Collins And Helene Collins Sprong Fund         | 863,270.06   |  |  | 59,318.82  |  | 235.53    | 922,824.41   |
| 57600067 Betty Wheelless Trotter Professorship                 | 362,460.91   |  |  | 25,472.06  |  | 18,596.87 | 406,529.84   |
| 57600068 James J. And Una T. Truitt Medical Educational Trust  | 52,738.10    |  |  | 3,623.89   |  | 14.39     | 56,376.38    |
| 57600073 The Robert A. Welch Chair In Chemistry                | 3,201,472.69 |  |  | 219,986.32 |  | 873.47    | 3,422,332.48 |
| 57600076 Jack R. Winston Visiting Professorship In Cosmetic    | 398,343.80   |  |  | 27,371.82  |  | 108.68    | 425,824.30   |
| 57600077 Dr. Henry R. Withers Lectureship In Family Practice   | 99,249.72    |  |  | 6,819.87   |  | 27.08     | 106,096.67   |
| 57600083 Ag Mcneese Jr Professorship In Ophthalmology          | 381,445.82   |  |  | 26,210.67  |  | 104.07    | 407,760.56   |
| 57600086 Cecil M. Crigler, M.D. Chair In Urology               | 1,280,626.99 |  |  | 87,997.10  |  | 349.40    | 1,368,973.49 |
| 57600087 Walter And Ruth Sterling Professorship In             | 373,425.07   |  |  | 25,659.56  |  | 101.88    | 399,186.51   |
| 57600101 Reuel A. Stallones Memorial Endowed Lecture Series    | 71,231.58    |  |  | 4,894.65   |  | 19.43     | 76,145.66    |
| 57600104 Walter M. Kirkendall, M.D. Endowed Lecture Series     | 136,162.60   |  |  | 9,356.32   |  | 37.15     | 145,556.07   |
| 57600112 James T. Willerson, M.D. Endowed Lecture Series       | 127,297.69   |  |  | 8,747.14   |  | 34.73     | 136,079.56   |
| 57600127 Raul G. Caffesse, Dds, Ms, Dr. Odont. Distinguished   | 622,010.74   |  |  | 42,740.91  |  | 169.71    | 664,921.36   |
| 57600128 Theodore R. And Maureen O'Driscoll Levy Endowed       | 176,563.48   |  |  | 12,132.40  |  | 48.17     | 188,744.05   |
| 57600130 Carlos E. Nasijeti, D.D.S. Endowment Fund For         | 31,224.61    |  |  | 2,145.59   |  | 8.52      | 33,378.72    |
| 57600140 Cullen Chair In Molecular Medicine                    | 1,020,908.29 |  |  | 70,150.76  |  | 278.54    | 1,091,337.59 |
| 57600148 James H. Steele, D.V.M. Professorship                 | 166,616.98   |  |  | 11,448.91  |  | 45.46     | 178,111.35   |
| 57600153 Jesse H. Jones Chair In Structural Biology            | 787,866.03   |  |  | 54,137.48  |  | 214.96    | 842,218.47   |
| 57600160 Lorne Bain Distinguished Professorship In Public      | 394,784.60   |  |  | 27,743.61  |  | 20,255.30 | 442,783.51   |
| 57600161 Michelle Bain Distinguished Professorship In Medicine | 339,428.02   |  |  | 23,323.51  |  | 92.61     | 362,844.14   |
| 57600162 Fondren Family Chair In Cellular Signaling            | 688,189.15   |  |  | 47,288.31  |  | 187.76    | 735,665.22   |

The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2017

|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 576000181 Blair Justice, Ph.D. Professorship in Mind-Body                        | 136,511.98                        |                                 |                      | 9,380.29  |   | 37.25                                 | 145,929.52                      |
| 576000185 President George W. Bush Chair in Cardiovascular                       | 504,388.03                        |                                 |                      | 34,658.53   |   | 137.61                                | 539,184.17                      |
| 576000215 The Rockwell Chair in Society and Health                               | 687,142.85                        |                                 |                      | 47,216.41   |   | 187.48                                | 734,546.74                      |
| 576000216 Beth Toby Grossman Professorship in Spirituality And                   | 136,301.93                        | 190.00                          |                      | 9,375.75  |   | 37.19                                 | 145,904.87                      |
| 576000224 Adrien And Gladys Drouilhet Visiting Professorship In                  | 164,281.43                        |                                 |                      | 11,288.44   |   | 44.82                                 | 175,614.69                      |
| 576000234 Hans J. Muller-Eberhard, M.D., Ph.D. Chair Fund In                     | 827,356.08                        |                                 |                      | 56,851.02   |   | 225.73                                | 884,432.83                      |
| 576000235 Weatherhead Distinguished Chair Of Heart Disease                       | 1,470,939.61                      |                                 |                      | 155,970.98  | 143.66  | (67,732.81)                           | 1,559,321.44                    |
| 576000239 Grant Taylor Lectureship   | 195,278.42                        |                                 |                      | 13,418.38   |   | 53.28                                 | 208,750.08                      |
| 576000244 James T. And Nancy B. Willerson Chair                                  | 322,942.70                        |                                 |                      | 22,190.69   |   | 88.11                                 | 345,221.50                      |
| 576000249 Louis A. Fallace, M.D. Lecture Series                                  | 218,197.81                        | 25,000.00                       |                      | 17,299.05   |   | 24,868.70                             | 285,365.56                      |
| 576000261 John P. Mcgovern, M.D. Distinguished Professorship In                  | 366,411.63                        |                                 |                      | 25,177.65   |   | 99.97                                 | 391,689.25                      |
| 576000297 Janice Davis Gordon Distinguished Professorship For                    | 529,347.16                        | 35,000.00                       |                      | 39,601.74   |   | 34,877.22                             | 638,826.12                      |
| 576000300 Jerold B. Katz Distinguished Professorship For                         | 279,412.45                        |                                 |                      | 19,199.59   |   | 76.23                                 | 298,688.27                      |
| 576000303 The Roy M. Huffington Bicentennial Endowment Fund For                  | 1,962,622.48                      |                                 |                      | 134,068.28  | 3,461.21  | 80,349.69                             | 2,180,501.66                    |
| 576000304 Phyllis Gough Huffington Endowed Lecture Series                        | 27,681.58                         |                                 |                      | 1,902.13  |   | 7.55                                  | 29,591.26                       |
| 576000309 M. David Low Chair In Public Health                                    | 578,197.48                        |                                 |                      | 39,730.31   |   | 157.75                                | 618,085.54                      |
| 576000312 Hitoshi Nikaichoh, M.D., Award For Humanism In                         | 23,693.05                         |                                 |                      | 1,628.03  |   | 6.46                                  | 25,327.54                       |
| 576000345 Michael Farley Moyers Heavy Particle Therapy Graduate                  | 12,471.09                         |                                 |                      | 876.37  |   | 639.85                                | 13,987.31                       |
| 576000349 Distinguished Chair Fund In Childhood Reading And                      | 576,299.10                        | 250,000.00                      |                      | 57,965.59   |   | 252,941.01                            | 1,137,205.70                    |
| 576000356 Partners Endowed Professorship In Nursing                              | 92,883.35                         |                                 |                      | 6,527.44  |   | 4,765.59                              | 104,176.38                      |
| 576000383 Floyd Haar, M.D. Endowed Memorial Research Award In                    | 36,058.77                         |                                 |                      | 2,477.78  |   | 9.84                                  | 38,546.39                       |
| 576000385 James H. Steele Lecture Series   | 80,504.89                         | 100.00                          |                      | 5,531.85  |   | 21.96                                 | 86,158.70                       |
| 576000387 James D. Woods Distinguished Chair In Cardiovascular                   | 1,089,370.71                      |                                 |                      | 74,855.12   |   | 297.22                                | 1,164,523.05                    |
| 576000390 Ashley Miller Wolf Scholarship   | 23,064.39                         |                                 |                      | 1,584.86  |   | 6.29                                  | 24,655.54                       |
| 576000412 Claude L. Nabers, D.D.S., M.S.D. And Blanche Eaton Nabers Visiting Pro | 102,525.50                        |                                 |                      | 7,044.91  |   | 27.97                                 | 109,598.38                      |
| 576000420 Suzie Conway Endowed Professorship in Nursing                          | 127,740.95                        |                                 |                      | 8,777.63  |   | 34.85                                 | 136,553.43                      |
| 576000426 Albert & Margaret Alkek Chair in Early Childhood Development           | 1,103,066.15                      |                                 |                      | 75,796.16   |   | 300.95                                | 1,179,163.26                    |
| 576000428 John Ludington, Jr. DDS,MDS Professorship in Endodontics               | 331,794.60                        |                                 |                      | 22,798.97   |   | 90.52                                 | 354,684.09                      |
| 576000448 Judy Fred Professorship in Nursing                                     | 116,195.60                        |                                 |                      | 7,984.29  |   | 31.70                                 | 124,211.59                      |
| 576000452 Bette Thomas Dist Professorship in Innovative Healthcare Delivery      | 300,108.17                        |                                 |                      | 20,621.63   |   | 81.88                                 | 320,811.68                      |
| 576000454 W. Kenneth Horwitz, DDS Endowed Fund in Ethics                         | 151,925.65                        |                                 |                      | 10,439.43   |   | 41.45                                 | 162,406.53                      |
| 576000463 Ralph Cooley, DDS Distinguished Professorship in Biomaterials          | 262,876.51                        |                                 |                      | 18,063.30   |   | 71.72                                 | 281,011.53                      |
| 576000470 Frank Trice, DDS, Professorship in Endodontics                         | 156,453.08                        | 1,500.00                        |                      | 10,779.96   |   | 42.69                                 | 168,775.73                      |
| 576000472 Fred & Dianne Garrett Endowed Chair in Orthodontics                    | 515,872.27                        |                                 |                      | 35,447.67   |   | 140.75                                | 551,460.69                      |
| 576000473 Huffington Foundation Endowed Chair in Nursing Education               | 548,240.35                        |                                 |                      | 37,671.81   |   | 149.58                                | 586,061.74                      |
| 576000474 Yun Ahn & Song Ahn, DDS Professorship in Implant Dentistry             | 153,418.51                        | 20,000.00                       |                      | 11,091.62   |   | 10,150.45                             | 194,660.58                      |
| 576000475 Doan-Huynh-Le Endowed Professorship in General Dentistry               | 114,145.63                        |                                 |                      | 7,843.44  |   | 31.14                                 | 122,020.21                      |
| 576000476 Daniel West, DDS Endowed Professorship in Orthodontics                 | 116,490.36                        |                                 |                      | 8,004.53  |   | 31.78                                 | 124,526.67                      |
| 576000494 The UT School of Dentistry at Houston Impact Fund                      | 350,512.68                        | 4,865.04                        |                      | 24,256.93   |   | 95.45                                 | 379,730.10                      |
| 576000511 Foster Family Education Fund   | 13,809.63                         | 1,000.00                        |                      | 1,014.88  |   | 3.77                                  | 15,828.28                       |
| 576000513 Ralph Aflinghaus, Ph.D. Lecture Series                                 | 35,225.46                         | 1,000.00                        |                      | 2,486.41  |   | 9.61                                  | 38,721.48                       |
| 576000518 Nguyen-Thang Family Professorship in Oral and Maxillofacial Surgery    | 64,798.15                         | 20,000.00                       |                      | 5,889.17  |   | 4,089.11                              | 94,775.43                       |
| 576000549 Thomas Weil Professorship in Oral and Maxillofacial Surgery            | 70,144.84                         | 16,833.66                       |                      | 5,385.01  |   | 3,854.74                              | 96,218.25                       |
| 576000551 Benjamin Geigerman Lecture Series                                      | 14,051.39                         | 100.00                          |                      | 967.48  |   | 3.83                                  | 15,122.70                       |

The University of Texas Health Science Center at Houston  
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As of August 31, 2017

|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 576000556 Alfred and Elizabeth Nelson Geriatric and Palliative Medicine Endowment Fund | 195,185.51                        |                                 |                      | 13,412.03   |   | 53.25                                 | 208,650.79                      |
| 576000591 The Romero-Levine Family Endowment   | 21,045.58                         | 10,000.00                       |                      | 2,841.09  |   | 9,735.59                              | 43,622.26                       |
| 576000596 Deborah Garrett Adams Leadership Development Fund                            | 50,982.34                         | 48,500.00                       |                      | 5,727.82  |   | 24,834.48                             | 130,044.64                      |
| 576000597 Emily and Jordan Manye Leadership Development Fund                           | 40,785.82                         | 20,000.00                       |                      | 4,647.18  |   | 19,858.45                             | 85,291.45                       |
| 576000623 Philip Nader MD Legacy of Health Early Intervention for Childhood Health     | 160,565.26                        | 20,000.00                       |                      | 12,502.33   |   | 20,266.53                             | 213,334.12                      |
| 576000658 Kimbrough Family Endowed Lectureship Fund                                    |                                   | 10,000.00                       |                      | 383.38  |   | 248.62                                | 10,632.00                       |
| 576000659 Young OMS Research Professorship   |                                   | 40,000.00                       |                      | 2,098.15  |   | 41,935.73                             | 84,033.88                       |
| <b>TOTAL INSTRUCTION</b>   | <b>38,080,717.25</b>              | <b>524,088.70</b>               |                      | <b>2,708,343.63</b>   |   | <b>513,238.03</b>                     | <b>41,829,992.48</b>            |

**RESEARCH**

|  |              |  |  |            |  |           |              |
|--|--------------|--|--|------------|--|-----------|--------------|
| 57600006 Denton A. Cooley, M.D. Professorship In Surgery       | 867,809.47   |  |  | 59,630.76  |  | 236.77    | 927,677.00   |
| 57600011 The John S. Dunn Distinguished Chair In Radiology     | 2,658,822.38 |  |  | 182,898.57 |  | 725.42    | 2,842,246.37 |
| 57600013 The William S. Fields Lectureship In Neurology        | 171,669.03   |  |  | 11,796.08  |  | 46.84     | 183,511.95   |
| 57600029 John B. Holmes Professorship In The Clinical Sciences | 543,195.37   |  |  | 37,325.20  |  | 148.20    | 580,668.77   |
| 57600033 Roy M. And Phyllis Gough Huffington Chair In          | 1,355,229.50 |  |  | 93,123.37  |  | 369.75    | 1,448,722.62 |
| 57600034 Roy M. And Phyllis Gough Huffington Chair In          | 1,528,861.05 |  |  | 105,054.33 |  | 417.12    | 1,634,332.50 |
| 57600040 The Ray A. And Robert L. Kroc Lectureship             | 193,140.17   |  |  | 13,271.47  |  | 52.70     | 206,464.34   |
| 57600046 John P. McGovern Professorship In Health Promotion    | 375,622.69   |  |  | 25,810.60  |  | 102.48    | 401,535.77   |
| 57600050 J. Ralph Meadows Professorship In Internal Medicine   | 245,482.33   |  |  | 16,868.13  |  | 66.98     | 262,417.44   |
| 57600069 Isia Carroll Turner Chair In Gerontological Nursing   | 1,680,291.14 |  |  | 118,082.71 |  | 86,205.17 | 1,884,579.02 |
| 57600080 Research Projects In Academic Surgery Endowment       | 54,204.63    |  |  | 3,724.60   |  | 14.79     | 57,944.02    |
| 57600091 Adriana Blood Chair In Neurology                      | 2,250,069.77 |  |  | 154,611.50 |  | 613.89    | 2,405,295.16 |
| 57600098 George S. Bruce, Jr. Professorship In Arthritis And   | 207,921.47   |  |  | 14,287.11  |  | 56.73     | 222,265.31   |
| 57600107 Dr. Edward Randall, Jr. Memorial Fund                 | 49,045.13    |  |  | 3,377.63   |  | 259.54    | 52,682.30    |
| 57600113 Theodore J. And Mary E. Trumble Professorship In      | 236,372.62   |  |  | 16,242.05  |  | 63.70     | 252,678.37   |
| 57600115 The Welch Foundation Endowment In Chemistry And       | 1,701,522.05 |  |  | 116,918.51 |  | 464.23    | 1,818,904.79 |
| 57600118 Jay Brent Sterling Research Fund                      | 18,566.43    |  |  | 1,275.75   |  | 5.07      | 19,847.25    |
| 57600134 The Laurence And Johanna Favrot Professorship In      | 431,424.57   |  |  | 29,644.93  |  | 117.71    | 461,187.21   |
| 57600136 John P. McGovern Distinguished Professorship In       | 400,209.62   |  |  | 27,500.08  |  | 109.19    | 427,818.89   |
| 57600137 John P. McGovern Award In Health Promotion            | 276,498.23   |  |  | 18,999.29  |  | 75.44     | 295,572.96   |
| 57600139 Michael J. Jeansonne, D.D.S., M.S., '90 Endowed       | 49,169.03    |  |  | 3,378.64   |  | 13.41     | 52,561.08    |
| 57600143 Robert K. Creasy, M.D. Professorship In Ob/Gyn        | 166,270.90   |  |  | 11,425.16  |  | 45.36     | 177,741.42   |
| 57600145 Edward J. McGuire, M.D. Distinguished Chair In        | 1,670,065.55 |  |  | 115,015.99 |  | 8,838.08  | 1,793,919.62 |
| 57600146 John S. Dunn, Sr. Distinguished Professorship In      | 511,912.02   |  |  | 35,547.10  |  | 19,609.49 | 567,068.61   |
| 57600149 James H. Red Duke, Jr., M.D. Professorship In         | 534,892.47   |  |  | 36,754.62  |  | 145.94    | 571,793.03   |
| 57600151 Walter And Mary Mischer Distinguished Professorship   | 632,411.99   |  |  | 43,455.59  |  | 172.54    | 676,040.12   |
| 57600154 Elizabeth Bidgood Chair In Rheumatology               | 686,736.76   |  |  | 47,188.49  |  | 187.36    | 734,112.61   |
| 57600155 Theodore R. Levy Endowed Cardiac Research Fund        | 169,337.19   |  |  | 11,635.86  |  | 46.20     | 181,019.25   |
| 57600156 Levit Family Professorship In The Neurosciences       | 529,861.35   |  |  | 36,405.16  |  | 90.00     | 566,356.51   |
| 57600159 Lee And Joe Jamail Distinguished Professorship In The | 359,547.24   |  |  | 24,705.96  |  | 98.10     | 384,351.30   |
| 57600163 Lee And Joe Jamail Distinguished Professorship In The | 387,132.66   |  |  | 26,601.41  |  | 104.33    | 413,838.40   |
| 57600164 Lee And Joe Jamail Distinguished Professorship In The | 433,372.10   |  |  | 30,455.40  |  | 22,235.11 | 486,062.61   |
| 57600167 Jay Brent Sterling Professorship In Cardiovascular    | 139,634.07   |  |  | 9,594.62   |  | 38.10     | 149,266.99   |

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|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600169 Nancy, Clive And Pierce Runnells Chair In             | 1,596,590.28                      |                                 |                      | 109,708.29  |   | 435.60                                | 1,706,734.17                    |
| 57600170 Ward Casscells, Iii, M.D. Student Award In The        | 29,358.29                         |                                 |                      | 2,017.30  |   | 8.01                                  | 31,383.60                       |
| 57600173 The Henry And Ruth Balustein Rosenberg Endowment In   | 304,734.47                        |                                 |                      | 20,939.51   |   | 83.14                                 | 325,757.12                      |
| 57600174 C. Frank Webber, M.D. Chair Of Family Medicine        | 12,651,739.24                     |                                 |                      | 50,267.33   |   | 199.59                                | 782,009.74                      |
| 57600175 The Weatherhead Fund                                  | 618,849.85                        |                                 |                      | 1,341,699.89  | 573.08  | (582,481.42)                          | 13,411,530.79                   |
| 57600186 The John S. Dunn, Sr., Chair In Biochemistry And      | 141,479.87                        |                                 |                      | 42,523.67   |   | 168.84                                | 661,542.36                      |
| 57600191 Dan And Lillie Sterling Professorship In Clinical     | 2,861,951.19                      |                                 |                      | 9,942.48  |   | 7,258.94                              | 158,681.29                      |
| 57600194 John S. Dunn, Sr., Distinguished Chair In Integrative | 362,362.55                        |                                 |                      | 196,656.42  |   | 780.84                                | 3,059,388.45                    |
| 57600195 John P. McGovern Professorship In Addiction Nursing   | 46,156.93                         |                                 |                      | 25,893.29   |   | 40,707.47                             | 428,963.31                      |
| 57600196 Rose Brigham Klepfer Research Fund                    | 143,068.65                        |                                 |                      | 3,171.84  |   | 12.59                                 | 49,341.16                       |
| 57600198 Michael Matthew Knight Memorial Professorship In      | 283,279.99                        | 10,000.00                       |                      | 9,830.80  |   | 39.03                                 | 152,938.48                      |
| 57600199 Bartels Family Professorship In Neurology             | 367,852.77                        |                                 |                      | 21,325.13   |   | 25,143.12                             | 339,748.24                      |
| 57600200 Roy M. And Phyllis Gough Huffington Distinguished     | 33,296.34                         |                                 |                      | 25,850.81   |   | 18,872.21                             | 412,575.79                      |
| 57600203 John M. And Susan M. Powers Dental Student Research   | 3,248,471.66                      |                                 |                      | 2,287.95  |   | 9.08                                  | 35,593.37                       |
| 57600208 Martin Bucksbaum Distinguished University Chair Fund  | 371,442.46                        |                                 |                      | 344,479.90  |   | (149,529.74)                          | 3,443,421.82                    |
| 57600221 John Edward Tyson Distinguished Professorship In      | 603,023.02                        |                                 |                      | 25,523.30   |   | 101.34                                | 397,067.10                      |
| 57600223 Frederick B. Asche Chair In Ophthalmology             | 161,924.80                        |                                 |                      | 41,436.20   |   | 164.52                                | 644,623.74                      |
| 57600225 Gibson And Martha Gayle Professorship                 | 145,233.08                        |                                 |                      | 11,126.52   |   | 44.18                                 | 173,095.50                      |
| 57600226 Alfred W. Lasher Iii Professorship                    | 129,469.90                        |                                 |                      | 9,979.57  |   | 39.62                                 | 155,252.27                      |
| 57600227 Stephen A. Lasher Professorship                       | 157,483.91                        |                                 |                      | 8,896.37  |   | 35.32                                 | 138,401.59                      |
| 57600228 Ernest L. Kurth, Jr. Professorship                    | 1,834,141.84                      |                                 |                      | 10,821.38   |   | 42.97                                 | 168,348.26                      |
| 57600230 The Roy And Phyllis Gough Huffington Distinguished    | 134,625.71                        |                                 |                      | 126,031.36  |   | 500.42                                | 1,960,673.62                    |
| 57600236 Herbert L. And Margaret W. Dupont Master Clinical     | 319,285.00                        |                                 |                      | 9,250.71  |   | 36.73                                 | 143,913.15                      |
| 57600245 John P. McGovern Graduate School Of Biomedical        | 34,829.38                         |                                 |                      | 21,939.36   |   | 87.11                                 | 341,311.47                      |
| 57600251 John R. Ludington, Jr., D.D.S., M.S.D. Endowed Fund   | 1,052,020.38                      |                                 |                      | 2,393.30  |   | 9.50                                  | 37,232.18                       |
| 57600257 Vale Asche Russell Professorship                      | 2,285,800.38                      |                                 |                      | 72,288.66   |   | 287.03                                | 1,124,596.07                    |
| 57600260 John S. Dunn Distinguished University Chair In        | 3,033,149.94                      |                                 |                      | 157,066.68  |   | 623.64                                | 2,443,490.70                    |
| 57600262 Joe M. Green, Jr. Chair In Ophthalmology              | 659,345.78                        |                                 |                      | 208,420.17  |   | 827.55                                | 3,242,397.66                    |
| 57600271 Mary Ann Sandy Santleben Lunsford Student Externship  | 73,282.31                         |                                 |                      | 45,306.16   |   | 177.69                                | 704,829.63                      |
| 57600274 Thomas S. Matney, Ph.D. Endowed Professorship In      | 142,664.66                        |                                 |                      | 5,035.56  |   | 19.99                                 | 78,337.86                       |
| 57600278 Levit Family Distinguished Professorship In The       | 669,708.06                        |                                 |                      | 9,803.08  |   | 38.92                                 | 152,506.66                      |
| 57600282 William S. Kilroy, Sr. Chair In Pulmonary Disease     | 740,545.04                        | 254,000.00                      |                      | 46,018.40   |   | 182.72                                | 715,909.18                      |
| 57600285 The George And Cynthia Mitchell Distinguished Chair   | 1,797,847.25                      |                                 |                      | 74,312.94   |   | 252,262.90                            | 1,321,120.88                    |
| 57600286 Robert A. Welch Distinguished University Chair In     | 3,229,911.83                      |                                 |                      | 123,537.46  |   | 490.51                                | 1,921,875.22                    |
| 57600288 Nancy And Rich Kinder Distinguished Chair In          | 1,522,856.02                      |                                 |                      | 221,940.43  |   | 881.23                                | 3,452,733.49                    |
| 57600289 John P. McGovern, M.D. Professorship In Osterian      | 274,450.86                        | 10,000.00                       |                      | 104,841.65  |   | 415.49                                | 1,627,913.16                    |
| 57600291 James T. Willerson Distinguished Chair In             | 1,500,188.90                      |                                 |                      | 19,239.52   |   | 74.88                                 | 303,765.26                      |
| 57600293 The Keenan Professorship In Cardiovascular Disease    | 154,771.47                        |                                 |                      | 105,426.35  |   | 76,970.52                             | 1,682,585.77                    |
| 57600294 The Patti Jayne Ross Professorship In Obstetrics And  | 122,930.97                        | 300.00                          |                      | 10,634.97   |   | 42.23                                 | 165,448.67                      |
| 57600296 John S. Dunn Distinguished Professorship              | 315,535.15                        |                                 |                      | 8,452.93  |   | 33.40                                 | 131,717.30                      |
| 57600299 The Jerry And Maury Rubenstein Foundation             | 333,000.32                        |                                 |                      | 21,681.74   |   | 86.09                                 | 337,302.98                      |
| 57600301 John S. Dunn Distinguished Professorship Ii           | 315,535.15                        |                                 |                      | 22,881.85   |   | 90.85                                 | 355,973.02                      |
| 57600306 John S. Dunn, Sr. Distinguished Professorship Iii     | 317,133.65                        |                                 |                      | 21,681.74   |   | 86.09                                 | 337,302.98                      |
|  |                                   |                                 |                      | 21,791.57   |   | 86.52                                 | 339,011.74                      |



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|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600307 Becker Family Foundation Professorship in Diabetes                             | 169,850.12                        | 20,000.00                       |                      | 12,432.93   |   | 46.34                                 | 202,329.39                      |
| 57600308 Muller-Eberhard Memorial Lecture Series  | 166,277.90                        |                                 |                      | 11,425.63   |   | 45.37                                 | 177,748.90                      |
| 57600310 Linda And Ronny Finger Foundation Distinguished Chair                          | 1,223,135.52                      |                                 |                      | 84,046.63   |   | 333.71                                | 1,307,515.86                    |
| 57600315 John P. Mcgovern, M.D. Center For Health Humanities,                           | 3,387,161.82                      |                                 |                      | 232,745.74  |   | 924.13                                | 3,620,831.69                    |
| 57600316 Opal C. Rankin Professorship in Neurology                                      | 213,019.73                        |                                 |                      | 14,970.03   |   | 10,929.46                             | 238,919.22                      |
| 57600318 John S. Dunn, Sr. Distinguished Professorship Iv                               | 288,467.73                        |                                 |                      | 19,821.80   |   | 78.70                                 | 308,368.23                      |
| 57600320 Harry E. Bovay, Jr. Distinguished University Chair In                          | 1,386,645.39                      |                                 |                      | 95,282.05   |   | 378.32                                | 1,482,305.76                    |
| 57600322 Ethel V. Hermsillo Dean'S Excellence Fund                                      | 2,409,139.09                      |                                 |                      | 165,541.81  |   | 667.29                                | 2,575,338.19                    |
| 57600323 Herbert L. And Margaret W. Dupont Professorship In                             | 14,440.19                         |                                 |                      | 992.22  |   | 3.94                                  | 15,436.35                       |
| 57600326 Rodney J. Sands New Initiatives Stem Cell Research                             | 554,245.76                        | 230,500.32                      |                      | 50,744.98   | (492.59)  |                                       | 1,068,190.88                    |
| 57600329 Mary Elizabeth Holdsworth Distinguished University                             | 2,958,341.10                      |                                 |                      | 203,279.71  |   | 807.14                                | 3,162,427.95                    |
| 57600331 Nina And Michael Zikha Distinguished Chair In                                  | 3,245,288.86                      |                                 |                      | 222,995.69  |   | 885.42                                | 3,469,149.97                    |
| 57600336 John P. Mcgovern, M.D. Chair In Health, Humanities,                            | 1,288,312.16                      |                                 |                      | 88,525.18   |   | 351.49                                | 1,377,188.83                    |
| 57600337 Jerold B. Katz Distinguished Professorship In Stem                             | 531,204.65                        |                                 |                      | 36,501.25   |   | 144.93                                | 567,850.83                      |
| 57600340 Robert And Annie Graham Distinguished Chair In Stem                            | 315,781.25                        |                                 |                      | 21,698.65   |   | 86.16                                 | 337,566.06                      |
| 57600342 Samuel E. Karff, Dhl Chair In The John P Mcgovern,                             | 1,280,923.09                      |                                 |                      | 88,017.50   |   | 349.48                                | 1,369,290.07                    |
| 57600347 Dr. Doris L. Ross Professorship  | 609,184.74                        |                                 |                      | 41,859.58   |   | 166.21                                | 651,210.53                      |
| 57600348 Phyllis Gough Huffington Endowed Lecture Series Ii                             | 115,910.99                        | 500.00                          |                      | 7,964.74  |   | 31.62                                 | 123,907.35                      |
| 57600350 Thomas Still Matney, Ph.D., Endowed Professorship In                           | 103,021.63                        |                                 |                      | 7,111.97  |   | 28.11                                 | 110,661.71                      |
| 57600354 Dr. And Mrs. Carl V. Vartian Professorship In                                  | 118,540.74                        |                                 |                      | 8,145.43  |   | 32.34                                 | 126,718.51                      |
| 57600358 Investing In Student/Futures Endowment Fund                                    | 243,528.36                        | 13,100.00                       |                      | 17,231.00   |   | 66.44                                 | 273,925.80                      |
| 57600393 Marjorie B. Poyner Endowment For Medical Research In                           | 3,108,047.92                      | 81,058.56                       |                      | 216,648.91  |   | 769.14                                | 3,406,524.53                    |
| 57600394 Richard Andrey, M.D. Endowed Distinguished                                     | 266,605.78                        |                                 |                      | 18,513.08   |   | 10,212.71                             | 295,331.57                      |
| 57600406 C. Harold And Lorine G. Wallace Distinguished University Chair Fund            | 3,476,847.67                      |                                 |                      | 238,908.46  |   | 948.60                                | 3,716,704.73                    |
| 57600410 Jane Dale Owen Professorship In Environmental Health Protection                | 1,230,125.87                      |                                 |                      | 86,447.27   |   | 63,110.02                             | 1,379,683.16                    |
| 57600430 Herbert and Margaret Dupont Distinguished Professorship in Infectious Diseases | 1,076,447.91                      |                                 |                      | 75,647.33   |   | 55,224.18                             | 1,207,319.42                    |
| 57600432 Hollister Research Fund  | 107,552.42                        |                                 |                      | 7,390.36  |   | 29.34                                 | 114,972.12                      |
| 57600438 Cheves & Isabella Smythe Professorship in Medicine                             | 325,943.86                        |                                 |                      | 22,396.84   |   | 87.84                                 | 348,428.54                      |
| 57600444 Runtells Research Scholar in Emergency Medicine                                | 371,617.29                        |                                 |                      | 25,535.34   |   | 101.39                                | 397,254.02                      |
| 57600464 Harriet & Joe Foster Chair in Cognitive Neuroscience                           | 555,162.99                        |                                 |                      | 38,147.49   |   | 151.47                                | 593,461.95                      |
| 57600468 Elizabeth Morford Chair in Ophthalmology                                       | 1,096,480.71                      |                                 |                      | 75,343.69   |   | 299.16                                | 1,172,123.56                    |
| 57600469 Bernice Weingarten Chair in Ophthalmology                                      | 548,240.35                        |                                 |                      | 37,671.81   |   | 149.58                                | 586,061.74                      |
| 57600484 Doctor of Nursing Practice Fund for Excellence                                 | 11,000.29                         |                                 |                      | 755.89  |   | 3.00                                  | 11,759.18                       |
| 57600485 Maureen O'Driscoll-Levy, MD Cardiovascular Clinical Education Endowment        | 110,002.79                        |                                 |                      | 7,558.77  |   | 30.01                                 | 117,591.57                      |
| 57600496 Drs. Emil & Anna Steinberger Endowed Research Award in Reproductive Biology    | 127,206.53                        |                                 |                      | 8,752.67  |   | 634.71                                | 136,593.91                      |
| 57600498 R. Palmer Beasley, M.D. Chair  | 235,197.52                        | 650.00                          |                      | 16,190.38   |   | 64.17                                 | 252,102.07                      |
| 57600500 R. Palmer Beasley, M.D. Travel Award in International Research                 | 593,649.05                        |                                 |                      | 41,718.75   |   | 30,456.38                             | 665,824.18                      |
| 57600503 Frank Yatsu, M.D. Chair in Neurology   | 1,005,574.50                      |                                 |                      | 69,097.11   |   | 274.35                                | 1,074,945.96                    |
| 57600509 Jacobo Geissler Distinguished Chair in West Syndrome Research                  | 1,036,276.38                      |                                 |                      | 71,206.78   |   | 282.73                                | 1,107,765.89                    |
| 57600514 Marcus Key, M.D. Shell Occupational & Environmental Health Endowed Chair       | 535,678.82                        |                                 |                      | 37,644.92   |   | 27,482.30                             | 600,806.04                      |
| 57600522 Marvin Chernosky, M.D. Endowed Distinguished Chair in Dermatology              | 1,002,346.26                      |                                 |                      | 68,875.32   |   | 273.47                                | 1,071,495.05                    |
| 57600524 David Grimes II Neurology Fund   | 98,034.55                         |                                 |                      | 6,736.35  |   | 26.75                                 | 104,797.65                      |
| 57600525 Dr. Sam A. Winkelmann Research Award   | 60,104.49                         | 20,000.00                       |                      | 4,891.84  |   | 16.40                                 | 85,012.73                       |



The University of Texas Health Science Center at Houston  
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|   | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600529 Mary Fairish Johnston Distinguished Chair                                | 1,041,189.87                      |                                 |                      | 71,974.54   |   | 6,803.17                              | 1,119,967.58                    |
| 57600530 Louisa Stude Sarofim Distinguished Chair                                 | 1,041,189.87                      |                                 |                      | 71,544.28   |   | 282.32                                | 1,113,016.47                    |
| 57600533 W. Bonham Magness, D.D.S. Endowed Professorship in Orthodontics          | 96,505.85                         |                                 |                      | 6,631.30  |   | 26.33                                 | 103,163.48                      |
| 57600540 Leah Lewis Distinguished Chair   | 403,938.47                        | 400,000.00                      |                      | 40,953.07   |   | 110.21                                | 845,001.75                      |
| 57600542 Landmark Charities Professorship in Autism Research and Treatment        | 119,484.82                        |                                 |                      | 8,210.32  |   | 32.60                                 | 127,727.74                      |
| 57600553 Steve Lasher and Janiece Longoria Graduate Student Research Award        | 50,478.87                         | 25,000.00                       |                      | 4,420.95  |   | 13.77                                 | 79,913.59                       |
| 57600555 Rolanette and Berton Lawrence Award in Bone Disease Research             | 767,272.81                        |                                 |                      | 52,722.46   |   | 209.34                                | 820,204.61                      |
| 57600559 Christopher Sarofim Family Professorship in Biomedical Informatics       | 115,388.75                        | 10,000.00                       |                      | 7,928.87  |   | 28.75                                 | 133,346.17                      |
| 57600562 The Reynolds and Reynolds Professorship in Clinical Informatics          | 192,050.46                        |                                 |                      | 13,196.54   |   | 52.40                                 | 205,299.40                      |
| 57600563 Tuberos Sclerosis Complex Center Fund                                    | 101,168.90                        | 1,000.00                        |                      | 6,989.82  |   | 27.60                                 | 109,186.32                      |
| 57600567 Lynn and Oscar Wyatt Chair in Metabolic Research                         | 960,252.29                        |                                 |                      | 65,982.87   |   | 261.99                                | 1,026,497.15                    |
| 57600569 Laura and Bradley McWilliams Endowed Research Fund for Urology           | 47,398.36                         |                                 |                      | 3,256.96  |   | 12.93                                 | 50,668.25                       |
| 57600573 Joseph Corriere, Jr. Educational and Research Fund in Urology            | 230,431.55                        |                                 |                      | 15,833.90   |   | 62.87                                 | 246,328.32                      |
| 57600575 Ato Weltge, MD and Janet Macheleid, MD Emergency Medicine Endowment      | 13,458.51                         |                                 |                      | 924.76  |   | 3.67                                  | 14,386.94                       |
| 57600583 Andrew Burgess, MD Chair in Orthopaedic Trauma Surgery                   | 985,796.88                        |                                 |                      | 69,277.24   |   | 37,773.13                             | 1,092,847.25                    |
| 57600584 Dr. Filemon Tan Educational Endowment for Junior Faculty                 | 43,773.09                         | 65,485.06                       |                      | 6,958.61  | 136.31  | 30,464.94                             | 146,818.01                      |
| 57600592 Raye White Basic and Laboratory Science Research Fund in Ophthalmology   | 1,549,676.63                      |                                 |                      | 106,484.65  |   | 422.80                                | 1,656,584.08                    |
| 57600598 Nicole and Evan Katz Pediatric Dermatology Endowed Research Fund         | 407,858.31                        |                                 |                      | 28,025.59   |   | 111.28                                | 435,995.18                      |
| 57600603 Faye Sarofim Basic and Laboratory Science Research Fund in Ophthalmology | 1,549,676.63                      |                                 |                      | 106,484.65  |   | 422.80                                | 1,656,584.08                    |
| 57600605 John and Katherine McGovern Research Endowment                           | 1,060,061.50                      | 500,000.00                      |                      | 105,833.26  |   | 289.22                                | 1,666,183.98                    |
| 57600614 Barry and Sherry Wallace Fund for Cardiovascular Research                | 101,964.61                        |                                 |                      | 7,006.38  |   | 27.82                                 | 108,998.81                      |
| 57600616 GSBS Outreach Fund   | 101,964.61                        |                                 |                      | 7,006.38  |   | 27.82                                 | 108,998.81                      |
| 57600620 Dr. and Mrs. Carl Vartian Professorship in Clinical Informatics          | 101,713.01                        | 25,000.00                       |                      | 7,973.51  |   | 31,317.99                             | 166,004.51                      |
| 57600625 Clare Glassell Family Pediatric Surgery Research Fund                    | 901,413.11                        | 300,000.00                      |                      | 126,354.97  |   | 784,316.70                            | 2,112,084.78                    |
| 57600629 Juan Jose Ruiz, MD Ophthalmology Excellence Fund                         | 2,019,543.42                      |                                 |                      | 138,771.11  |   | 551.00                                | 2,158,865.53                    |
| 57600630 Dr. Damon Wells, Jr. Endowed Fund for Cardiovascular Research            | 247,403.80                        | 125,000.00                      |                      | 28,526.83   |   | 124,079.11                            | 525,009.74                      |
| 57600634 Pierce Runnells Memorial Research Fund                                   | 250,000.00                        |                                 |                      | 35,551.30   |   | 243,248.74                            | 528,800.04                      |
| 57600636 UTHRO Endowment for Healthy Living                                       |                                   | 32,610.00                       |                      | 2,102.41  |   | 1,208.01                              | 35,920.42                       |
| 57600637 Susan and Richard Anderson Distinguished Chair in Ophthalmology          |                                   | 200,000.00                      |                      | 28,351.80   |   | 212,238.49                            | 440,590.29                      |
| 57600640 D. Dudley and Judy White Oldham Research Fund                            |                                   | 23,500.00                       |                      | 3,377.09  |   | 24,365.36                             | 51,242.45                       |
| 57600641 Dorothy Nicholson Distinguished Professorship                            |                                   | 85,000.00                       |                      | 12,049.55   |   | 90,201.36                             | 187,250.91                      |
| 57600642 Graham Faculty Fellowship  |                                   | 25,000.00                       |                      | 5,136.97  |   | 49,324.91                             | 79,461.88                       |
| 57600646 Bob and Hazel Casey Chair in Biochemistry                                |                                   | 98,800.00                       |                      | 9,253.31  |   | 105,417.68                            | 213,470.99                      |
| 57600649 Judge Paul and Mary Ferguson Neurology Research Endowment                |                                   | 50,000.00                       |                      | 4,611.61  |   | 49,618.27                             | 104,229.88                      |
| 57600652 Ann and Don Fizer Foundation Endowment for Depression Research           |                                   | 100,000.00                      |                      | 9,223.22  |   | 99,236.54                             | 208,459.76                      |
| 57600662 Morgan and Shawn Adibi, DDS, Med Endowment                               |                                   | 16,666.72                       |                      | 954.99  |   | 15,846.15                             | 33,467.86                       |
| 57600670 Dean and Jackie Pisani Lead Fund for Medical Genetics                    |                                   | 50,000.00                       |                      | 1,891.71  |   | 51,413.94                             | 103,305.65                      |
| 57600672 Howell Family Research Fund  |                                   | 50,000.00                       |                      | 1,891.69  |   | 51,413.88                             | 103,305.57                      |
| <b>TOTAL RESEARCH</b>   | <b>119,984,226.74</b>             | <b>2,823,170.66</b>             |                      | <b>9,122,925.89</b>   | <b>216.80</b>                                       | <b>2,289,795.69</b>                   | <b>134,220,335.78</b>           |
| <b>PUBLIC SERVICE</b>   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600384 Susan Cooley King Pediatric Literacy Fund                                | 27,181.05                         |                                 |                      | 1,867.72  |   | 7.42                                  | 29,056.19                       |
| 57600581 Mina Rosenthal-Eames Patient Care Fund                                   | 14,994.14                         | 19,500.00                       |                      | 1,624.12  |   | 4.09                                  | 36,122.35                       |

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|   | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600587 Sue McRaney Indigent Care Endowment Fund   | 171,622.82                        | 20,000.00                       |                      | 13,375.09   |   | 9,970.45                              | 214,968.36                      |
| 57600661 David Meraz Memorial Fund for Veterans Oral Health                               |                                   | 30,000.00                       |                      | 2,203.90  |   | 30,334.04                             | 62,537.94                       |
| <b>TOTAL PUBLIC SERVICE</b>   | <b>213,798.01</b>                 | <b>69,500.00</b>                |                      | <b>19,070.83</b>  |   | <b>40,316.00</b>                      | <b>342,684.84</b>               |
| <b>ACADEMIC SUPPORT</b>   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600045 The John P. McGovern Outstanding Teacher Awards                                  | 631,687.89                        |                                 |                      | 43,405.89   |   | 172.35                                | 675,266.13                      |
| 57600065 Walter G. Sterling Award For Excellence  | 49,977.84                         |                                 |                      | 3,434.20  |   | 13.64                                 | 53,425.68                       |
| 57600220 Elizabeth W. Quinn Oncology Research Award                                       | 18,622.94                         |                                 |                      | 1,279.69  |   | 5.08                                  | 19,907.71                       |
| 57600265 The Dental Hygiene Class Of 2003 Endowment Fund                                  | 15,442.66                         |                                 |                      | 1,061.12  |   | 4.21                                  | 16,507.99                       |
| 57600338 John H. Harris, Jr., M.D., D.Sc. Endowed Lecture                                 | 173,315.71                        |                                 |                      | 11,909.20   |   | 47.29                                 | 185,272.20                      |
| 57600388 John P. McGovern Medical Humanities Fund   | 2,074,846.86                      |                                 |                      | 142,571.19  |   | 566.09                                | 2,217,984.14                    |
| 57600458 Mary Kelsey Professorship in Medical Sciences                                    | 344,742.91                        |                                 |                      | 23,688.66   |   | 94.06                                 | 368,525.63                      |
| 57600499 MD/PhD Endowment Fund in Honor of Peter Davies and Dianna Milewicz               | 52,421.91                         |                                 |                      | 3,602.11  |   | 14.30                                 | 56,038.32                       |
| 57600506 John Powers Ph.D. Professorship in Oral Biomaterials                             | 107,412.88                        |                                 |                      | 7,380.81  |   | 29.31                                 | 114,823.00                      |
| 57600558 Nancy and Vincent Guinee, M.D. Distinguished Chair                               | 1,207,923.70                      | 100,000.00                      |                      | 88,498.95   |   | 101,443.07                            | 1,497,865.72                    |
| 57600564 Dr. D. R. Seth Family Professorship in Biostatistics                             | 143,423.62                        | 75,000.00                       |                      | 12,161.04   |   | 24,848.30                             | 255,432.96                      |
| 57600572 Edward Randall, III Chair in Internal Medicine                                   | 626,435.32                        | 5,300.00                        |                      | (37,304.16)   |   | 149.26                                | 594,580.42                      |
| 57600579 The Glassell Family Foundation Distinguished Chair                               | 516,688.63                        | 250,000.00                      |                      | 59,355.90   |   | 264,338.08                            | 1,090,382.61                    |
| 57600601 Dr. Marnie Rose Professorship in Pediatric Neurosurgery                          | 31,801.88                         | 30,000.00                       |                      | 3,328.05  |   | 8.68                                  | 65,138.61                       |
| 57600607 John and Katherine McGovern Distinguished Chair (1)                              | 543,469.40                        | 500,000.00                      |                      | 70,785.17   |   | 6,953.82                              | 1,121,208.39                    |
| 57600608 John and Katherine McGovern Distinguished Chair (2)                              | 543,469.40                        | 500,000.00                      |                      | 71,547.75   |   | 46,882.71                             | 1,161,899.86                    |
| 57600609 John and Katherine McGovern Distinguished Chair (3)                              | 543,469.40                        | 500,000.00                      |                      | 71,547.75   |   | 46,882.71                             | 1,161,899.86                    |
| 57600610 John and Katherine McGovern Distinguished Chair (4)                              | 543,469.40                        | 500,000.00                      |                      | 71,547.75   |   | 46,882.71                             | 1,161,899.86                    |
| 57600611 John and Katherine McGovern Distinguished Chair (5)                              | 543,469.40                        | 500,000.00                      |                      | 71,547.75   |   | 46,882.71                             | 1,161,899.86                    |
| 57600612 John and Katherine McGovern Distinguished Chair (6)                              | 543,469.40                        | 500,000.00                      |                      | 71,547.75   |   | 46,882.71                             | 1,161,899.86                    |
| 57600613 John and Katherine McGovern Distinguished Chair (1)                              | 1,019,645.92                      |                                 |                      | 70,064.02   |   | 278.19                                | 1,089,988.13                    |
| 57600627 Harriet and Joe Foster Distinguished Professorship                               | 102,233.31                        | 50,000.00                       |                      | 9,945.33  |   | 57,669.15                             | 219,847.79                      |
| 57600638 D. Dudley and Judy White Oldham Faculty Award                                    |                                   | 100,000.00                      |                      | 6,697.37  |   | 1,500.00                              | 108,197.37                      |
| 57600650 Bridges Family Nursing Excellence Fund   |                                   | 17,500.00                       |                      | 1,294.67  |   | 18,899.92                             | 37,694.59                       |
| 57600653 Sally Vernon, Ph.D. Distinguished Professorship in Social Determinants of Health |                                   | 250,000.00                      |                      | 23,058.11   |   | 248,091.36                            | 521,149.47                      |
| 57600663 Rick McCord Professorship in Neurology   |                                   | 125,000.00                      |                      | 9,182.82  |   | 126,391.92                            | 260,574.74                      |
| 57600665 Joan and Stanford Alexander Professorship in Neurodevelopmental Disabilities     |                                   | 125,000.00                      |                      | 9,182.82  |   | 126,391.92                            | 260,574.74                      |
| 57600668 Pamela Clark DDS and Gerald Wiesner Endowment in Pediatric Dentistry             |                                   | 5,000.00                        |                      | 98.08   |   | 65.83                                 | 5,163.91                        |
| <b>TOTAL ACADEMIC SUPPORT</b>   | <b>10,377,440.38</b>              | <b>4,132,800.00</b>             |                      | <b>922,419.79</b>   |   | <b>1,212,389.38</b>                   | <b>16,645,049.55</b>            |
| <b>STUDENT SERVICES</b>   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600669 Laura Moore and Don Sanders Nutrition Education Endowment                        |                                   | 1,000,000.00                    |                      | 41,502.93   |   | 1,019,706.39                          | 2,061,209.32                    |
| <b>TOTAL STUDENT SERVICES</b>   |                                   | <b>1,000,000.00</b>             |                      | <b>41,502.93</b>  |   | <b>1,019,706.39</b>                   | <b>2,061,209.32</b>             |
| <b>INSTITUTIONAL SUPPORT</b>  |                                   |                                 |                      |   |   |                                       |                                 |
| 57600292 George And Mary Josephine Hamman Foundation                                      | 433,496.30                        |                                 |                      | 29,787.29   |   | 5,548.15                              | 468,831.74                      |
| 57600321 Ethel V. Hermsillo Employee Benefit Fund   | 14,440.19                         |                                 |                      | 992.22  |   | 3.94                                  | 15,436.35                       |

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|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600330 The George McMillan Fleming Professorship in Health                              | 110,726.19                        |                                 |                      | 7,608.41  |   | 30.21                                 | 118,364.81                      |
| 57600339 Cheves Smythe, M.D. Endowed Lectureship  | 112,911.42                        |                                 |                      | 7,758.60  |   | 30.81                                 | 120,700.83                      |
| 57600357 Henry W. Strobel Ph.D. Freshman Retreat Fund                                     | 189,665.09                        | 29,643.33                       |                      | 13,480.91   | 34.77   | 30.19                                 | 232,854.29                      |
| 57600361 Jack H. Mayfield, M.D. Distinguished University Chair                            | 2,046,569.31                      |                                 |                      | 140,628.15  |   | 558.37                                | 2,187,755.83                    |
| 57600362 Jack H. Mayfield, M.D. Chair in Surgery  | 503,806.07                        |                                 |                      | 34,618.55   |   | 137.46                                | 538,562.08                      |
| 57600363 Jack H. Mayfield, M.D. Distinguished Professorship In                            | 276,984.76                        |                                 |                      | 19,032.73   |   | 75.57                                 | 296,093.06                      |
| 57600398 Nancy B. Willerson Professorship In Nursing                                      | 398,867.72                        |                                 |                      | 27,697.32   |   | 15,279.17                             | 441,844.21                      |
| 57600418 UT Medical School at Houston Art Wall Fund                                       | 39,969.49                         |                                 |                      | 2,746.42  |   | 10.77                                 | 42,726.68                       |
| 57600419 Carlos Hamilton, Jr., M.D. Endowed President's Excellence Fund                   | 45,892.27                         |                                 |                      | 3,153.46  |   | 12.52                                 | 49,058.25                       |
| 57600441 Robert Graham Professorship in Entrepreneurial Biomedical Informatics            | 123,753.97                        |                                 |                      | 8,503.62  |   | 33.76                                 | 132,291.35                      |
| 57600479 James Grotta Chair in Neurology and Stroke Recovery                              | 3,918,632.10                      |                                 |                      | 269,265.25  |   | 1,069.13                              | 4,188,966.48                    |
| 57600480 Dentsply Tulsa Dental Endowed Residents' Fund                                    | 107,875.68                        |                                 |                      | 7,412.55  |   | 29.43                                 | 115,317.66                      |
| 57600493 Alkek-Williams Distinguished Chair   | 368,369.91                        | 60,000.00                       |                      | 25,311.06   |   | 84.13                                 | 453,765.10                      |
| 57600504 Frank Yatsu, M.D. Symposium Endowment  | 524,303.23                        | 550.00                          |                      | 36,086.03   |   | 1,143.05                              | 562,082.31                      |
| 57600521 Steve and Jessica Koo Endowed Fund   | 6,450.97                          |                                 |                      | 453.29  |   | 330.96                                | 7,235.22                        |
| 57600526 Dr. Bernard and Gloria Katz Chair in Oral and Maxillofacial Surgery              | 299,949.80                        | 100,000.00                      |                      | 24,420.08   |   | 81.84                                 | 424,451.72                      |
| 57600535 Endowment Fund in Honor of Peter Davies, MD, PhD and Dianna Milewicz, MD, PhD    | 15,628.86                         | 5,000.00                        |                      | 1,196.39  |   | 864.70                                | 22,689.95                       |
| 57600539 Richard Andrassy, M.D. Distinguished Chair in Pediatric Surgery                  | 1,026,743.73                      | 324,343.62                      |                      | 78,925.06   |   | 115,624.34                            | 1,545,636.75                    |
| 57600565 Ralph Frankson, DDS Fund   | 117,934.69                        | 3,500.00                        |                      | 8,246.56  |   | 32.18                                 | 129,713.43                      |
| 57600570 Dr. Noriaki Aoki Fund  | 26,848.13                         |                                 |                      | 1,844.86  |   | 7.33                                  | 28,700.32                       |
| 57600577 David and Donna Offutt Pediatric Dentistry Endowment                             | 58,993.64                         | 5,000.00                        |                      | 4,514.88  |   | 4,977.95                              | 73,486.47                       |
| 57600578 Herbert Seybold, MD Memorial Fund  | 97,916.71                         |                                 |                      | 6,728.22  |   | 26.71                                 | 104,671.64                      |
| 57600582 Jesse Health, Jr. Family Legacy Award  | 41,039.04                         | 10,000.00                       |                      | 3,369.77  |   | 10,122.38                             | 64,531.19                       |
| 57600586 Drs. Rita Ne, Chad Park and Todd Istre Endowment                                 | 20,625.17                         | 10,000.00                       |                      | 2,812.21  |   | 9,735.48                              | 43,172.86                       |
| 57600589 Nephrology Educational and Research Fund   | 1,011,589.26                      |                                 |                      | 69,510.43   | (1,866.75)  | 276.00                                | 1,081,375.69                    |
| 57600593 Graham Distinguished University Chair in Critical Care and Pulmonary Medicine    | 421,682.81                        | 199,312.00                      |                      | 48,140.89   |   | 231,882.83                            | 899,151.58                      |
| 57600595 Allen Gaw, DDS Endowment   | 20,911.32                         | 10,000.00                       |                      | 2,376.52  |   | 10,191.20                             | 43,479.04                       |
| 57600606 John and Katherine McGovern Distinguished Faculty Awards                         | 1,019,645.92                      | 250,000.00                      |                      | 86,560.06   |   | 278.19                                | 1,356,484.17                    |
| 57600617 Dr. John Kopchick Fellows, Scholarship and Research Program                      | 101,964.61                        | 50,000.00                       |                      | 151,420.36  |   | 5,076,515.55                          | 5,379,900.52                    |
| 57600619 Catherine Flaitz, DDS Professorship in Pediatric Dentistry                       | 52,676.68                         | 20,000.00                       |                      | 5,464.18  |   | 19,861.01                             | 98,001.87                       |
| 57600643 John and Kathrine McGovern Center for Humanities and Ethics Endowment Fund       |                                   | 250,000.00                      |                      | 9,523.30  |   | 30,347.32                             | 259,523.30                      |
| 57600647 Pediatric Resident and Fellow Research and Education Fund                        | 64,571.66                         | 300,000.00                      |                      | 3,520.52  |   | 297,709.63                            | 625,379.35                      |
| 57600654 E.C. Hinds Academy Foundation-John Helfrick Endowment                            |                                   | 100,000.00                      |                      | 27,669.72   |   | 99,236.54                             | 208,459.76                      |
| 57600656 Daniel Arnold Endowed Fund for Vision Rehabilitation                             |                                   | 20,000.00                       |                      | 9,223.22  |   | 20,565.57                             | 40,930.11                       |
| 57600675 School of Public Health Nutrition Education Endowment in Honor of Roberta Anding |                                   | 60,000.00                       |                      | 364.54  |   |                                       | 60,000.00                       |
| 57600677 Thomas W. Wild Pediatric Dentistry Endowment                                     |                                   | 20,000.00                       |                      |   |   |                                       | 20,000.00                       |
| 57600678 Barbara Gibbs Partnership Fund   |                                   | 20,000.00                       |                      |   |   |                                       | 20,000.00                       |
| 57600680 Rolanette & Berdon Lawrence Bone Disease Program of Texas Support Fund           |                                   | 332,241.75                      |                      |   | 1,616.08  |                                       | 333,857.83                      |
| <b>TOTAL INSTITUTIONAL SUPPORT</b>  | <b>13,556,865.04</b>              | <b>2,224,162.36</b>             | <b>1,180,367.43</b>  | <b>5,952,744.37</b>   | <b>(215.90)</b>                                     | <b>22,913,923.30</b>                  | <b>22,913,923.30</b>            |

**SCHOLARSHIPS AND FELLOWSHIPS**

|  |           |  |  |          |  |       |           |
|--|-----------|--|--|----------|--|-------|-----------|
| 57600009 The Meg Davis Memorial Scholarship Fund           | 27,786.53 |  |  | 1,909.31 |  | 7.58  | 29,703.42 |
| 57600022 The Hardin-Parents Organization Scholarships Fund | 43,356.69 |  |  | 2,979.22 |  | 11.83 | 46,347.74 |

The University of Texas Health Science Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2017

|   | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600023 The Harris County Medical Society Auxiliary Award For    | 26,951.54                         |                                 |                      | 1,851.95  |   | 7.35                                  | 28,810.84                       |
| 57600037 The D. Jack Kilian Memorial Scholarship                  | 26,179.70                         |                                 |                      | 1,798.91  |   | 7.14                                  | 27,985.75                       |
| 57600041 Dr. Lawrence E. Lamb Scholarship Fund                    | 41,953.31                         |                                 |                      | 2,882.82  |   | 11.45                                 | 44,847.58                       |
| 57600048 Lucy Massenburg Scholarship Fd                           | 228,614.04                        |                                 |                      | 15,709.02   |   | 62.37                                 | 244,385.43                      |
| 57600063 Deborah Frances Soderstrom Memorial Scholarship Fund     | 634,549.53                        |                                 |                      | 43,602.52   |   | 173.13                                | 678,325.18                      |
| 57600069 M. D. Anderson Matching Scholarship Fund                 | 106,994.61                        | 500.00                          |                      | 7,371.10  |   | 29.19                                 | 114,894.90                      |
| 57600066 The Holman Taylor, Sr., M.D. And Holman Taylor, Jr., M.D | 240,925.87                        | 1,000.00                        |                      | 16,574.58   |   | 65.73                                 | 258,566.18                      |
| 57600071 The Utmsih Alumni Association Scholarship Fund           | 488,166.46                        | 2,750.00                        |                      | 33,607.07   |   | 133.19                                | 524,656.72                      |
| 57600081 Bernard Saltzberg Research Scholarship Fund              | 112,638.71                        |                                 |                      | 7,739.87  |   | 30.73                                 | 120,409.31                      |
| 57600082 Daniel C Kamas Scholarship Fund                          | 93,462.79                         |                                 |                      | 6,436.70  |   | 494.62                                | 100,394.11                      |
| 57600084 William D And Helen L Guym Research And Scholarship      | 50,653.41                         |                                 |                      | 3,480.58  |   | 13.82                                 | 54,147.81                       |
| 57600085 Catherine A And A G Meneese Jr Medical Student           | 69,328.37                         |                                 |                      | 4,774.55  |   | 366.89                                | 74,469.81                       |
| 57600088 Raui Caffesse Dds Ms Endowed Scholarship Fund            | 67,023.23                         | 100.00                          |                      | 4,619.63  |   | 354.93                                | 72,097.79                       |
| 57600089 The Diekrs Surgical Scholarship Fund                     | 112,521.15                        |                                 |                      | 7,731.76  |   | 30.70                                 | 120,283.61                      |
| 57600090 Dean Omish Endowed Scholarship                           | 125,940.66                        | 20,000.00                       |                      | 10,530.77   |   | 21,016.63                             | 177,488.06                      |
| 57600093 The Lauren And Adam Strauss Endowed Scholarship          | 22,488.87                         |                                 |                      | 1,545.32  |   | 6.14                                  | 24,040.33                       |
| 57600094 The Southeast Section Of The T.W.P.C.A. Endowed          | 45,027.03                         |                                 |                      | 3,093.96  |   | 12.28                                 | 48,133.27                       |
| 57600095 The Ronald V. Glausen, D.D.S. Endowed Scholarship        | 23,617.76                         |                                 |                      | 1,626.53  |   | 124.99                                | 25,369.28                       |
| 57600096 Dr. Heyl G. Tebo Endowed Scholarship Fund                | 54,590.55                         |                                 |                      | 3,751.16  |   | 14.89                                 | 58,356.60                       |
| 57600097 Joseph T. Ainsworth, M.D. Country Doctor Endowed         | 230,130.41                        |                                 |                      | 15,848.94   |   | 1,217.87                              | 247,197.22                      |
| 57600102 Doris Simon Student Fund                                 | 112,233.59                        |                                 |                      | 7,729.46  |   | 593.95                                | 120,557.00                      |
| 57600103 Susan G. Sampson Endowed Memorial Scholarship Fund       | 258,227.97                        | 380.00                          |                      | 17,869.92   |   | 4,057.14                              | 280,535.03                      |
| 57600105 Andrew Sowell-Wade Huggins Endowed Scholarship For       | 31,957.86                         | 215.00                          |                      | 2,213.51  |   | 331.09                                | 34,717.46                       |
| 57600106 Reuel A. Stallones Memorial Scholarship Fund             | 1,375,269.47                      | 20,000.00                       |                      | 95,262.21   |   | 375.29                                | 1,490,906.97                    |
| 57600116 G. Roger Florky Memorial Scholarship Fund                | 21,722.56                         |                                 |                      | 1,492.67  |   | 5.93                                  | 23,221.16                       |
| 57600117 U. T. School Of Nursing - Houston Partners               | 39,899.47                         |                                 |                      | 2,747.86  |   | 211.15                                | 42,858.48                       |
| 57600119 Floyd L. Haar, M. D. Scholarship Fund                    | 2,067,958.85                      | 21,800.00                       |                      | 142,964.10  |   | 563.52                                | 2,233,286.47                    |
| 57600122 Nunez, Esteban, Fuentes - Nef Diversity Endowment For    | 41,265.97                         |                                 |                      | 2,841.88  |   | 218.38                                | 44,326.23                       |
| 57600124 Marcus M. Key Scholarship In Occupational And            | 19,233.11                         |                                 |                      | 1,321.59  |   | 5.25                                  | 20,559.95                       |
| 57600126 Corina Diaz And Waller Bajsel Endowed Scholarship        | 22,496.36                         |                                 |                      | 1,545.84  |   | 6.14                                  | 24,048.34                       |
| 57600129 Richard D. Remington Memorial Student Scholarship        | 35,250.20                         |                                 |                      | 2,427.64  |   | 186.55                                | 37,864.39                       |
| 57600131 Dawn M. Gross Memorial Scholarship Fund                  | 23,702.71                         |                                 |                      | 1,628.68  |   | 6.47                                  | 25,337.86                       |
| 57600132 Roseann Waindel Endowed Memorial Scholarship For         | 58,230.62                         |                                 |                      | 4,001.26  |   | 15.89                                 | 62,247.77                       |
| 57600135 David Mendell, M.D. Endowed Fellowship                   | 88,039.66                         |                                 |                      | 6,049.57  |   | 24.02                                 | 94,113.25                       |
| 57600138 John C. Ribble, M.D. Endowed Scholarship Fund            | 96,072.03                         |                                 |                      | 6,601.48  |   | 26.21                                 | 102,699.72                      |
| 57600141 William Randolph Hearst Endowed Nursing Scholarship      | 87,292.12                         |                                 |                      | 5,998.18  |   | 23.82                                 | 93,314.12                       |
| 57600150 Myrtle Adele Rufner Stinnett Endowed Scholarship         | 644,233.27                        |                                 |                      | 44,267.87   |   | 175.77                                | 688,676.91                      |
| 57600152 Helen Jimenez Dichoso, R.N. Endowed Scholarship          | 49,735.73                         | 2,000.00                        |                      | 3,493.71  |   | 13.57                                 | 55,243.01                       |
| 57600165 Gian Luigi Giannotti Endowed Memorial Scholarship In     | 155,209.62                        | 40,000.00                       |                      | 13,455.02   |   | 19,502.26                             | 228,166.90                      |
| 57600166 Helen Maxillofacial Surgery Endowed Scholarship          | 28,672.71                         |                                 |                      | 1,970.24  |   | 7.82                                  | 30,650.77                       |
| 57600177 Oral Maxillofacial Surgery Endowed Fellowship            | 25,664.60                         |                                 |                      | 1,763.52  |   | 7.00                                  | 27,435.12                       |
| 57600180 Sjord Steunebrink Scholarship Endowment                  | 633,325.05                        |                                 |                      | 43,518.36   |   | 172.79                                | 677,016.20                      |
| 57600182 William E. Pasteur, Jr. Memorial Scholarship In          | 116,340.88                        |                                 |                      | 7,994.23  |   | 31.74                                 | 124,366.85                      |
|   | 14,101.74                         |                                 |                      | 968.98  |   | 3.85                                  | 15,074.57                       |

The University of Texas Health Science Center at Houston  
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As of August 31, 2017

|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600183 Charles And Sybil Nolan Oncology Nursing Endowed            | 14,560.11                         |                                 |                      | 999.82  |   | 3.97                                  | 15,553.90                       |
| 57600184 L. Maximilian And Donna K. Buja Chair Fund                  | 37,275.28                         |                                 |                      | 2,580.95  |   | 1,010.17                              | 40,866.40                       |
| 57600192 Ut-Houston Golf Tournament Scholarship                      | 69,598.56                         |                                 |                      | 4,782.38  |   | 18.99                                 | 74,399.93                       |
| 57600201 The Rennie Makohon Lesem Endowed Scholarship In             | 29,533.61                         |                                 |                      | 2,029.37  |   | 8.06                                  | 31,571.04                       |
| 57600202 Ut-Houston Student Intercouncil Scholarship                 | 13,501.36                         |                                 |                      | 927.77  |   | 3.68                                  | 14,432.81                       |
| 57600205 The Bryant Boutwell, Dr. P. H. And John P. Mcgovern,        | 562,771.32                        | 25,100.00                       |                      | 39,725.72   |   | 3,213.73                              | 630,810.77                      |
| 57600206 The Classes of 1973, 1974, 1975, 1976 and 1977 25th Reunion | 24,330.89                         |                                 |                      | 1,671.88  |   | 6.64                                  | 26,009.41                       |
| 57600210 Daniel H. Lam Scholarship                                   | 60,972.11                         | 12,550.10                       |                      | 5,329.41  | 25.61   | 12,489.66                             | 91,366.89                       |
| 57600212 Eliza Lovett Randall Fellowship In Rheumatology             | 165,269.34                        | 27,000.00                       |                      | 13,380.88   |   | 27,073.60                             | 232,723.82                      |
| 57600213 Dr. Donald Charles Kroeger Student Research                 | 23,453.88                         |                                 |                      | 1,611.58  |   | 6.40                                  | 25,071.86                       |
| 57600218 J. Fred Annegers Memorial Scholarship Fund                  | 18,010.40                         |                                 |                      | 1,237.49  |   | 4.91                                  | 19,252.80                       |
| 57600222 Ronald J. Lorimer Memorial Scholarship Fund In              | 11,907.61                         |                                 |                      | 818.19  |   | 3.25                                  | 12,729.05                       |
| 57600231 John S. Dunn, Sr. Nursing Scholar'S Program                 | 283,036.34                        |                                 |                      | 19,448.59   |   | 77.22                                 | 302,562.15                      |
| 57600232 The Nancy A. Akers Endowed Scholarship                      | 184,039.72                        |                                 |                      | 12,646.12   |   | 50.21                                 | 196,736.05                      |
| 57600238 The Milton Yellen Memorial Fund                             | 23,192.80                         |                                 |                      | 1,593.64  |   | 6.33                                  | 24,792.77                       |
| 57600240 The Betty Murphy Scholarship                                | 51,307.77                         | 23,650.00                       |                      | 5,395.29  | 27.60   | 12,418.22                             | 92,798.88                       |
| 57600241 Dee S. And Patricia Osborne Endowed Scholarship In          | 73,273.70                         |                                 |                      | 5,034.97  |   | 19.99                                 | 78,328.66                       |
| 57600242 The Spero Martel Endowment For The Aging                    | 127,712.74                        |                                 |                      | 8,775.69  |   | 34.84                                 | 136,523.27                      |
| 57600243 Frederick C. Elliot/Severin Knutson/ Rupert Estel           | 39,602.01                         |                                 |                      | 2,721.20  |   | 10.80                                 | 42,334.01                       |
| 57600246 Dorothy A. Otto Award Fund                                  | 17,279.00                         |                                 |                      | 1,187.29  |   | 4.71                                  | 18,471.00                       |
| 57600247 R. W. Bill Butcher Endowment                                | 74,783.57                         |                                 |                      | 5,138.65  |   | 20.40                                 | 79,942.62                       |
| 57600248 Roberta M. And Jean M. Worsham Endowed Scholarship          | 58,223.12                         | 100.00                          |                      | 4,002.74  |   | 15.89                                 | 62,341.75                       |
| 57600250 Cheves And Polly Smythe Endowed Award For Excellence        | 34,805.02                         |                                 |                      | 2,391.62  |   | 9.50                                  | 37,206.14                       |
| 57600252 William Randolph Hearst Gerontological Nurse                | 663,696.10                        |                                 |                      | 45,605.26   |   | 181.08                                | 709,482.44                      |
| 57600253 Helmut And Mary Fuchs And James R. Waterston Endowed        | 563,498.59                        |                                 |                      | 38,720.31   |   | 153.74                                | 602,372.64                      |
| 57600256 Jo Ellen Reed-Gilstrap Scholarship Fund                     | 18,057.43                         |                                 |                      | 1,240.77  |   | 4.93                                  | 19,303.13                       |
| 57600272 Dan And Jay Sterling Fellowship In Gastroenterology         | 20,070.84                         |                                 |                      | 1,379.13  |   | 5.48                                  | 21,455.45                       |
| 57600273 Patti Jayne Ross Scholarship                                | 62,228.18                         |                                 |                      | 4,275.99  |   | 16.98                                 | 66,521.15                       |
| 57600275 Collins Hill, Jr. Memorial Scholarship In Cardiology        | 29,669.23                         | 5,000.00                        |                      | 2,038.73  |   | 8.09                                  | 31,716.05                       |
| 57600276 Charles And Rosemary Conlon Scholarship Fund                | 81,108.07                         |                                 |                      | 5,903.15  |   | 22.13                                 | 92,033.35                       |
| 57600279 The Dolan-Mullen Family Scholarship In Public Health        | 51,121.18                         |                                 |                      | 3,512.73  |   | 13.95                                 | 54,647.86                       |
| 57600281 Marian Frutiger Endowed Scholarship In Gerontology          | 22,427.61                         | 25,000.00                       |                      | 3,846.87  |   | 24,815.29                             | 76,089.77                       |
| 57600284 The Sam Taub And Beatrice Burton Endowed Fellowship         | 52,324.66                         |                                 |                      | 3,595.45  |   | 14.28                                 | 55,934.39                       |
| 57600287 Dr. Edgar H. Boelsche Scholarship                           | 63,882.41                         |                                 |                      | 4,389.63  |   | 17.43                                 | 68,289.47                       |
| 57600290 The T. C. Hsu Memorial Scholarship                          | 98,391.13                         |                                 |                      | 6,760.83  |   | 26.84                                 | 105,178.80                      |
| 57600298 Helene Fuld Health Trust                                    | 153,934.72                        |                                 |                      | 10,577.50   |   | 42.00                                 | 164,554.22                      |
| 57600302 The John E. Scanlon Memorial Scholarship                    | 581,420.75                        |                                 |                      | 39,951.83   |   | 158.63                                | 621,531.21                      |
| 57600313 Hitoshi Nikaidoh, M.D., Scholarship For Humanism In         | 12,564.70                         |                                 |                      | 863.38  |   | 3.43                                  | 13,431.51                       |
| 57600314 City Federation Of Women'S Clubs Endowed Scholarship        | 239,086.07                        |                                 |                      | 16,428.61   |   | 65.23                                 | 255,579.91                      |
| 57600317 Mr. And Mrs. Raiph T. Hull Scholarship In Public            | 57,144.97                         |                                 |                      | 3,926.69  |   | 15.59                                 | 61,087.25                       |
| 57600327 Margolis, Phipps And Wright Charitable Foundation           | 10,498.71                         |                                 |                      | 721.42  |   | 2.86                                  | 11,222.99                       |
| 57600328 Ernst Knobil Endowed Scholarship                            | 12,532.50                         |                                 |                      | (1,506.01)  | 2,109.56  |                                       | 110,968.72                      |
| 57600332 Ben Love Partners Scholarship                               | 103,807.40                        |                                 |                      | 7,133.00  |   | 28.32                                 | 111,968.72                      |
| 57600332 Ben Love Partners Scholarship                               | 110,131.06                        |                                 |                      | 7,567.54  |   | 30.05                                 | 117,728.65                      |

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|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600341 James H. Red Duke, Jr., M.D. Endowed Scholarship                                 | 56,537.66                         |                                 |                      | 3,884.91  |   | 15.43                                 | 60,438.00                       |
| 57600344 Carolyn Frost Keenan And Charlie Gaines Student                                  | 57,554.69                         |                                 |                      | 3,954.80  |   | 15.70                                 | 61,525.19                       |
| 57600351 Dottie And Max Goodloe Endowed Scholarship                                       | 322,064.16                        |                                 |                      | 22,130.34   |   | 87.87                                 | 344,282.37                      |
| 57600353 The Dr. Frank L. Cole Scholarship  | 10,397.61                         |                                 |                      | 714.49  |   | 2.84                                  | 11,114.94                       |
| 57600364 Erbert William Danny D'Anton, D.D.S. Memorial                                    | 22,996.76                         |                                 |                      | 1,580.23  |   | 6.27                                  | 24,583.26                       |
| 57600365 The Anna C. Saunders Scholarship   | 28,136.47                         |                                 |                      | 1,933.39  |   | 7.68                                  | 30,077.54                       |
| 57600366 Herbert L. & Margaret Dupont Distinguished Professorship In Infectious Diseases  | 9,476.70                          |                                 |                      | 651.19  |   | 2.59                                  | 10,130.48                       |
| 57600368 Dr. David W. Martin Memorial Scholarship   | 9,834.34                          |                                 |                      | 675.73  |   | 2.68                                  | 10,512.75                       |
| 57600370 People With Aids International Public Health                                     | 71,061.79                         |                                 |                      | 4,882.96  |   | 19.39                                 | 75,964.14                       |
| 57600371 People With Aids Public Health In The Americas                                   | 22,440.56                         |                                 |                      | 1,541.95  |   | 6.12                                  | 23,988.63                       |
| 57600381 T. Bradford Willis Scholarship In Pediatric Dentistry                            | 9,578.01                          |                                 |                      | 658.13  |   | 2.61                                  | 10,238.75                       |
| 57600386 Richard M. Grimes Scholarship In Public Health                                   | 17,904.66                         | 500.00                          |                      | 1,249.38  |   | 4.88                                  | 19,658.92                       |
| 57600389 Iola B. And James R. Ballinger, D.D.S. Scholarship In                            | 399,245.31                        |                                 |                      | 27,433.79   |   | 108.93                                | 426,788.03                      |
| 57600391 Kinnari M. Prajapati, D.D.S. Scholarship Fund                                    | 9,361.04                          |                                 |                      | 643.20  |   | 2.55                                  | 10,006.79                       |
| 57600392 Lu Ann Aday Scholarship In Management, Policy, And                               | 23,073.84                         |                                 |                      | 1,585.50  |   | 6.30                                  | 24,665.64                       |
| 57600395 Polly Sparks Turner, M.P.H., Dr.P.H. Endowed                                     | 53,027.33                         | 50.00                           |                      | 3,645.68  |   | 14.47                                 | 56,737.48                       |
| 57600396 Dr. Oddis Calvin Turner Endowed Scholarship In Health                            | 30,942.31                         | 1,000.00                        |                      | 2,192.15  |   | 8.44                                  | 34,142.90                       |
| 57600397 Gigi Family Scholarship  | 134,629.84                        |                                 |                      | 9,251.00  |   | 36.73                                 | 143,917.57                      |
| 57600400 Catherine Tyrrell Campbell Scholarship In Public                                 | 9,678.98                          |                                 |                      | 665.10  |   | 2.64                                  | 10,346.72                       |
| 57600403 Carolyn And Matt Khourie Endowed Scholarship In                                  | 36,424.32                         |                                 |                      | 2,502.87  |   | 9.94                                  | 38,937.13                       |
| 57600404 Barbara L. Kennedy Memorial Scholarship  | 26,551.05                         | 800.00                          |                      | 1,854.88  |   | 7.24                                  | 29,213.17                       |
| 57600405 Canus Dental Scholarship Fund  | 15,182.69                         | 187.50                          |                      | 1,050.38  |   | 4.14                                  | 16,424.71                       |
| 57600407 Anjie Wuelfrath Gee And Harry Gee Jr. Family Legacy Award                        | 118,866.52                        |                                 |                      | 8,167.79  |   | 32.43                                 | 127,066.74                      |
| 57600409 Elaine Elizabeth Barbieri Scholarship  | 50,892.23                         |                                 |                      | 3,496.99  |   | 13.89                                 | 54,403.11                       |
| 57600416 Dr. Kenneth Porter Operative Dentistry Scholarship Fund                          | 51,890.62                         |                                 |                      | 3,565.62  |   | 14.09                                 | 55,970.33                       |
| 57600421 Ralph O'Connor Endowed Scholarship   | 334,956.44                        |                                 | 500.00               | 23,014.32   |   | 63.74                                 | 358,034.50                      |
| 57600422 James & Nancy Willerson Endowed Scholarship in the School of Health Inf Sciences | 296,263.24                        |                                 |                      | 1,417.42  |   | 5.63                                  | 22,051.35                       |
| 57600423 J.W. Andrassy Endowed Scholarship  | 35,566.22                         |                                 |                      | 2,443.93  |   | 9.70                                  | 38,019.85                       |
| 57600424 Foster-Vance Dental Scholarship  | 105,956.91                        | 10,000.00                       |                      | 7,947.64  |   | 10,135.49                             | 134,040.04                      |
| 57600427 John McDonald, M.D. & Joan Bergstrom, M.D. Endowed Dean's Scholarship            | 29,027.47                         |                                 |                      | 1,994.59  |   | 7.92                                  | 31,029.98                       |
| 57600437 Uthson Alumni Endowment  | 35,689.03                         |                                 |                      | 2,452.33  |   | 9.74                                  | 38,151.10                       |
| 57600439 Rafaela Garcia Saqr Nursing Scholarship  | 111,105.74                        |                                 |                      | 7,634.53  |   | 30.31                                 | 118,770.58                      |
| 57600442 Tanya Kay Ostwald Memorial Scholarship Fund                                      | 40,071.96                         |                                 |                      | 2,857.64  |   | 10.39                                 | 47,764.99                       |
| 57600445 Ramirez-Rathmell South Texas General Dentistry Scholarship                       | 28,880.93                         |                                 |                      | 1,984.56  |   | 7.88                                  | 30,873.37                       |
| 57600455 Wilhelmina Cullen Robertson Smith Scholarship                                    | 206,470.27                        | 96,500.00                       |                      | 19,993.05   |   | 5,856.33                              | 328,779.65                      |
| 57600456 John D. Lee, D.D.S. Scholarship  | 10,914.60                         |                                 |                      | 750.01  |   | 2.98                                  | 11,667.59                       |
| 57600457 Harvin Family Endowed Student Scholarship  | 85,285.50                         | 5,000.00                        |                      | 5,958.11  |   | 20.54                                 | 96,264.15                       |
| 57600459 Triolo Leadership in Dentistry   | 26,923.74                         |                                 |                      | 1,850.04  |   | 7.35                                  | 28,781.13                       |
| 57600460 Robert H. Bigelow Endowed Scholarship  | 67,153.94                         | 5,913.38                        |                      | 4,838.44  | (28.42)   | 16.52                                 | 77,893.86                       |
| 57600465 George Stancel, Ph.D. Fellowship in the Biomedical Sciences                      | 119,008.44                        | 3,850.00                        |                      | 8,336.40  |   | 32.06                                 | 131,226.90                      |
| 57600471 Ronald B. Harnist Scholarship in Public Health                                   | 32,158.53                         | 250.00                          |                      | 2,209.73  |   | 8.77                                  | 34,627.03                       |
| 57600477 Sylvan Rodriguez Foundation Scholarship in Honor of George Stancel, Ph.D.        | 64,147.84                         |                                 |                      | 4,407.86  |   | 17.50                                 | 68,573.20                       |
| 57600481 Lawrence Warren Maher, Jr. D.D.S. Memorial Scholarship                           | 27,500.73                         |                                 |                      | 1,889.68  |   | 7.50                                  | 29,397.91                       |



The University of Texas Health Science Center at Houston  
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As of August 31, 2017

|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 576000482 The Fondren Foundation Scholarship   | 511,952.98                        |                                 |                      | 35,178.39   |   | 139.68                                | 547,271.05                      |
| 576000483 Julias & Suzan Glickman Endowed Scholarship in Innovation                                  | 70,890.05                         |                                 |                      | 4,871.13  |   | 19.34                                 | 75,780.52                       |
| 576000487 Wei Yu Family Endowed Scholarship  | 53,658.72                         |                                 |                      | 3,687.11  |   | 14.64                                 | 57,360.47                       |
| 576000488 Houston Asian American Dental Society Scholarship  | 26,914.08                         |                                 |                      | 1,849.39  |   | 7.34                                  | 28,770.81                       |
| 576000489 Lynn Cutrer Angel Scholarship Fund   | 195,867.80                        | 14,760.00                       |                      | 13,866.35   |   | 53.34                                 | 224,547.49                      |
| 576000490 Nhan Hien Scholarship Endowment  | 26,853.38                         |                                 |                      | 1,845.23  |   | 7.33                                  | 28,705.94                       |
| 576000492 Kamila R. Husain, D.D.A. & Nomaan K. Husain, J.D. Scholarship                              | 20,322.86                         | 2,500.00                        |                      | 1,423.14  |   | 517.78                                | 24,763.78                       |
| 576000497 Carus Dental-Dental Hygiene Endowed Scholarship Fund                                       | 15,908.89                         | 187.50                          |                      | 1,100.28  |   | 4.34                                  | 17,201.01                       |
| 576000501 Fadine Jackson Roquemore Endowed Scholarship in Biomedical Sciences                        | 47,106.40                         |                                 |                      | 3,236.90  |   | 12.85                                 | 50,356.15                       |
| 576000502 Giuseppe Colasurdo, M.D. Endowed Scholarship Fund  | 106,483.22                        | 2,500.00                        |                      | 7,412.12  |   | 29.05                                 | 116,424.39                      |
| 576000507 Schwinn Family Endowment   | 61,456.26                         |                                 |                      | 4,222.95  |   | 16.77                                 | 65,695.98                       |
| 576000512 Steve Lasher and Janiece Longoria Graduate Student Research Award                          | 25,290.65                         |                                 |                      | 1,737.86  |   | 6.90                                  | 27,035.41                       |
| 576000516 Keith Burau, Ph.D. Scholarship   | 40,251.74                         | 20,350.00                       |                      | 2,780.15  |   | 10.96                                 | 63,392.85                       |
| 576000519 Shirah May Hall Memorial Scholarship in Dental Hygiene                                     | 28,361.00                         | 1,500.00                        |                      | 2,006.01  |   | 7.74                                  | 31,874.75                       |
| 576000520 William "Sam" Lefeste, D.D.S. Memorial Scholarship   | 25,058.62                         |                                 |                      | 1,721.90  |   | 6.84                                  | 26,787.36                       |
| 576000523 Thien Vu Scholarship   | 25,783.13                         |                                 |                      | 1,771.64  |   | 7.03                                  | 27,561.80                       |
| 576000527 Dr. and Mrs. Tan Yu Scholarship Fund   | 50,250.71                         | 6,200.00                        |                      | 3,806.22  |   | 11.66                                 | 60,268.59                       |
| 576000528 Noreen Lemak, M.D. Fellowship in Neurology   | 52,000.50                         |                                 |                      | 3,573.19  |   | 14.19                                 | 55,587.88                       |
| 576000532 Carol Lewis Heideman Endowed Scholarship   | 96,501.64                         |                                 |                      | 6,631.02  |   | 26.33                                 | 103,158.99                      |
| 576000534 Mary Joe White Scholarship   | 17,535.14                         | 115.00                          |                      | 1,210.81  |   | 4.76                                  | 18,865.71                       |
| 576000541 Marcus Frank and Amy Gillis Schwartz Family Scholarship                                    | 53,231.45                         | 9,756.30                        |                      | 4,029.49  | 7.72  | 11.80                                 | 67,036.76                       |
| 576000544 Glenn Housholder Scholarship Fund  | 1,081,781.40                      |                                 |                      | 74,333.66   |   | 295.15                                | 1,156,410.21                    |
| 576000545 Robert Hardy and Morton Hawkins Endowed Scholarship in Biostatistics                       | 24,120.35                         | 500.00                          |                      | 1,676.47  |   | 6.58                                  | 26,303.40                       |
| 576000546 Rosa and Pablo Gutierrez Scholarship   | 16,642.20                         | 13,725.00                       |                      | 1,599.50  |   | 212.94                                | 32,179.64                       |
| 576000552 A.P. Raghuthaman, MD Fellowship in Gastroenterology  | 51,591.40                         |                                 |                      | 3,545.05  |   | 14.08                                 | 55,150.53                       |
| 576000554 Margaret McNeese, MD Endowed Scholarship   | 101,209.43                        | 17,000.00                       |                      | 7,427.02  |   | 527.61                                | 126,164.06                      |
| 576000571 Joseph and Selenia Valley Memorial Scholarship in Health Promotion and Behavioral Sciences | 51,900.79                         |                                 |                      | 3,566.30  |   | 14.16                                 | 55,481.25                       |
| 576000574 Mark Edward Stinson, MD Global Health Student Assistance Fund                              | 21,473.24                         |                                 |                      | 1,475.53  |   | 5.86                                  | 22,954.63                       |
| 576000574 Mark Edward Stinson, MD Global Health Student Assistance Fund                              | 24,359.80                         | 500.00                          |                      | 1,692.92  |   | 6.65                                  | 26,559.37                       |
| 576000576 Ellen Taylor Goldin Legacy Award   | 68,578.72                         | 16,000.00                       |                      | 6,188.06  |   | 15,896.54                             | 106,663.32                      |
| 576000580 McGee Family Scholarship   | 97,916.71                         |                                 |                      | 6,728.22  |   | 26.71                                 | 104,671.64                      |
| 576000585 The Schissler Foundation Fellowship  | 1,487,571.37                      | 250,000.00                      |                      | 102,212.43  |   | 337.65                                | 1,840,121.45                    |
| 576000588 Lu-Yu Hwang, M.D. and R. Palmer Beasley, M.D. Scholarship in Global Health                 | 24,819.10                         | 12,500.00                       |                      | 3,449.13  |   | 12,169.22                             | 52,937.45                       |
| 576000590 Clifford Campbell Scholarship in Public Health   | 26,501.55                         |                                 |                      | 1,821.01  |   | 7.23                                  | 28,329.79                       |
| 576000594 Martha Gooding Foundation for Compassionate Nursing Scholarship                            | 34,667.93                         | 13,000.00                       |                      | 4,195.57  |   | 12,658.41                             | 64,521.91                       |
| 576000599 George Gatoura, MD and Georgia Foulard, MD Scholarship                                     | 22,541.98                         | 700.00                          |                      | 1,597.82  |   | 1,164.84                              | 26,004.64                       |
| 57600600 The Lingle Whiteley Scholarship   | 8,943.83                          | 5,040.00                        |                      | 760.83  |   | 537.11                                | 15,281.77                       |
| 576006004 John and Katherine McGovern Scholarship Fund   | 1,019,645.92                      | 1,000,000.00                    |                      | 136,048.26  |   | 278.19                                | 2,155,972.37                    |
| 57600615 Georgiana Bolton Ladd Scholarship   | 244,714.97                        | 19,700.00                       |                      | 18,643.77   |   | 19,916.37                             | 302,975.11                      |
| 57600618 Carolyn and Robert Allison Foundation Scholarship in Obesity and Metabolics                 | 2,007,065.60                      | 150,000.03                      |                      | 137,913.88  |   | 547.60                                | 2,145,526.88                    |
| 57600621 Larry Deaven PhD Fellowship in Biomedical Sciences  | 160,565.26                        |                                 |                      | 24,867.98   |   | 148,898.70                            | 484,331.97                      |
| 57600628 Dr. M. Stewart Weat Memorial Scholarship in Biometry  | 25,505.17                         |                                 |                      | 1,752.54  |   | 6.96                                  | 27,264.67                       |
| 57600626 Kay Bartholomew Eldredge Family Endowed Scholarship   | 100,478.29                        |                                 |                      | 6,904.25  |   | 27.38                                 | 107,409.92                      |
| 57600631 James Duke, Jr. MD Memorial Scholarship   | 211,507.39                        | 39,958.99                       |                      | 17,382.49   | 55.21   | 24,815.85                             | 293,719.93                      |

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|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600632 Lucy Komeier, MD Scholarship Fund   | 7,245.89                          | 8,733.81                        |                      | 680.46  |   | 477.44                                | 17,137.60                       |
| 57600633 Alexander Butkevich, MD Scholarship                                       | 25,000.00                         |                                 |                      | 1,717.40  |   |                                       | 26,717.40                       |
| 57600639 D. Dudley and Judy White Oldham Scholarship                               |                                   | 25,000.00                       |                      | 3,487.36  |   | 24,324.91                             | 52,812.27                       |
| 57600644 Joyce Standish, RN Scholarship  |                                   | 20,000.00                       |                      | 1,844.62  |   | 19,847.32                             | 41,691.94                       |
| 57600645 Tom Traweek, MD and Family Endowed Scholarship                            |                                   | 100,000.00                      |                      | 9,223.22  |   | 99,236.54                             | 208,459.76                      |
| 57600648 Judy and Rodney Margolis Scholarship                                      |                                   | 8,500.00                        |                      | 849.51  |   | 10,496.31                             | 19,845.82                       |
| 57600655 Frazer Scholarship Fund   |                                   | 10,000.00                       |                      | 922.30  |   | 9,923.63                              | 20,845.93                       |
| 57600657 Gao Family Scholarship  |                                   | 5,000.00                        |                      | 191.73  |   | 124.31                                | 5,316.04                        |
| 57600666 David Dillard Grayson, Sr. and Inge Grayson Scholarship                   |                                   | 50,000.00                       |                      | 980.34  |   | 50,980.34                             | 50,980.34                       |
| 57600667 Sharma Endowment for Excellence in Community Nutrition, Health & Wellness |                                   | 25,000.00                       |                      | 945.82  |   | 25,706.97                             | 51,652.79                       |
| 57600671 John and Rebekah Harper Fellowship in Biomedical Sciences                 |                                   | 98,500.00                       |                      | 3,755.98  |   | 102,785.35                            | 205,041.33                      |
| <b>TOTAL SCHOLARSHIPS AND FELLOWSHIPS</b>  | <b>25,698,550.77</b>              | <b>2,303,747.61</b>             |                      | <b>1,900,510.77</b>   | <b>2,197.28</b>                                     | <b>668,752.77</b>                     | <b>30,573,759.20</b>            |

|                                   |                       |                      |  |                      |                 |                      |                       |
|-----------------------------------|-----------------------|----------------------|--|----------------------|-----------------|----------------------|-----------------------|
| <b>TOTAL TRUE ENDOWMENT FUNDS</b> | <b>207,911,598.19</b> | <b>13,077,469.33</b> |  | <b>15,895,141.27</b> | <b>5,803.05</b> | <b>11,696,942.63</b> | <b>248,586,954.47</b> |
|-----------------------------------|-----------------------|----------------------|--|----------------------|-----------------|----------------------|-----------------------|

FUNDS FUNCTIONING AS ENDOWMENTS

INSTRUCTION

|  |                     |  |  |                   |  |                 |                     |
|--|---------------------|--|--|-------------------|--|-----------------|---------------------|
| RESTRICTED   |                     |  |  |                   |  |                 |                     |
| 57600001 Mr. and Mrs. George T. Abell Lectureship in Neurology | 91,363.05           |  |  | 6,277.93          |  | 24.93           | 97,665.91           |
| 57600038_ Allan King Professorship in Public Health            | 315,207.06          |  |  | 21,659.14         |  | 86.00           | 336,952.20          |
| 57600144 Beth Toby Grossman Professorship in Spirituality And  | 183,239.88          |  |  | 12,591.16         |  | 49.99           | 195,881.03          |
| 57600369_ Clanton Endowment for Orthopedic Education           | 204,202.63          |  |  | 14,031.63         |  | 55.71           | 218,289.97          |
| 57600382 Patricia L. Starck/Partners Endowed Professorship     | 93,502.42           |  |  | 6,424.91          |  | 25.51           | 99,952.84           |
| 57600402 Hans J. Muller-Eberhard, M.D., Ph.D. Distinguished    | 295,346.33          |  |  | 20,294.46         |  | 80.58           | 315,721.37          |
| 57600408 Margaret A. Barnett/Partners Professorship in Nursing | 115,158.47          |  |  | 7,913.03          |  | 31.42           | 123,102.92          |
| 57600538 Walter Lowe, MD Professorship                         | 98,516.99           |  |  | 6,923.27          |  | 5,054.29        | 110,494.55          |
| 57600624 UT School of Dentistry at Houston Impact Fund         | 651,418.30          |  |  | 44,749.49         |  | 1.74            | 696,169.53          |
| <b>TOTAL RESTRICTED</b>  | <b>2,047,955.13</b> |  |  | <b>140,865.02</b> |  | <b>5,410.17</b> | <b>2,194,230.32</b> |

UNRESTRICTED

|   |              |  |  |            |  |        |              |
|---|--------------|--|--|------------|--|--------|--------------|
| 57600017 M. D. Anderson Foundation- John H. Freeman Fund      | 1,376,350.00 |  |  | 94,574.62  |  | 375.51 | 1,471,300.13 |
| 57600056 Harvey S. Rosenberg, M.D. Chair in Pathology And     | 1,728,827.58 |  |  | 118,794.83 |  | 471.68 | 1,848,094.09 |
| 57600142 The John H. Trolinger Lecture Series On Ethical      | 18,203.20    |  |  | 1,250.82   |  | 4.97   | 19,458.99    |
| 57600188 President George W. Bush Chair in Cardiovascular     | 194,582.40   |  |  | 13,370.53  |  | 53.09  | 208,006.02   |
| 57600214 Ernst Knobil, Ph.D. Memorial Endowment Fund          | 315,022.50   |  |  | 21,646.51  |  | 85.95  | 336,754.96   |
| 57600229 The Rockwell Chair in Society And Health Quasi       | 594,656.62   |  |  | 40,861.27  |  | 162.24 | 635,680.13   |
| 57600254 James T. And Nancy B. Willerson Chair Quasi          | 343,377.97   |  |  | 23,594.90  |  | 93.69  | 367,066.56   |
| 57600277 Children'S Fund Inc. Distinguished Professorship In  | 108,577.36   |  |  | 7,460.79   |  | 29.62  | 116,067.77   |
| 57600324_ Harry E. Bovay Lecture Series in Molecular Medicine | 115,520.86   |  |  | 7,937.90   |  | 31.52  | 123,490.28   |



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|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600547 GSBS Education Endowment Fund                                | 1,387,650.10                      |                                 |                      | 95,338.45   |   | 616,194.22                            | 2,099,182.77                    |
| 57600548 Physician/Scientist Endowment Fund                           | 450,324.86                        |                                 |                      | 30,939.46   |   | 35,061.06                             | 516,325.38                      |
| 57600550 Thomas Weill Professorship in Oral and Maxillofacial Surgery | 14,714.12                         |                                 |                      | 1,034.06  |   | 754.90                                | 16,503.08                       |
| TOTAL UNRESTRICTED  | 6,647,807.57                      |                                 |                      | 456,804.14  |   | 653,318.45                            | 7,757,930.16                    |
| <b>TOTAL INSTRUCTION</b>  | <b>8,695,762.70</b>               |                                 |                      | <b>597,669.16</b>   |   | <b>658,728.62</b>                     | <b>9,952,160.48</b>             |

**RESEARCH**

|   |               |          |            |              |       |           |               |
|---|---------------|----------|------------|--------------|-------|-----------|---------------|
| RESTRICTED  |               |          |            |              |       |           |               |
| 57600060_Griff T. Ross Professorship In Humanities                                | 349,781.38    |          |            | 24,034.91    |       | 95.43     | 373,911.72    |
| 57600075 William M. Wheeler, III Professorship In The                             | 275,260.24    | 2,917.00 |            | 19,106.73    |       | 75.10     | 297,359.07    |
| 57600114 Endowed Professorship In Forensic Pathology                              | 250,009.32    |          |            | 17,569.47    |       | 12,826.41 | 280,405.20    |
| 57600121 Edward T. Smith, M. D. Chair Fund In Orthopaedic                         | 944,555.27    |          |            | 64,904.27    |       | 257.71    | 1,009,717.25  |
| 57600123 The Department Of Anesthesiology Excellence Fund                         | 770,331.75    |          |            | 52,932.66    |       | 210.17    | 823,474.58    |
| 57600133 School of Nursing Endowment Research Fund                                | 220,412.86    |          |            | 15,145.48    |       | 60.14     | 235,618.48    |
| 57600178 Helen A. Krause Endowed Research Fund In Renal                           | 146,709.64    |          |            | 10,080.97    |       | 40.03     | 156,830.64    |
| 57600179 Herman A. Krause Endowed Research Fund In                                | 84,019.07     |          |            | 5,773.27     |       | 22.92     | 89,815.26     |
| 57600207 Joseph N. Corriere, Jr. Research Fund In Urology                         | 130,001.52    |          | 180,162.19 | 8,932.97     | 25.88 | 35.47     | 138,969.96    |
| 57600209 Weatherhead P.E.T. Center Fund   | 8,953,484.28  |          |            | 863,680.20   |       | 25.78     | 9,997,378.33  |
| 57600355 Adrianna Blood Professorship In Neurology                                | 106,742.91    |          |            | 7,334.75     |       | 29.12     | 114,106.78    |
| 57600433 Partners Faculty Research Scholar Fund                                   | 111,466.49    |          |            | 7,658.38     |       | 16.77     | 119,141.64    |
| 57600435 Tuberos Sclerosis Complex Center Fund                                    | 510,395.79    |          |            | 35,071.39    |       | 139.25    | 545,606.43    |
| 57600446 Endowed Chair of Mitochondrial Medicine                                  | 806,693.48    |          |            | 55,431.17    |       | 220.09    | 862,344.74    |
| 57600467 Partners Professorship   | 105,150.56    |          |            | 7,225.35     |       | 28.69     | 112,404.60    |
| 57600491 Arlo Weltege, M.D. & Janet Macheleidt, M.D. Emergency Medicine Endowment | 12,891.32     |          |            | 885.80       |       | 3.52      | 13,780.64     |
| 57600505 Diagnostic and Biomedical Sciences Endowment                             | 102,427.06    |          |            | 7,038.17     |       | 27.95     | 109,493.18    |
| 57600561 Arnett Center Endowment Fund   | 2,397,727.60  |          |            | 164,757.64   |       | 654.18    | 2,563,139.42  |
| TOTAL RESTRICTED  | 16,278,060.54 | 2,917.00 | 180,162.19 | 1,367,563.58 | 25.88 | 14,768.73 | 17,843,497.92 |

UNRESTRICTED

|   |                      |                 |                   |                     |              |                  |                      |
|---|----------------------|-----------------|-------------------|---------------------|--------------|------------------|----------------------|
| 57600019_Robert Greer Professorship In The Biomedical Sciences              | 271,732.11           |                 |                   | 18,671.84           |              | 74.14            | 290,478.09           |
| 57600024 The Berel Held, M.D. Professorship In The Department               | 292,182.16           |                 |                   | 20,077.06           |              | 79.72            | 312,338.94           |
| 57600099 Faculty Distinguished Chair In Molecular Pathology                 | 2,613,953.84         |                 |                   | 179,614.87          |              | 704.44           | 2,794,273.15         |
| 57600100 Distinguished Chair In Pathology And Laboratory                    | 2,210,510.47         |                 |                   | 151,893.23          |              | 603.10           | 2,363,006.80         |
| 57600171 Joseph C. Gabel, M.D. Endowed Chair In Anesthesiology              | 1,047,331.84         |                 |                   | 73,601.68           |              | 53,735.69        | 1,174,669.21         |
| 57600204 Roy M. And Phyllis Gough Huffington Distinguished                  | 269,609.45           |                 |                   | 18,946.87           |              | 13,831.97        | 302,388.29           |
| 57600255 June And Virgil Waggoner Distinguished Professorship               | 710,752.79           |                 |                   | 48,838.76           |              | 193.92           | 759,785.47           |
| 57600283 Imm General Endowment  | 127,197.78           |                 |                   | 8,740.31            |              | 34.70            | 135,972.79           |
| 57600305 Kozmetsky Family Chair In Human Genetics                           | 727,910.60           |                 |                   | 50,017.75           |              | 198.60           | 778,126.95           |
| 57600325 Melva S. Ramsay Award  | 12,592.57            |                 |                   | 865.28              |              | 3.44             | 13,461.29            |
| 57600413 Linda K. Finger Chair In Autoimmune And Connective Tissue Diseases | 515,189.06           |                 |                   | 35,400.78           |              | 140.56           | 550,730.40           |
| TOTAL UNRESTRICTED  | 8,798,962.67         |                 |                   | 606,668.43          |              | 69,600.28        | 9,475,231.38         |
| <b>TOTAL RESEARCH</b>   | <b>25,077,023.21</b> | <b>2,917.00</b> | <b>180,162.19</b> | <b>1,974,232.01</b> | <b>25.88</b> | <b>84,369.01</b> | <b>27,318,729.30</b> |

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|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| <b>PUBLIC SERVICE</b>  |                                   |                                 |                      |   |   |                                       |                                 |
| RESTRICTED   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600399 Mina Rosenthal-Eames Patient Care Fund                                    | 15,208.04                         |                                 |                      | 1,044.98  |   | 4.15                                  | 16,257.17                       |
| TOTAL RESTRICTED   | 15,208.04                         |                                 |                      | 1,044.98  |   | 4.15                                  | 16,257.17                       |
| <b>TOTAL PUBLIC SERVICE</b>  | <b>15,208.04</b>                  |                                 |                      | <b>1,044.98</b>   |   | <b>4.15</b>                           | <b>16,257.17</b>                |
| <b>HOSPITALS/CLINICS</b>   |                                   |                                 |                      |   |   |                                       |                                 |
| UNRESTRICTED   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600158 Center For Outcome Study And Program Evaluation In                        | 1,467,473.26                      |                                 |                      | 100,836.13  |   | 400.38                                | 1,568,709.77                    |
| TOTAL UNRESTRICTED   | 1,467,473.26                      |                                 |                      | 100,836.13  |   | 400.38                                | 1,568,709.77                    |
| <b>TOTAL HOSPITALS/CLINICS</b>   | <b>1,467,473.26</b>               |                                 |                      | <b>100,836.13</b>   |   | <b>400.38</b>                         | <b>1,568,709.77</b>             |
| <b>ACADEMIC SUPPORT</b>  |                                   |                                 |                      |   |   |                                       |                                 |
| RESTRICTED   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600012 Kraft W. Eidman Development Board Professorship In                        | 379,329.48                        |                                 |                      | 26,065.27   |   | 103.49                                | 405,498.24                      |
| 57600036 Mary W. Kelsey Professorship In The Medical Sciences                      | 559,191.92                        |                                 |                      | 38,424.35   |   | 152.57                                | 597,768.84                      |
| 57600058 Edward Randall Iii Professorship In Internal Medicine                     | 607,551.09                        |                                 |                      | 41,747.36   |   | 165.76                                | 649,464.21                      |
| 57600380 Aaron M. Blanchard Memorial Award In Medical Physics                      | 16,389.05                         | 450.00                          |                      | 1,147.49  |   | 4.47                                  | 17,991.01                       |
| 57600417 Paul Darlington, Ph.D. Faculty Mentor Award                               | 33,079.65                         |                                 |                      | 2,273.04  |   | 9.03                                  | 35,361.72                       |
| 57600461 Stanley Schultz, M.D. Student Travel Award in Global Health               | 11,685.43                         | 400.00                          |                      | 818.13  |   | 3.13                                  | 12,906.69                       |
| 57600602 Dr. Mamie Rose Professorship in Pediatric Neurosurgery                    | 106,006.14                        |                                 |                      | 7,284.11  |   | 28.92                                 | 113,319.17                      |
| 57600660 Denton Cooley, MD and Ralph Cooley, DDS Endowed Fund for Dental Education |                                   | 850.00                          |                      | 28,643.71   |   | 1,460,957.20                          | 1,489,600.91                    |
| TOTAL RESTRICTED   | 1,713,232.76                      | 850.00                          |                      | 146,403.46  |   | 1,461,424.57                          | 3,321,910.79                    |
| UNRESTRICTED   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600014 William N. Finnegan, Iii Development Board                                | 425,176.10                        |                                 |                      | 29,215.61   |   | 116.00                                | 454,507.71                      |
| 57600016 John H. Freeman Award For Faculty Teaching                                | 92,800.46                         |                                 |                      | 6,376.72  |   | 25.32                                 | 99,202.50                       |
| 57600664 Chair for Precision Health  |                                   |                                 |                      | 38,093.14   |   | 1,000,000.00                          | 1,038,093.14                    |
| TOTAL UNRESTRICTED   | 517,976.56                        |                                 |                      | 73,685.47   |   | 1,000,141.32                          | 1,591,803.35                    |
| <b>TOTAL ACADEMIC SUPPORT</b>  | <b>2,231,209.32</b>               | <b>850.00</b>                   |                      | <b>220,088.93</b>   |   | <b>2,461,565.89</b>                   | <b>4,913,714.14</b>             |
| <b>INSTITUTIONAL SUPPORT</b>   |                                   |                                 |                      |   |   |                                       |                                 |
| RESTRICTED   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600025 Emma Sue Hightower Development Board Professorship In                     | 365,993.15                        |                                 |                      | 25,148.87   |   | 99.86                                 | 391,241.88                      |
| 57600510 David Wagner, MD and James Roberts, MD Emergency Medicine Endowment       | 65,867.54                         | 2,000.00                        |                      | 4,526.06  |   | 17.97                                 | 72,411.57                       |
| 57600515 Edward Jackson Ph.D. Graduate Education Endowment Fund                    | 25,908.11                         |                                 |                      | 1,780.25  |   | 7.07                                  | 27,695.43                       |

The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2017

|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600566 Ralph Frankson, DDS Fund (Quasi)                          | 18,959.29                         |                                 |                      | 1,302.78  |   | 5.17                                  | 20,267.24                       |
| 57600568 Catherine Flatz, DDS Professorship in Pediatric Dentistry | 99,829.37                         |                                 |                      | 6,859.68  |   | 27.24                                 | 106,716.29                      |
| TOTAL RESTRICTED   | 576,557.46                        | 2,000.00                        |                      | 39,617.64   |   | 157.31                                | 618,332.41                      |
| UNRESTRICTED   |                                   |                                 |                      |   |   |                                       |                                 |
| 57600004 The Roger J. Bulger Visiting Lecture Series In Health     | 477,807.36                        |                                 |                      | 32,832.11   |   | 130.36                                | 510,769.83                      |
| 57600147 Alikek/Williams Distinguished Chair                       | 698,298.22                        |                                 |                      | 47,982.92   |   | 190.52                                | 746,471.66                      |
| 57600414 Guy S. Parcel Chair in Public Health                      | 502,749.34                        |                                 |                      | 34,545.95   |   | 137.17                                | 537,432.46                      |
| 57600415 Chair in Biomedical Engineering                           | 620,251.69                        |                                 |                      | 42,620.03   |   | 169.23                                | 663,040.95                      |
| 57600536 Dr. Noriaki Aoki Fund                                     | 39,838.87                         |                                 |                      | 2,737.47  |   | 10.87                                 | 42,587.21                       |
| 57600560 Game Changers Fund  | 37,545,937.80                     |                                 |                      | 2,181,674.82  | (8,849,397.52)                                      |                                       | 30,878,215.10                   |
| 57600508_SPH Dean's Academic & Research Support Fund               | 2,292,120.61                      |                                 |                      | 161,079.17  | 117,594.28  |                                       | 2,570,794.06                    |
| 57600622 Distinguished Chair for Orthopedic Research               | 2,055,670.66                      |                                 |                      | 141,758.42  | 26,309.74   |                                       | 2,223,738.82                    |
| 57600635 President's Academic Excellence Fund                      | 10,000,000.00                     |                                 |                      | 1,016,874.60  | 5,000,000.00  |                                       | 16,016,874.60                   |
| TOTAL UNRESTRICTED   | 54,232,674.55                     |                                 |                      | 3,662,105.49  | (3,704,855.35)                                      |                                       | 54,189,924.69                   |
| <b>TOTAL INSTITUTIONAL SUPPORT</b>                                 | <b>54,809,232.01</b>              | <b>2,000.00</b>                 |                      | <b>3,701,723.13</b>   |   | <b>(3,704,698.04)</b>                 | <b>54,808,257.10</b>            |

SCHOLARSHIPS AND FELLOWSHIPS

|   |            |          |  |           |        |          |            |
|---|------------|----------|--|-----------|--------|----------|------------|
| RESTRICTED  |            |          |  |           |        |          |            |
| 57600072_C. Frank Webber, M.D. Prize For Student Research                         | 29,673.36  | 350.00   |  | 2,062.08  |        | 8.10     | 32,093.54  |
| 57600110 Richard K. Severs Endowed Scholarship Fund                               | 21,261.51  |          |  | 1,460.98  |        | 5.80     | 22,728.29  |
| 57600111 Leslie A. Chambers Endowed Scholarship Fund                              | 21,238.76  |          |  | 1,459.42  |        | 5.79     | 22,703.97  |
| 57600187 The Guy And Alissa Mcdaniels Memorial Scholarship                        | 26,465.78  |          |  | 1,818.56  |        | 7.22     | 28,291.56  |
| 57600237 The Frederick C. Elliot Dental Student Scholarship                       | 41,230.76  |          |  | 2,833.16  |        | 11.25    | 44,075.17  |
| 57600264 Organization Of Parents And Friends Scholarship                          | 471,174.03 |          |  | 32,376.29 |        | 128.55   | 503,678.87 |
| 57600346_Nancy Bergstrom Gerontological Nursing Scholarship                       | 15,606.56  |          |  | 1,072.40  |        | 4.26     | 16,683.22  |
| 57600360 Alliance Of The Texas Dental Association Endowed                         | 124,912.92 |          |  | 8,583.30  |        | 34.08    | 133,530.30 |
| 57600401 Annie Richardson Norris And Edward Perishing Norris,                     | 40,517.87  |          |  | 2,784.16  |        | 11.05    | 43,313.08  |
| 57600425 Marion Zeltzman Memorial Scholarship Fund                                | 60,023.69  | 100.00   |  | 4,128.26  |        | 16.38    | 64,268.33  |
| 57600429 Mark Stinson, M.D. Global Health Student Assistance fund                 | 19,953.36  | 1,000.00 |  | 1,409.21  |        | 5.44     | 22,368.01  |
| 57600431 Joseph C. and Selena E. Valley Memorial Scholarship in Geriatric Nursing | 12,307.26  | 100.00   |  | 847.67    |        | 3.36     | 13,258.29  |
| 57600434 David and Eula Wintermann Scholarship                                    | 106,700.83 |          |  | 7,331.87  |        | 29.11    | 114,061.81 |
| 57600440 Hershal Bradshaw Memorial Scholarship                                    | 245,866.09 |          |  | 16,894.45 |        | 67.08    | 262,827.62 |
| 57600443 DuPont/Fuentes Endowed Fellowship Award in Cardiovascular Medicine       | 11,619.57  |          |  | 797.82    |        | 3.17     | 12,412.02  |
| 57600447 Jacqueline Hecht Founding Director Scholarship                           | 55,492.03  | 500.00   |  | 798.41    |        | 3.17     | 56,290.44  |
| 57600449 James A. Baker III Transformation and Hope Scholarship                   | 62,816.09  |          |  | 3,849.43  | 615.09 |          | 67,149.60  |
| 57600450 Denton Cooley, MD Transformation and Hope Scholarship                    | 62,816.09  |          |  | 4,316.37  |        | 17.14    | 67,149.60  |
| 57600451 Eugene Vaughan Endowed Scholarship                                       | 63,796.44  |          |  | 4,383.74  |        | 17.41    | 68,197.59  |
| 57600453 Linda Walker Winter Memorial Scholarship                                 | 11,600.95  |          |  | 797.13    |        | 3.17     | 12,401.25  |
| 57600462 Student InterCouncil Endowed Scholarship                                 | 102,003.40 |          |  | 7,061.07  |        | 1,027.83 | 110,092.30 |
| 57600466 George Stance,, Ph.D. Fellowship in the Biomedical Sciences              | 26,287.73  |          |  | 1,806.31  |        | 7.17     | 28,101.21  |

The University of Texas Health Science Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2017

|  | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| 57600478 Cheves Smythe, M.D. Scholarship                               | 30,938.81                         |                                 |                      | 2,125.96  |   | 8.44                                  | 33,073.21                       |
| 57600486 Raymond & Yen Hoang Morrow Scholarship                        | 16,215.27                         |                                 |                      | 1,114.21  |   | 4.42                                  | 17,333.90                       |
| 57600495 SBMI Scholarship Excellence Fund                              | 52,964.53                         |                                 |                      | 3,639.38  |   | 14.45                                 | 56,618.36                       |
| 57600517 UTSD Faculty Scholarships                                     | 40,939.02                         |                                 |                      | 2,825.34  |   | 491.09                                | 44,255.45                       |
| 57600531 Mary Joe White Scholarship                                    | 24,101.38                         |                                 |                      | 1,656.10  |   | 6.58                                  | 25,764.06                       |
| 57600537 Natalie Ashton Kjar Scholarship Fund                          | 12,972.47                         |                                 |                      | 891.42  |   | 3.54                                  | 13,867.43                       |
| 57600543 Anita Borges Student Scholarship                              | 29,341.99                         | 20,800.00                       |                      | 2,016.05  |   | 5.28                                  | 31,363.32                       |
| 57600674 Claire Loe Memorial Scholarship                               |                                   |                                 |                      |   |   | 4,400.00                              | 25,200.00                       |
| <b>TOTAL RESTRICTED</b>  | <b>1,852,449.58</b>               | <b>22,850.00</b>                |                      | <b>127,456.92</b>   |   | <b>6,982.56</b>                       | <b>2,009,739.06</b>             |
| <b>UNRESTRICTED</b>  |                                   |                                 |                      |   |   |                                       |                                 |
| 57600125 John C. Ribble, M.D. Endowed Scholarship Fund                 | 185,727.36                        |                                 |                      | 12,762.11   |   | 50.67                                 | 198,540.14                      |
| 57600157 Stephen C. Hoffmann, M.D. Student Assistance Fund             | 37,413.28                         |                                 |                      | 2,570.83  |   | 10.21                                 | 39,994.32                       |
| 57600168 Stewart West Memorial Scholarship Fund                        | 14,843.47                         |                                 |                      | 1,019.97  |   | 4.05                                  | 15,867.49                       |
| 57600172 Medical School Student Scholarship Fund                       | 14,951.57                         |                                 |                      | 1,027.37  |   | 4.08                                  | 15,983.02                       |
| 57600217 UT-Houston Student Inter council Scholarship                  | 184,318.96                        |                                 |                      | 12,763.18   |   | 5,048.37                              | 202,130.51                      |
| 57600280 Dean'S Endowed Scholarship Fund                               | 275,257.64                        |                                 |                      | 18,914.10   |   | 75.10                                 | 294,246.84                      |
| 57600295 Sam And Elizabeth Nixon Student Scholarship Fund              | 86,439.90                         |                                 |                      | 5,939.65  |   | 23.58                                 | 92,403.13                       |
| 57600333 Georgia F. Castro Endowed Scholarship                         | 110,131.06                        |                                 |                      | 7,567.54  |   | 30.05                                 | 117,728.65                      |
| 57600343 Visiting Student Scholarship Endowment                        | 98,087.90                         |                                 |                      | 7,720.34  |   | 50,026.76                             | 155,835.00                      |
| 57600352 Ut Physicians Endowed Scholarship                             | 201,163.08                        |                                 |                      | 13,822.76   |   | 54.88                                 | 215,040.72                      |
| 57600367 Leaders Of Tomorrow Scholarship                               | 31,982.24                         |                                 |                      | 2,197.65  |   | 8.73                                  | 34,188.62                       |
| 57600372 President James T. And Nancy Beamer Willerson Endowed         | 31,902.77                         |                                 |                      | 2,207.50  |   | 411.24                                | 34,521.51                       |
| 57600373 President James T. And Nancy Beamer Willerson Endowed         | 39,878.50                         |                                 |                      | 2,755.54  |   | 413.42                                | 43,047.46                       |
| 57600374 President James T. And Nancy Beamer Willerson Endowed         | 69,122.81                         |                                 |                      | 4,765.03  |   | 421.40                                | 74,309.24                       |
| 57600375 President James T. And Nancy Beamer Willerson Endowed         | 69,122.81                         |                                 |                      | 4,765.03  |   | 421.40                                | 74,309.24                       |
| 57600376 President James T. And Nancy Beamer Willerson Endowed         | 55,829.88                         |                                 |                      | 3,851.69  |   | 417.77                                | 60,099.34                       |
| 57600377 President James T. And Nancy Beamer Willerson Endowed         | 9,288.36                          |                                 |                      | 653.59  |   | 405.07                                | 10,347.02                       |
| 57600378 Honorable Bob And Elyse Lanier Endowed Scholarship            | 9,288.36                          |                                 |                      | 638.23  |   | 2.53                                  | 9,929.12                        |
| 57600379 Christopher And Courtney Sarofim Endowed Scholarship          | 9,288.36                          |                                 |                      | 638.23  |   | 2.53                                  | 9,929.12                        |
| 57600651 Lex Frieden Scholarship for Disability and Health Informatics |                                   | 5,000.00                        |                      | 952.32  |   | 20,000.00                             | 25,952.32                       |
| 57600673 McGovern Texas Health Professions Scholarship                 |                                   |                                 |                      |   |   | 25,000.00                             | 25,000.00                       |
| <b>TOTAL UNRESTRICTED</b>  | <b>1,534,038.31</b>               | <b>5,000.00</b>                 |                      | <b>107,532.66</b>   |   | <b>102,831.84</b>                     | <b>1,749,402.81</b>             |
| <b>TOTAL SCHOLARSHIPS AND FELLOWSHIPS</b>                              | <b>3,386,487.89</b>               | <b>27,850.00</b>                |                      | <b>234,989.58</b>   |   | <b>109,814.40</b>                     | <b>3,759,141.87</b>             |
| <b>TOTAL FUNDS FUNCTIONING AS ENDOWMENTS - RESTRICTED</b>              | <b>22,483,463.51</b>              | <b>28,617.00</b>                | <b>180,162.19</b>    | <b>1,822,951.60</b>   | <b>25.88</b>  | <b>1,488,747.49</b>                   | <b>26,003,967.67</b>            |
| <b>TOTAL FUNDS FUNCTIONING AS ENDOWMENTS - UNRESTRICTED</b>            | <b>73,198,932.92</b>              | <b>5,000.00</b>                 |                      | <b>5,007,632.32</b>   |   | <b>(1,878,563.08)</b>                 | <b>76,333,002.16</b>            |
| <b>TOTAL FUNDS FUNCTIONING AS ENDOWMENTS</b>                           | <b>95,682,396.43</b>              | <b>33,617.00</b>                | <b>180,162.19</b>    | <b>6,830,583.92</b>   | <b>25.88</b>  | <b>(389,815.59)</b>                   | <b>102,336,969.83</b>           |

The University of Texas Health Science Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2017

|                              | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|------------------------------|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| <b>TOTAL ENDOWMENT FUNDS</b> | <u>303,593,994.62</u>             | <u>13,111,086.33</u>            | <u>180,162.19</u>    | <u>22,725,725.19</u>  | <u>5,828.93</u>                                     | <u>11,307,127.04</u>                  | <u>350,923,924.30</u>           |

Analysis of Net Other Additions and Deductions:

|                             |                             |
|-----------------------------|-----------------------------|
| Transfers Between Funds     |                             |
| Designated Funds            | 6,168,165.16                |
| Auxiliary Enterprise Funds  | 5,000.00                    |
| Restricted Funds            | <u>5,133,961.88</u>         |
| Net Transfers Between Funds | <u>11,307,127.04</u>        |
| Total as Shown Above        | <u><u>11,307,127.04</u></u> |

The University of Texas Health Science Center at Houston  
 Schedule B-6c Detail - Schedule of Changes in Net Position - State Endowment (Permanent Health Fund)  
 As of August 31, 2017

|                                    | Net Position<br>September 1, 2016 | Gift Additions to<br>Endowments | Investment<br>Income | Investment Income<br>(Realized Gains and<br>Losses) | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Net Other<br>Additions/Deductions | Net Position<br>August 31, 2017 |
|------------------------------------|-----------------------------------|---------------------------------|----------------------|---|--|-----------------------------------|---------------------------------|
|                                    | 32,492,300.00                     | -                               | -                    | -   | 2,405,350.00   | -                                 | 34,897,650.00                   |
| <b>PERMANENT HEALTH FUND</b>       | <b>32,492,300.00</b>              | <b>-</b>                        | <b>-</b>             | <b>-</b>  | <b>2,405,350.00</b>  | <b>-</b>                          | <b>34,897,650.00</b>            |
| <b>TOTAL PERMANENT HEALTH FUND</b> |                                   |                                 |                      |   |  |                                   |                                 |

The University of Texas Health Science Center at Houston  
Schedule B-7 Schedule of Changes in Net Position - Annuity and Life Income Funds  
As of August 31, 2017

| ANNUITY AND LIFE INCOME FUNDS              | Net Position<br>September 1, 2016 | Gift Additions to<br>Annuity and Life | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of Investments | Investment Income<br>(Realized Gains and<br>Losses) | Payments to<br>Beneficiaries and<br>Annuitants | Net<br>Other Additions/<br>Deductions | Net Position<br>August 31, 2017 |
|--|-----------------------------------|---------------------------------------|----------------------|--|---|--|---------------------------------------|---------------------------------|
| Held by System Administration              |                                   |                                       |                      |  |   |  |                                       |                                 |
| 58600002 Herbert & Sally Wade CRUT         | 107,472.00                        |                                       | 5,540.07             | 15,845.12  | 2,240.12  | (14,938.31)                                    |                                       | 116,159.00                      |
| 58600003 Mangelsdorff CRT #3               | 67,681.00                         |                                       | 2,663.67             | 7,425.24   | 1,277.13  | (6,244.04)                                     |                                       | 72,803.00                       |
| Total Held by System Administration        | 175,153.00                        |                                       | 8,203.74             | 23,270.36  | 3,517.25  | (21,182.35)                                    |                                       | 188,962.00                      |
| <b>TOTAL ANNUITY AND LIFE INCOME FUNDS</b> | 175,153.00                        |                                       | 8,203.74             | 23,270.36  | 3,517.25  | (21,182.35)                                    |                                       | 188,962.00                      |

The University of Texas Health Science Center at Houston  
 Schedule B-8 Schedule of Changes in Net Position Unexpended Plant Funds  
 For the Year Ended August 31, 2017

|  | Total            | Permanent University Fund Bonds | Revenue Bonds/Notes | Gifts       | Interest Earned On Construction Funds | Other Sources |
|--|------------------|---------------------------------|---------------------|-------------|---------------------------------------|---------------|
| NET POSITION, September 1, 2016                              | \$ 40,242,655.96 | 1,900,854.25                    | (136,031.40)        | 730,635.17  | 203,239.94                            | 37,543,958.00 |
| ADD: Anticipated Bond Proceeds                               | 11,537,914.57    | 10,352,686.74                   | 1,185,227.83        |             |                                       |               |
| TOTAL NET POSITION, September 1, 2016                        | 51,780,570.53    | 12,253,540.99                   | 1,049,196.43        | 730,635.17  | 203,239.94                            | 37,543,958.00 |
| Additions:   |                  |                                 |                     |             |                                       |               |
| Gifts for Capital Acquisitions                               | (10,487.10)      |                                 |                     | (10,487.10) |                                       |               |
| Investment Income  | 828,652.97       |                                 |                     |             | 828,652.97                            |               |
| Transfers Between Funds - From Educational and General Funds | 5,138,641.46     |                                 |                     |             |                                       | 5,138,641.46  |
| Transfers Between Funds - From Designated Funds              | 7,347,894.50     |                                 |                     |             |                                       | 7,347,894.50  |
| Transfers Between Funds - From Auxiliary Enterprise Funds    | 1,842,141.10     |                                 |                     |             |                                       | 1,842,141.10  |
| Transfers Between Funds - From Restricted Funds              | 161,375.00       |                                 |                     |             |                                       | 161,375.00    |
| Transfers from System Administration                         | 147,360,753.46   | 11,095,700.63                   | 136,265,052.83      |             |                                       |               |
| Total Additions  | 162,668,971.39   | 11,095,700.63                   | 136,265,052.83      | (10,487.10) | 828,652.97                            | 14,490,052.06 |
| Deductions:  |                  |                                 |                     |             |                                       |               |
| Op. Expenses: Materials, Supplies, and Services (Exh. B)     | 2,707,950.31     | 1,311,256.20                    | 394,259.15          |             | 92,202.71                             | 910,232.25    |
| Capitalized Plant Facilities                                 |                  |                                 |                     |             |                                       |               |
| Buildings and Building Improvements                          | 8,955,745.93     | 901,489.44                      | 3,729,874.06        |             | 331,295.83                            | 3,993,086.60  |
| Facilities and Other Improvements                            | 707,538.70       |                                 |                     |             |                                       | 707,538.70    |
| Furniture and Equipment                                      | 11,206,866.42    | 4,441,469.14                    |                     |             |                                       | 6,765,397.28  |
| Construction in Progress                                     | 32,649,063.56    | 656,086.30                      | 25,270,006.34       |             | 284,613.20                            | 6,438,357.72  |
| Software   | 303,667.69       | 10,850.89                       |                     |             |                                       | 292,816.80    |
| Total for Capitalized Plant Facilities                       | 53,822,882.30    | 6,009,895.77                    | 28,999,880.40       |             | 615,909.03                            | 18,197,197.10 |
| Total Deductions   | 56,530,832.61    | 7,321,151.97                    | 29,394,139.55       |             | 708,111.74                            | 19,107,429.35 |
| Transfers to System Administration                           | 294,196.43       |                                 | 294,196.43          |             |                                       |               |
| Total Deductions   | 56,825,029.04    | 7,321,151.97                    | 29,688,335.98       |             | 708,111.74                            | 19,107,429.35 |
| TOTAL NET POSITION, August 31, 2017                          | 157,624,512.88   | 16,028,089.65                   | 107,625,913.28      | 720,148.07  | 323,781.17                            | 32,926,580.71 |
| LESS: Anticipated Bond Proceeds                              | 87,453,363.64    | 16,775,043.89                   | 70,678,319.75       |             |                                       |               |
| NET POSITION, August 31, 2017                                | \$ 70,171,149.24 | (746,954.24)                    | 36,947,593.53       | 720,148.07  | 323,781.17                            | 32,926,580.71 |

Made Up As Follows:

|                               |                  |
|-------------------------------|------------------|
| Unrestricted                  |                  |
| Encumbrances                  | \$ 8,989,106.71  |
| Capital Projects              | 24,261,255.17    |
| Total Unrestricted            | 33,250,361.88    |
| Restricted - Expendable       |                  |
| Capital Projects              | 36,920,787.36    |
| Total Restricted - Expendable | 36,920,787.36    |
| Total Net Position as Above   | \$ 70,171,149.24 |



The University of Texas Health Science Center at Houston  
Schedule B-11 Schedule of Changes in Investment in Plant  
For the Year Ended August 31, 2017

|   | Land<br>S-11A            | Buildings<br>S-11B    | Facilities and<br>Other<br>Improvements<br>S-11C | Equipment<br>S-11D    | Vehicles<br>& Aircraft<br>S-11D | Other Depreciable<br>(Including Library<br>Books)<br>S-11D | Construction In<br>Progress<br>S-11E | Infrastructure<br>S-11F | Amortizable<br>Purchased<br>Software<br>S-11G |
|---|--------------------------|-----------------------|--|-----------------------|---------------------------------|--|--------------------------------------|-------------------------|---|
| <b>Total</b>  | <b>31,133,622.31</b>     | <b>431,054,148.66</b> | <b>10,764,712.40</b>                             | <b>54,632,514.35</b>  | <b>796,634.89</b>               | <b>32,092,693.18</b>                                       | <b>5,382,282.37</b>                  | <b>2,562,830.97</b>     | <b>8,384,184.89</b>                           |
| Net Investment in Capital Assets, August 31, 2016             | 576,803,624.02           | 431,054,148.66        | 10,764,712.40                                    | 54,632,514.35         | 796,634.89                      | 32,092,693.18  | 5,382,282.37                         | 2,562,830.97            | 8,384,184.89                                  |
| ADD: Accumulated Depreciation/Amortization, August 31, 2016   | 605,651,004.27           | 435,041,676.49        | 7,887,773.25                                     | 116,564,804.29        | 2,031,542.44                    | 19,204,704.34  |                                      | 326,373.03              | 24,594,130.43                                 |
| <b>Historical Cost of Plant, September 1, 2016</b>            | <b>1,182,454,628.29</b>  | <b>866,095,825.15</b> | <b>18,652,485.65</b>                             | <b>171,197,318.64</b> | <b>2,828,177.33</b>             | <b>51,297,397.52</b>                                       | <b>5,382,282.37</b>                  | <b>2,889,204.00</b>     | <b>32,978,315.32</b>                          |
| Additions   |                          |                       |  |                       |                                 |  |                                      |                         |   |
| Capitalized Expenses and Interfund Transfers:                 |                          |                       |  |                       |                                 |  |                                      |                         |   |
| Capitalized Expenses - Educational and General Funds          | 432,024.45               |                       |  | 291,339.60            | 85,242.00                       | 55,442.85  |                                      |                         |   |
| Capitalized Expenses - Designated Funds                       | 12,191,677.92            |                       |  | 10,163,117.63         | 138,999.35                      | 72,121.69  | 491,845.36                           |                         | 1,325,593.89                                  |
| Capitalized Expenses - Auxiliary Funds                        | 1,012,650.07             |                       |  | 984,397.33            |                                 |  | 28,252.74                            |                         |   |
| Capitalized Expenses - Restricted Current Funds               | 2,770,738.43             |                       |  | 1,840,264.63          |                                 |  | 771,057.01                           |                         | 159,416.79                                    |
| Capitalized Expenses - Unexpended Plant Funds                 | 53,822,882.30            |                       | 707,538.70                                       | 5,202,225.16          |                                 | 6,004,641.26   | 32,649,063.56                        |                         | 303,667.69                                    |
| Completion of Construction in Progress                        | 2,849,289.28             |                       | 2,756.00   | 319,483.52            |                                 | 766,906.28   |                                      |                         | 276,217.42                                    |
| Proceeds from Sale of Capital Assets                          | 80,024.60                |                       |  | 71,373.60             | 8,651.00                        |  |                                      |                         |   |
| Transactions Between Funds                                    | (80,024.60)              |                       |  | (71,373.60)           | (8,651.00)                      |  |                                      |                         |   |
| <b>Total Additions</b>  | <b>73,079,262.45</b>     | <b>10,439,671.99</b>  | <b>710,294.70</b>                                | <b>18,800,827.87</b>  | <b>224,241.35</b>               | <b>6,899,112.08</b>  | <b>33,940,218.67</b>                 |                         | <b>2,064,895.79</b>                           |
| Deductions  |                          |                       |  |                       |                                 |  |                                      |                         |   |
| Disposal of Capital Assets                                    | 8,472,344.72             |                       |  | 6,970,799.09          | 71,211.37                       | 231,095.11   |                                      |                         | 1,199,239.15                                  |
| Completion of Construction in Progress                        | 2,849,289.28             |                       |  |                       |                                 |  | 2,849,289.28                         |                         |   |
| Reclassification for Interagency Transfers Out                | 29,800.00                |                       |  | 29,800.00             |                                 |  |                                      |                         |   |
| <b>Total Deductions</b>                                       | <b>11,351,434.00</b>     |                       |  | <b>7,000,599.09</b>   | <b>71,211.37</b>                | <b>231,095.11</b>  | <b>2,849,289.28</b>                  |                         | <b>1,199,239.15</b>                           |
| <b>Historical Cost of Plant, August 31, 2017</b>              | <b>1,244,182,456.74</b>  | <b>876,535,497.14</b> | <b>19,362,780.35</b>                             | <b>182,997,547.42</b> | <b>2,981,207.31</b>             | <b>57,965,414.49</b>                                       | <b>36,473,211.76</b>                 | <b>2,889,204.00</b>     | <b>33,843,971.96</b>                          |
| Accumulated Depreciation/Amortization, September 1, 2016      | 605,651,004.27           | N/A                   | 7,887,773.25                                     | 116,564,804.29        | 2,031,542.44                    | 19,204,704.34  | N/A                                  | 326,373.03              | 24,594,130.43                                 |
| Reclassification for Interagency Transfers Out - Accum. Depr. | (29,800.00)              | N/A                   |  | (29,800.00)           |                                 |  | N/A                                  |                         |   |
| Add: CY Depreciation/Amortization                             | 62,441,826.83            | N/A                   | 39,872,225.15                                    | 14,030,767.52         | 292,202.35                      | 4,225,169.89   | N/A                                  | 64,204.53               | 3,017,294.79                                  |
| Deduct: Disposal of Capital Assets                            | (7,888,964.64)           | N/A                   |  | (6,415,788.77)        | (71,211.37)                     | (231,095.11)   | N/A                                  |                         | (1,170,869.39)                                |
| Accumulated Depreciation/Amortization, August 31, 2017        | 660,174,066.46           | N/A                   | 474,913,901.64                                   | 8,827,735.85          | 124,149,983.04                  | 23,198,779.12  | N/A                                  | 390,577.56              | 26,440,555.83                                 |
| <b>Net Book Value of Capital Assets, August 31, 2017</b>      | <b>584,008,390.28</b>    | <b>31,133,622.31</b>  | <b>401,621,595.50</b>                            | <b>58,847,564.38</b>  | <b>728,673.89</b>               | <b>34,766,635.37</b>                                       | <b>36,473,211.76</b>                 | <b>2,498,626.44</b>     | <b>7,403,416.13</b>                           |
| Change in Capital Assets for the year:                        | 7,204,766.26             | (29,432,553.16)       | (229,667.90)                                     | 4,215,050.03          | (67,961.00)                     | 2,673,942.19   | 31,090,929.39                        | (64,204.53)             | (980,768.76)                                  |
| Adjustments for Net Position:                                 |                          |                       |  |                       |                                 |  |                                      |                         |   |
| Less:   |                          |                       |  |                       |                                 |  |                                      |                         |   |
| <b>Net Investment in Capital Assets (Exh. A)</b>              | <b>\$ 584,008,390.28</b> | <b>31,133,622.31</b>  | <b>401,621,595.50</b>                            | <b>58,847,564.38</b>  | <b>728,673.89</b>               | <b>34,766,635.37</b>                                       | <b>36,473,211.76</b>                 | <b>2,498,626.44</b>     | <b>7,403,416.13</b>                           |

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The University of Texas Health Science Center at Houston  
Schedule B-13 Schedule of Transfers Between Funds  
For the Year Ended August 31, 2017

|   | Transferred To        |                         |                      |                       |                       |               |                                      |                        |
|---|-----------------------|-------------------------|----------------------|-----------------------|-----------------------|---------------|--------------------------------------|------------------------|
|   | Total Transfers       | Educational and General | Designated           | Auxiliary Enterprises | Restricted Expendable | Loan Funds    | Endowment And Similar Other Than St. | Unexpended Plant Funds |
| <b>EDUCATIONAL AND GENERAL FUNDS</b>                |                       |                         |                      |                       |                       |               |                                      |                        |
| Between Funds                                       | 8,090,241.40          |                         | 2,951,599.94         |                       |                       |               |                                      | 5,138,641.46           |
| <b>DESIGNATED FUNDS</b>                             |                       |                         |                      |                       |                       |               |                                      |                        |
| Between Funds                                       | 18,715,997.08         |                         |                      | 4,913,988.36          | 285,949.06            |               | 6,168,165.16                         | 7,347,894.50           |
| <b>AUXILIARY ENTERPRISE FUNDS</b>                   |                       |                         |                      |                       |                       |               |                                      |                        |
| Between Funds                                       | 2,283,587.14          |                         | 436,446.04           |                       |                       |               | 5,000.00                             | 1,842,141.10           |
| <b>RESTRICTED EXPENDABLE FUNDS</b>                  |                       |                         |                      |                       |                       |               |                                      |                        |
| Between Funds                                       | 72,960,348.38         | 432,766.03              | 63,631,022.33        | 39,067.26             | 2,728,179.36          | 556.00        | 5,967,382.40                         | 161,375.00             |
| <b>LOAN FUNDS</b>                                   |                       |                         |                      |                       |                       |               |                                      |                        |
| Between Funds                                       | (522.92)              |                         | (522.92)             |                       |                       |               |                                      |                        |
| <b>ENDOWMENT &amp; SIMILAR FUNDS Other than St.</b> |                       |                         |                      |                       |                       |               |                                      |                        |
| Between Funds                                       | 833,420.52            |                         |                      |                       | 833,420.52            |               |                                      |                        |
| <b>Total Transfers Between Funds</b>                | <b>102,883,071.60</b> | <b>432,766.03</b>       | <b>67,018,545.39</b> | <b>4,953,055.62</b>   | <b>3,847,548.94</b>   | <b>556.00</b> | <b>12,140,547.56</b>                 | <b>14,490,052.06</b>   |

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The University of Texas Health Science Center at Houston  
 Schedule C-1 Tuition and Fees Revenue  
 For the Year Ended August 31, 2017

|  | Education<br>and General | Designated    | Auxiliary<br>Enterprises | Total<br>Unrestricted |
|--|--------------------------|---------------|--------------------------|-----------------------|
| TUITION AND FEES DETAIL                          |                          |               |                          |                       |
| Gross Statutory Student Tuition                  | \$ 23,782,854.73         |               |                          | 23,782,854.73         |
| Gross Designated Tuition                         |                          | 19,983,090.88 |                          | 19,983,090.88         |
| Gross Mandatory Laboratory and Supplemental Fees | 174,648.75               |               |                          | 174,648.75            |
| Gross Mandatory Student Fees                     |                          | 6,407,611.88  |                          | 6,407,611.88          |
| Gross Mandatory Program and Course Related Fees  |                          | 650,030.87    |                          | 650,030.87            |
| Gross Optional Student Fees                      |                          | 1,413,290.52  | 2,163,321.29             | 3,576,611.81          |
| Discounts and Allowances                         | (432,766.03)             | (514,028.16)  | (39,067.26)              | (985,861.45)          |
| Net Tuition and Fees                             | \$ 23,524,737.45         | 27,939,995.99 | 2,124,254.03             | 53,588,987.47         |

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THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
SCHEDULE C-1A Schedule of Hospital Revenues - General Current Funds  
For the Year Ended August 31, 2017

|   | Total            | Inpatient     | Outpatient | Other |
|---|------------------|---------------|------------|-------|
| Gross Patient Services                          |                  |               |            |       |
| Inpatient                                       | \$ 69,184,945.16 | 68,745,345.16 | 439,600.00 |       |
| Outpatient                                      | -                |               |            |       |
| Total Gross Patient Services                    | 69,184,945.16    | 68,745,345.16 | 439,600.00 | -     |
| Adjustments to Patient Services                 |                  |               |            |       |
| Medicaid Contractual Allowance                  | 4,548,926.19     | 4,519,421.45  | 29,504.74  |       |
| Medicare Contractual Allowance                  | 2,651,592.62     | 2,573,729.22  | 77,863.40  |       |
| Managed Care Contractual Allowance              | 1,176,620.14     | 1,081,762.29  | 94,857.85  |       |
| Other Unreimbursed Medical Charges              | 29,842,628.28    | 29,808,437.51 | 34,190.78  |       |
| TDCJ Adjustments                                | -                |               |            |       |
| Bad Debt / Allowance for Uncollectible Accounts | 13,946,942.32    | 13,932,301.55 | 14,640.78  |       |
| Other Adjustments                               | 9,503,511.41     | 9,502,711.41  | 800.00     |       |
| Total Adjustments                               | 61,670,220.96    | 61,418,363.42 | 251,857.54 | -     |
| Net Patient Services                            | 7,514,724.20     | 7,326,981.74  | 187,742.46 | -     |
| Other Revenues                                  |                  |               |            |       |
| Auxiliary Enterprises                           | -                |               |            |       |
| Clinical Research Center                        | -                |               |            |       |
| Contractual Revenue                             | 5,840,762.53     | 5,840,762.53  |            |       |
| Harris County Contract                          | 3,491,609.00     | 3,491,609.00  |            |       |
| GCRC NASA Bedrest Unit                          | -                |               |            |       |
| Hospital / Radiology Affiliation                | -                |               |            |       |
| Kidney Acquisition - Southwest Organ Bank       | -                |               |            |       |
| Mental Health & Retardation (MHMR)              | 28,791,901.98    | 28,791,901.98 |            |       |
| Pharmacy Third Party Collections                | -                |               |            |       |
| Shrine Income - Pharmacy                        | -                |               |            |       |
| Shriners Burns Institute Blood Purchases        | -                |               |            |       |
| Medicare / Medicaid Pass Through                | (41,006.00)      | (41,006.00)   |            |       |
| Tertiary Care Funds                             | -                |               |            |       |
| Other Miscellaneous Hospital Income             | -                |               |            |       |
| Total Other Revenue                             | 38,083,267.51    | 38,083,267.51 | -          | -     |
| Total Hospital Revenues                         | \$ 45,597,991.71 | 45,410,249.25 | 187,742.46 | -     |

The University of Texas Health Science Center at Houston  
 Schedule C-2 Schedule of Expenses by Object and Fund Group  
 For the Year Ended August 31, 2017

|  | Salaries and Wages       | Payroll Related Costs | Membership Dues     | Registration Fees, Meetings, Conferences | Cost of Goods Sold   | Professional Fees and Services | Other Contracted Services | Fees and Other Charges | Travel               | Materials and Supplies |
|--|--------------------------|-----------------------|---------------------|--|----------------------|--------------------------------|---------------------------|------------------------|----------------------|------------------------|
| <b>EDUCATIONAL AND GENERAL</b>           |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Instruction                              | \$ 78,971,305.57         | 22,882,200.38         | 813.00              |  |                      | 506,726.18                     | 4,434,698.61              | 302,389.31             | 23,517.50            | 755,396.84             |
| Research                                 | 16,221,896.27            | 4,700,348.80          |                     |  |                      | 174,784.53                     | 77,553.91                 | 58,614.67              | 9,545.00             | 425,726.81             |
| Public Service                           | 42,088.79                | 41,170.70             |                     |  |                      |                                |                           |                        |                      | 45,188.07              |
| Hospitals / Clinics                      | 37,912,235.93            | 11,133,215.16         | 3,266.00            |  |                      | 1,390,604.63                   | 476,193.24                | 47,635.74              | 67.50                | 2,681,168.62           |
| Academic Support                         | 20,732,971.26            | 6,007,447.89          | 50,000.00           |  |                      | 173,465.64                     | 13,964.47                 | 49,365.78              | 5,104.42             | 255,697.32             |
| Student Services                         | 1,721,392.70             | 498,779.30            |                     |  |                      | 2,351.81                       |                           | 15.00                  |                      | 16,220.93              |
| Institutional Support                    | 24,285,204.28            | 7,596,230.32          |                     | 5,730.00                                 |                      | 6,132.42                       | 147,538.83                | 43,563.75              | 5,167.20             | 75,936.54              |
| Operations and Maintenance of Plant      | 9,100,065.01             | 2,636,774.33          |                     |  |                      | 26.00                          |                           | 95,190.65              |                      | 62,611.81              |
| Scholarships and Fellowships             |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| <b>Total Educational and General</b>     | <b>189,087,159.81</b>    | <b>55,496,166.88</b>  | <b>54,079.00</b>    | <b>5,730.00</b>                          |                      | <b>2,254,091.21</b>            | <b>5,149,949.06</b>       | <b>596,774.90</b>      | <b>43,401.62</b>     | <b>4,317,946.94</b>    |
| <b>DESIGNATED</b>                        |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Instruction                              | 444,690,012.97           | 78,500,023.62         | 1,179,513.45        | 1,440,614.36                             |                      | 5,563,675.57                   | 2,040,996.94              | 4,112,029.41           | 4,336,294.73         | 7,820,132.31           |
| Research                                 | 7,982,134.59             | 2,106,824.23          | 109,892.84          | 173,664.01                               |                      | 1,515,664.85                   | 527,077.19                | 488,401.91             | 527,166.09           | 3,226,897.65           |
| Public Service                           | 1,612,301.41             | 509,383.84            | 844.00              | 7,200.15                                 |                      | 1,645,010.01                   | 21,666.00                 | 7,170.48               | 33,665.01            | 82,612.58              |
| Hospitals / Clinics                      | 163,440,115.76           | 33,623,436.70         | 230,976.12          | 232,985.03                               | 20,400,141.69        | 35,881,197.15                  | 38,233,545.20             | 1,669,008.10           | 1,077,650.05         | 22,048,205.73          |
| Academic Support                         | 8,879,608.25             | 2,196,659.63          | 134,276.59          | 303,244.96                               |                      | 1,294,748.62                   | 3,236,338.66              | 417,451.80             | 591,263.96           | 1,959,825.06           |
| Student Services                         | 1,229,355.64             | 331,934.86            | 7,986.00            | 78,814.77                                |                      | 654,349.59                     | 22,406.04                 | 97,471.48              | 55,207.85            | 1,644,690.80           |
| Institutional Support                    | 14,170,978.08            | 3,269,578.52          | 191,028.73          | 285,086.96                               | 225,007.00           | 4,630,581.85                   | 582,200.00                | 2,071,960.51           | 419,071.61           | 2,868,975.20           |
| Operations and Maintenance of Plant      | 336,520.29               | 99,402.99             | 6,320.42            | 24,318.12                                |                      | 255,700.80                     | 2,831,869.00              | 99,597.63              | 57,184.24            | 1,732,373.01           |
| Scholarships and Fellowships             |                          |                       |                     |  |                      |                                |                           | 1,250.00               |                      |                        |
| <b>Total Designated</b>                  | <b>642,341,026.99</b>    | <b>120,637,244.39</b> | <b>1,860,838.15</b> | <b>2,545,928.36</b>                      | <b>20,625,148.69</b> | <b>51,440,928.44</b>           | <b>47,496,099.03</b>      | <b>8,964,341.32</b>    | <b>7,097,503.54</b>  | <b>41,383,712.34</b>   |
| <b>AUXILIARY ENTERPRISES</b>             |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Auxiliary Enterprises                    | 3,568,656.24             | 1,097,052.59          | 926.40              | 8,150.71                                 |                      | 1,605,672.63                   | 1,802,278.28              | 346,344.78             | 41,155.86            | 2,209,215.54           |
| <b>Total Auxiliary Enterprises</b>       | <b>3,568,656.24</b>      | <b>1,097,052.59</b>   | <b>926.40</b>       | <b>8,150.71</b>                          |                      | <b>1,605,672.63</b>            | <b>1,802,278.28</b>       | <b>346,344.78</b>      | <b>41,155.86</b>     | <b>2,209,215.54</b>    |
| <b>RESTRICTED EXPENDABLE</b>             |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Instruction                              | 6,666,264.98             | 1,431,240.17          | 49,691.98           | 156,087.92                               |                      | 267,999.76                     | 1,399,940.42              | 137,185.94             | 505,097.63           | 446,483.56             |
| Research                                 | 76,124,863.85            | 21,144,770.30         | 105,274.72          | 561,869.71                               | 62.70                | 5,504,344.73                   | 23,180,705.65             | 2,661,666.82           | 2,498,117.77         | 11,463,051.16          |
| Public Service                           | 11,484,591.28            | 3,634,739.53          | 2,410.40            | 33,090.41                                |                      | 2,729,103.75                   | 6,352,072.39              | 417,569.90             | 413,102.06           | 705,132.46             |
| Hospitals / Clinics                      | 36,292.71                | 14,346.03             | 425.00              |  |                      | 9,987.70                       |                           | 50.00                  |                      | 89.99                  |
| Academic Support                         | 1,192,254.55             | 278,999.50            | 42,754.92           | 61,650.26                                | 3,009.60             | 136,995.37                     | 21,838.29                 | 114,672.91             | 129,168.71           | 117,950.99             |
| Student Services                         | 456,460.72               | 173,949.27            | 1,100.00            | 11,252.25                                |                      | 38,098.26                      | 57,536.79                 | 10,750.26              | 30,678.33            | 20,802.18              |
| Institutional Support                    | 867,636.37               | 209,185.39            | 8,032.71            | 45,036.77                                |                      | 160,155.42                     | 115,890.10                | 16,801.69              | 108,198.28           | 183,707.86             |
| Operations and Maintenance of Plant      | 1,409,530.69             | 232,614.60            | 6,698.00            | 38,511.22                                |                      | 192,424.31                     | 485,210.67                | 43,426.21              | 88,068.50            | 123,467.48             |
| Scholarships and Fellowships             | 98,237,895.15            | 27,119,844.79         | 215,962.73          | 907,923.54                               | 3,072.30             | 9,039,109.30                   | 31,613,194.31             | 3,402,123.73           | 3,772,431.28         | 13,060,685.68          |
| <b>Total Restricted Expendable</b>       | <b>98,237,895.15</b>     | <b>27,119,844.79</b>  | <b>215,962.73</b>   | <b>907,923.54</b>                        | <b>3,072.30</b>      | <b>9,039,109.30</b>            | <b>31,613,194.31</b>      | <b>3,402,123.73</b>    | <b>3,772,431.28</b>  | <b>13,060,685.68</b>   |
| <b>LOAN FUNDS</b>                        |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Student Services                         |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Total Loan Funds                         |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| <b>UNEXPENDED PLANT</b>                  |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Operations and Maintenance of Plant      |                          |                       |                     |  |                      | 131,040.53                     | 109,377.36                |                        |                      | 2,213,206.05           |
| <b>Total Unexpended Plant</b>            |                          |                       |                     |  |                      | <b>131,040.53</b>              | <b>109,377.36</b>         |                        |                      | <b>2,213,206.05</b>    |
| <b>INVESTMENT IN PLANT</b>               |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Depreciation and Amortization            |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| Total Investment in Plant                |                          |                       |                     |  |                      |                                |                           |                        |                      |                        |
| <b>TOTAL OPERATING EXPENSES (Exh. B)</b> | <b>\$ 993,234,738.19</b> | <b>204,350,308.65</b> | <b>2,131,806.28</b> | <b>3,467,732.61</b>                      | <b>20,628,220.99</b> | <b>64,470,842.11</b>           | <b>86,170,898.04</b>      | <b>13,309,584.73</b>   | <b>10,954,492.30</b> | <b>63,184,766.55</b>   |



The University of Texas Health Science Center at Houston  
 Schedule C-2 Schedule of Expenses by Object and Fund Group  
 For the Year Ended August 31, 2017

|  | Utilities     | Communications | Repairs and Maintenance | Rentals and Leases | Printing and Reproduction | Royalty Payments | Insurance Costs/Premiums | Scholarships and Fellowships |
|--|---------------|----------------|-------------------------|--------------------|---------------------------|------------------|--------------------------|------------------------------|
| <b>EDUCATIONAL AND GENERAL</b>           |               |                |                         |                    |                           |                  |                          |                              |
| Instruction                              | 1,283.81      | 89,358.79      | 48,800.51               | 475,349.33         | 79,856.12                 |                  |                          |                              |
| Research                                 |               | 72,914.35      | 47,922.03               | 37,513.90          | 23,436.10                 |                  |                          |                              |
| Public Service                           |               |                | 330.75                  |                    |                           |                  |                          |                              |
| Hospitals / Clinics                      | 379,785.34    | 238,907.20     | 299,829.03              | 24,689.96          | 46,248.07                 |                  | 29,345.14                |                              |
| Academic Support                         | 1,052.16      | 50,876.01      | 43,691.04               | 225,202.16         | 35,634.25                 |                  |                          |                              |
| Student Services                         |               | 345.19         | 3,843.75                |                    |                           |                  |                          |                              |
| Institutional Support                    | 51,176.73     | 5,884.93       | 26.46                   | 25,332.00          | 31,101.73                 |                  | 5,892.00                 |                              |
| Operations and Maintenance of Plant      |               | 29.91          | 106,104.26              |                    |                           |                  |                          |                              |
| Scholarships and Fellowships             | 11,644,206.64 |                |                         |                    |                           |                  |                          |                              |
| Total Educational and General            | 12,077,514.68 | 458,316.38     | 550,547.83              | 786,097.35         | 216,276.27                |                  | 35,237.14                |                              |
| <b>DESIGNATED</b>                        |               |                |                         |                    |                           |                  |                          |                              |
| Instruction                              | 6,072.93      | 1,349,474.84   | 452,714.00              | 3,147,485.89       | 816,305.78                |                  | 216,081.83               | 4,500.00                     |
| Research                                 | 6,232.60      | 246,528.42     | 105,105.81              | 630,477.53         | 114,296.34                | 2,430,759.21     | 4,404.12                 |                              |
| Public Service                           |               | 14,521.29      | 108.40                  | 22,220.00          | 29,202.56                 |                  | 2,946.00                 |                              |
| Hospitals / Clinics                      | 414,454.81    | 260,340.73     | 5,148,083.78            | 18,760,001.10      | 1,964,015.75              |                  | 7,054,976.85             |                              |
| Academic Support                         | 218,643.85    | 201,113.51     | 2,375,782.57            |                    | 208,154.28                |                  | 19,263.64                | 102,700.00                   |
| Student Services                         | 309.34        | 30,292.65      | 245,154.39              | 901,491.01         | 41,360.21                 |                  | 7,021.00                 | 49,135.08                    |
| Institutional Support                    | 748,563.85    | 4,786,547.89   | 1,884,036.14            |                    | 584,302.10                |                  | 233,437.41               |                              |
| Operations and Maintenance of Plant      | 2,803,649.54  | 96,660.40      | 1,853,211.05            | 1,290,016.39       | 9,032.28                  |                  | 1,652,490.06             | 2,969,849.00                 |
| Scholarships and Fellowships             |               |                |                         |                    |                           |                  |                          |                              |
| Total Designated                         | 3,230,719.22  | 2,965,028.03   | 12,792,038.83           | 29,011,520.63      | 3,766,669.30              | 2,430,759.21     | 9,190,620.91             | 3,126,184.08                 |
| <b>AUXILIARY ENTERPRISES</b>             |               |                |                         |                    |                           |                  |                          |                              |
| Auxiliary Enterprises                    | 2,399,080.09  | 129,255.42     | 1,399,005.69            | 1,168,514.78       | 1,377,214.84              |                  | 16,677.00                |                              |
| Total Auxiliary Enterprises              | 2,399,080.09  | 129,255.42     | 1,399,005.69            | 1,168,514.78       | 1,377,214.84              |                  | 16,677.00                |                              |
| <b>RESTRICTED EXPENDABLE</b>             |               |                |                         |                    |                           |                  |                          |                              |
| Instruction                              |               | 4,118.31       | 23,695.70               | 25,619.06          | 93,752.26                 |                  | 2,558.64                 | 41,000.00                    |
| Research                                 |               | 161,060.53     | 359,496.62              | 416,946.92         | 833,395.67                | 1,765.95         | 59,675.00                | 34,500.00                    |
| Public Service                           | 3,846.31      | 186,762.03     | 184,835.46              | 721,640.68         | 539,367.46                |                  | 18,492.00                |                              |
| Hospitals / Clinics                      |               |                |                         |                    |                           |                  |                          |                              |
| Academic Support                         |               | 3,944.39       | 1,432.60                | 11,628.69          | 22,283.83                 |                  |                          | 168,571.11                   |
| Student Services                         |               | 24,649.75      | 16,786.69               | 243.60             | 14,297.64                 |                  |                          | 626,900.00                   |
| Institutional Support                    |               | 14,187.80      | 17,182.39               | 8,787.95           | 3,288.48                  |                  |                          | 34,000.00                    |
| Operations and Maintenance of Plant      |               |                |                         |                    |                           |                  |                          |                              |
| Scholarships and Fellowships             |               | 7.78           | 595.79                  | 1,487.58           | 6,206.99                  |                  | 440.32                   | 2,949,023.94                 |
| Total Restricted Expendable              | 3,846.31      | 394,730.59     | 604,025.25              | 1,186,354.48       | 1,512,592.33              | 1,765.95         | 81,165.96                | 3,853,985.05                 |
| <b>LOAN FUNDS</b>                        |               |                |                         |                    |                           |                  |                          |                              |
| Student Services                         |               |                |                         |                    |                           |                  |                          |                              |
| Total Loan Funds                         |               |                |                         |                    |                           |                  |                          |                              |
| <b>UNEXPENDED PLANT</b>                  |               |                |                         |                    |                           |                  |                          |                              |
| Operations and Maintenance of Plant      |               | 7,473.50       | 8,607.50                | 5,922.91           |                           |                  |                          |                              |
| Total Unexpended Plant                   |               | 7,473.50       | 8,607.50                | 5,922.91           |                           |                  |                          |                              |
| <b>INVESTMENT IN PLANT</b>               |               |                |                         |                    |                           |                  |                          |                              |
| Depreciation and Amortization            |               |                |                         |                    |                           |                  |                          |                              |
| Total Investment in Plant                |               |                |                         |                    |                           |                  |                          |                              |
| <b>TOTAL OPERATING EXPENSES (Exh. B)</b> | 17,711,160.30 | 3,954,801.92   | 15,354,225.10           | 32,160,410.15      | 6,872,752.74              | 2,432,525.16     | 9,323,701.01             | 6,980,179.13                 |

The University of Texas Health Science Center at Houston  
 Schedule C-2 Schedule of Expenses by Object and Fund Group  
 For the Year Ended August 31, 2017

|  | Depreciation and Amortization | Federal Sponsored Program Pass-Through to Other State Agencies | State Sponsored Program Pass-Through to Other State Agencies | Other Operating Expenses | Subtotal Operating Expenses | Capital Asset Purchases | Total                   |
|--|-------------------------------|--|--|--------------------------|-----------------------------|-------------------------|-------------------------|
| <b>EDUCATIONAL AND GENERAL</b>           |                               |  |  |                          |                             |                         |                         |
| Instruction                              |                               |  |  | 85,976.65                | 108,657,672.60              | 102,212.83              | 108,759,885.43          |
| Research                                 |                               |  |  | 44,111.09                | 21,894,367.46               | 67,337.61               | 21,961,705.07           |
| Public Service                           |                               |  |  | 3,312.95                 | 232,091.26                  |                         | 232,091.26              |
| Hospitals / Clinics                      |                               |  |  | 7,077.76                 | 54,670,289.32               | 42,294.16               | 54,712,583.48           |
| Academic Support                         |                               |  |  | 15,752.51                | 27,660,224.91               | 58,442.85               | 27,718,667.76           |
| Student Services                         |                               |  |  | 85.00                    | 2,243,033.68                |                         | 2,243,033.68            |
| Institutional Support                    |                               |  |  | 250,656.23               | 32,535,573.42               |                         | 32,535,573.42           |
| Operations and Maintenance of Plant      |                               |  |  | 12,795.86                | 23,657,804.47               | 161,737.00              | 23,819,541.47           |
| Scholarships and Fellowships             |                               |  |  | 110,614.45               | 110,614.45                  |                         | 110,614.45              |
| Total Educational and General            |                               |  |  | 530,382.50               | 271,661,671.57              | 432,024.45              | 272,093,696.02          |
| <b>DESIGNATED</b>                        |                               |  |  |                          |                             |                         |                         |
| Instruction                              |                               |  |  | 2,371,333.66             | 568,047,262.29              | 2,005,290.06            | 568,052,552.35          |
| Research                                 |                               |  |  | 447,976.79               | 20,643,504.18               | 198,280.64              | 20,841,784.82           |
| Public Service                           |                               |  |  | 13,932.71                | 4,002,784.44                |                         | 4,002,784.44            |
| Hospitals / Clinics                      |                               |  |  | 4,676,347.56             | 355,115,482.11              | 3,748,296.47            | 358,863,778.58          |
| Academic Support                         |                               |  |  | 710,663.88               | 22,849,749.26               | 136,983.50              | 22,986,732.76           |
| Student Services                         |                               |  |  | 257,461.59               | 5,654,132.96                | 70,393.44               | 5,724,526.40            |
| Institutional Support                    |                               |  |  | 1,173,440.98             | 38,125,106.17               | 5,913,295.35            | 44,038,401.52           |
| Operations and Maintenance of Plant      |                               |  |  | 112,123.26               | 13,260,469.48               | 119,138.46              | 13,379,607.94           |
| Scholarships and Fellowships             |                               |  |  |                          | 2,971,099.00                |                         | 2,971,099.00            |
| Total Designated                         |                               |  |  | 9,763,280.43             | 1,020,689,589.89            | 12,191,677.92           | 1,032,861,267.81        |
| <b>AUXILIARY ENTERPRISES</b>             |                               |  |  |                          |                             |                         |                         |
| Auxiliary Enterprises                    |                               |  |  | 354,154.28               | 17,523,355.13               | 1,012,650.07            | 18,536,005.20           |
| Total Auxiliary Enterprises              |                               |  |  | 354,154.28               | 17,523,355.13               | 1,012,650.07            | 18,536,005.20           |
| <b>RESTRICTED EXPENDABLE</b>             |                               |  |  |                          |                             |                         |                         |
| Instruction                              |                               |  |  | 197,942.86               | 81,925.70                   | 11,840,437.67           | 11,891,348.93           |
| Research                                 |                               |  |  | 4,060,017.90             | 412,693.31                  | 151,936,371.04          | 153,951,489.25          |
| Public Service                           |                               |  |  | 79,817.24                | 11,389.16                   | 28,689,901.17           | 29,367,188.48           |
| Hospitals / Clinics                      |                               |  |  |                          |                             | 61,191.43               | 61,191.43               |
| Academic Support                         |                               |  |  | 187,944.08               | 2,495,099.80                |                         | 2,495,099.80            |
| Student Services                         |                               |  |  | 34,526.07                | 1,518,031.81                |                         | 1,518,031.81            |
| Institutional Support                    |                               |  |  | 124,498.99               | 1,916,590.20                | 12,424.35               | 1,929,014.55            |
| Operations and Maintenance of Plant      |                               |  |  | 1,945.03                 | 1,945.03                    |                         | 1,945.03                |
| Scholarships and Fellowships             |                               |  |  | 178,869.74               | 5,756,583.82                | 15,027.30               | 5,771,611.12            |
| Total Restricted Expendable              |                               |  |  | 4,337,778.00             | 506,018.17                  | 4,361,637.07            | 2,770,738.43            |
| <b>LOAN FUNDS</b>                        |                               |  |  |                          |                             |                         |                         |
| Student Services                         |                               |  |  | 85,760.98                | 85,760.98                   |                         | 85,760.98               |
| Total Loan Funds                         |                               |  |  | 85,760.98                | 85,760.98                   |                         | 85,760.98               |
| <b>UNEXPENDED PLANT</b>                  |                               |  |  |                          |                             |                         |                         |
| Operations and Maintenance of Plant      |                               |  |  | 232,322.46               | 2,707,950.31                | 53,822,882.30           | 56,530,832.61           |
| Total Unexpended Plant                   |                               |  |  | 232,322.46               | 2,707,950.31                | 53,822,882.30           | 56,530,832.61           |
| <b>INVESTMENT IN PLANT</b>               |                               |  |  |                          |                             |                         |                         |
| Depreciation and Amortization            | 62,441,826.83                 |  |  |                          | 62,441,826.83               |                         | 62,441,826.83           |
| Total Investment in Plant                | 62,441,826.83                 |  |  |                          | 62,441,826.83               |                         | 62,441,826.83           |
| <b>TOTAL OPERATING EXPENSES (Exh. B)</b> | <b>4,337,778.00</b>           | <b>506,018.17</b>  | <b>506,018.17</b>  | <b>15,327,537.72</b>     | <b>1,579,306,306.68</b>     | <b>70,229,973.17</b>    | <b>1,649,536,279.85</b> |

The University of Texas Health Science Center at Houston  
Expense Classification Summary  
For the Period Ending August 31, 2017

|  | Induction      | Research       | Public Service | Hospitals / Clinics | Academic Support | Student Services | Institutional Support | Maintenance of Plant | Scholarships and Fellowships | Auxiliary Enterprises | Depreciation and Amortization | Total Expenses   |
|--|----------------|----------------|----------------|---------------------|------------------|------------------|-----------------------|----------------------|------------------------------|-----------------------|-------------------------------|------------------|
| \$   |                |                |                |                     |                  |                  |                       |                      |                              |                       |                               |                  |
| Cost of Goods Sold   | -              | 62.70          | -              | 20,400,141.69       | 3,009.60         | -                | 225,007.00            | -                    | -                            | -                     | -                             | 20,628,220.99    |
| Salaries and Wages   | 530,327,583.52 | 100,328,694.71 | 13,238,981.48  | 20,138,844.40       | 30,804,834.06    | 3,407,209.06     | 39,323,818.73         | 9,436,595.30         | 1,409,530.69                 | 3,588,656.24          | -                             | 933,234,738.19   |
| Payroll Related Costs  | 102,813,464.17 | 27,951,943.33  | 4,185,294.07   | 44,770,997.89       | 8,483,107.02     | 1,004,663.43     | 11,074,894.23         | 2,736,177.32         | 232,614.60                   | 1,097,052.59          | -                             | 204,350,308.65   |
| Membership Dues  | 1,230,018.43   | 215,167.56     | 3,254.40       | 234,242.12          | 227,031.51       | 9,086.00         | 199,061.44            | 6,320.42             | 6,698.00                     | 926.40                | -                             | 2,131,806.28     |
| Registration Fees, Meetings, Conferences                       | 1,596,702.28   | 735,533.72     | 40,290.56      | 233,410.03          | 364,895.22       | 90,067.02        | 335,853.73            | 24,316.12            | 39,511.22                    | 8,150.71              | -                             | 3,467,326.61     |
| Professional Fees and Services                                 | 6,338,401.51   | 7,194,794.11   | 4,374,113.76   | 37,281,789.48       | 1,605,209.63     | 694,799.66       | 4,796,869.69          | 386,767.33           | 182,424.31                   | 1,605,672.63          | -                             | 64,470,842.11    |
| Other Contracted Services                                      | 7,875,635.97   | 23,765,336.75  | 6,373,738.39   | 38,709,738.44       | 3,272,141.42     | 79,942.83        | 845,628.93            | 2,941,246.36         | 485,210.67                   | 1,802,274.28          | -                             | 86,170,898.04    |
| Fees and Other Charges   | 4,551,604.66   | 3,208,683.40   | 424,740.38     | 1,716,693.84        | 581,490.49       | 108,236.74       | 2,132,325.95          | 194,786.28           | 44,676.21                    | 346,344.78            | -                             | 13,309,594.73    |
| Travel   | 4,864,909.86   | 3,034,628.86   | 446,767.07     | 1,077,717.55        | 725,537.09       | 85,886.16        | 532,437.09            | 57,164.24            | 88,068.50                    | 41,155.86             | -                             | 10,854,492.30    |
| Materials and Supplies   | 9,022,012.71   | 15,115,675.62  | 832,833.11     | 24,729,464.34       | 2,333,473.37     | 1,681,713.91     | 3,128,619.60          | 4,008,190.87         | 123,467.48                   | 2,209,215.54          | -                             | 63,184,766.55    |
| Utilities  | 7,356.74       | 6,232.60       | 3,846.31       | 794,250.15          | 1,052.16         | -                | 51,486.07             | 14,447,856.18        | -                            | 2,389,080.09          | -                             | 17,711,600.30    |
| Communications   | 1,442,361.94   | 480,503.30     | 201,283.32     | 499,247.93          | 273,464.25       | 55,287.59        | 76,636.58             | 104,163.81           | 7.78                         | 129,255.42            | -                             | 3,854,801.92     |
| Repairs and Maintenance  | 525,210.21     | 512,524.46     | 185,274.61     | 5,447,912.81        | 246,237.15       | 265,784.83       | 4,803,756.74          | 1,967,922.81         | 595.79                       | 1,399,006.69          | -                             | 15,354,225.10    |
| Rentals and Leases   | 3,648,454.28   | 1,084,938.35   | 743,860.68     | 18,794,701.06       | 2,612,623.42     | 901,734.61       | 1,918,156.09          | 1,285,939.30         | 1,487.58                     | 1,188,514.78          | -                             | 32,160,410.15    |
| Printing and Reproduction                                      | 989,914.16     | 971,128.11     | 588,570.02     | 2,010,263.82        | 286,072.36       | 55,657.85        | 618,692.31            | 9,052.28             | 6,206.99                     | 1,377,214.84          | -                             | 6,872,752.74     |
| Royalty Payments   | -              | 2,432,525.16   | -              | -                   | -                | -                | -                     | -                    | -                            | -                     | -                             | 2,432,525.16     |
| Insurance Costs/Premiums                                       | 218,540.47     | 64,079.12      | 21,438.00      | 7,094,321.99        | 19,263.64        | 7,021.00         | 239,329.41            | 1,652,490.06         | 440.32                       | 16,677.00             | -                             | 9,323,701.01     |
| Scholarships and Fellowships                                   | 45,500.00      | 34,500.00      | -              | -                   | 271,271.11       | 676,035.08       | 34,000.00             | -                    | 5,918,872.94                 | -                     | -                             | 6,880,179.13     |
| Depreciation and Amortization                                  | -              | -              | -              | -                   | -                | -                | -                     | -                    | -                            | -                     | 62,441,826.83                 | 62,441,826.83    |
| Federal Sponsored Program Pass-Through to Other State Agencies | 197,942.86     | 4,060,017.90   | 79,817.24      | -                   | -                | -                | -                     | -                    | -                            | -                     | -                             | 4,337,778.00     |
| State Sponsored Program Pass-Through to Other State Agencies   | 81,925.70      | 412,683.31     | 11,399.16      | -                   | -                | -                | -                     | -                    | -                            | -                     | -                             | 506,018.17       |
| Other Operating Expenses                                       | 2,767,143.09   | 2,844,179.61   | 1,189,174.31   | 4,683,425.32        | 914,360.47       | 377,833.64       | 1,548,596.20          | 359,186.61           | 289,484.19                   | 354,154.28            | -                             | 15,327,537.72    |
| Total Operating Expenses                                       | 678,545,372.56 | 194,474,242.68 | 32,924,776.87  | 409,846,862.86      | 53,005,073.97    | 9,500,959.43     | 72,577,269.79         | 39,628,169.29        | 8,838,297.27                 | 17,523,355.13         | 62,441,826.83                 | 1,579,306,306.68 |

SCHEDULE C-2A  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 STATEMENT OF HOSPITALS/CLINICS EXPENDITURES  
 EDUCATIONAL & GENERAL FUNDS  
 For the Year Ending August 31, 2017

|  | <u>DEPTID</u> | <u>TOTAL</u>         |
|--|---------------|----------------------|
| Community & Educational Outreach           |               |                      |
| Service Delivery - Mobile Van              | 25423321      | 142,274.58           |
| Community Outreach - SPH                   | 67620000      | 14,745.75            |
| Total Community & Educational Outreach     |               | <u>157,020.33</u>    |
| School of Dentistry Patient Services       |               |                      |
| DB Patient Care-General                    | 38120005      | 277,348.40           |
| DB Patient Services                        | 38120105      | 830,571.39           |
| DB Clinical Services                       | 38120205      | 960,224.79           |
| Total School of Dentistry Patient Services |               | <u>2,068,144.58</u>  |
| Harris County Psychiatric Center           |               |                      |
| Patient Care Services (HCPC)               |               |                      |
| Pharmacy                                   | 99300105      | 1,474,174.55         |
| HCPC - Food Service                        | 99300108      | 1,696,712.63         |
| Housekeeping                               | 99300109      | 374,339.88           |
| Laundry & Linen Services                   | 99300110      | 101,853.36           |
| Professional Services                      | 99300301      | 205,135.26           |
| Medical Records                            | 99301200      | 346,891.60           |
| Nursing Administration                     | 99303100      | 1,137,384.88         |
| Psychology                                 | 99303300      | 135,879.75           |
| Patient Service Center                     | 99304100      | 1,458,997.63         |
| Psychiatry Staff                           | 99310100      | 5,367,436.61         |
| Acuity Management                          | 99310200      | 2,399,975.53         |
| HCPC Refractory Depression Pgm             | 99310300      | 83,143.37            |
| Nursing Unit 1D                            | 99311200      | 462,868.75           |
| Nursing Unit 1E                            | 99311300      | 1,533,409.48         |
| Nursing Unit 1C                            | 99311400      | 9,977.36             |
| Nursing Unit 2B                            | 99312100      | 1,350,706.66         |
| Nursing Unit 2C                            | 99312200      | 1,383,173.25         |
| Nursing Unit 2D                            | 99312300      | 1,380,603.08         |
| Nursing Unit 2E                            | 99312400      | 1,278,981.06         |
| Nursing Unit 3B                            | 99313100      | 1,240,187.48         |
| Nursing Unit 3C                            | 99313200      | 1,201,679.37         |
| Nursing Unit 3D                            | 99313300      | 1,227,110.01         |
| Nursing Unit 3E                            | 99313400      | 1,138,203.57         |
| Early Onset LTT                            | 99320100      | 5,506.75             |
| Programming                                | 99320200      | 2,435,735.80         |
| Laboratory                                 | 99321100      | 442,975.56           |
| Radiology                                  | 99321200      | 40,216.25            |
| EKG  | 99321400      | 1,226.94             |
| Competency Restoration Unit                | 99331700      | 1,281,193.41         |
| Total Patient Care Services (HCPC)         |               | <u>31,195,679.83</u> |

SCHEDULE C-2A  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 STATEMENT OF HOSPITALS/CLINICS EXPENDITURES  
 EDUCATIONAL & GENERAL FUNDS  
 For the Year Ending August 31, 2017

|   | <u>DEPTID</u> | <u>TOTAL</u>                |
|---|---------------|-----------------------------|
| Facilities (HCPC)                               |               |                             |
| UTPD - Security                                 | 12280001      | 628,379.23                  |
| Central Equipment                               | 99300104      | 144,422.73                  |
| HCPC - Purchased Utilities                      | 99300107      | 379,795.34                  |
| Communications                                  | 99301100      | 264,185.63                  |
| HCPC - Building Services                        | 99306301      | 923,056.00                  |
| Environmental Health & Safety                   | 99306305      | 88,445.70                   |
| <br>  |               |                             |
| Total Facilities (HCPC)                         |               | <u>2,428,284.63</u>         |
| Support Departments and Activities (HCPC)       |               |                             |
| Office Of Executive Director                    | 99300102      | 225,000.23                  |
| HCPC Copier Services                            | 99300111      | 4,374.32                    |
| Joint Commission                                | 99300112      | 11,593.30                   |
| Office Of Administration                        | 99300200      | 332,593.87                  |
| HCPC Business Operations                        | 99300202      | 168,089.74                  |
| Financial Operations                            | 99300300      | 991,258.91                  |
| Personnel Systems Management                    | 99300400      | 250,272.91                  |
| HCPC Volunteer Services                         | 99300601      | 54,985.88                   |
| Communication and Marketing                     | 99300602      | 143,748.08                  |
| Data Management                                 | 99301300      | 719,375.16                  |
| Performance Improvement                         | 99302100      | 625,335.90                  |
| Staff Training                                  | 99302200      | 264,898.78                  |
| Tuition Reimbursement Program                   | 99302600      | 5,353.09                    |
| Mgmt Information Systems                        | 99306200      | 993,316.54                  |
| Medical Staff Support                           | 99320400      | 105,430.56                  |
| <br>  |               |                             |
| Total Support Departments and Activities (HCPC) |               | <u>4,895,627.27</u>         |
| Other Expenses                                  |               |                             |
| Allocation to Hospitals                         | 99306100      | 2,404,593.96                |
| Educational & General Benefits                  |               | 649,940.48                  |
| Educational & General Longevity                 |               | 23,196.87                   |
| HCPC Staff Benefits                             | 15500002      | 10,458,371.89               |
| HCPC Longevity                                  | 15500004      | 378,116.74                  |
| Institutional Accrual                           | 15609980      | 11,312.74                   |
| <br>  |               |                             |
| Total Other Expenses                            |               | <u>13,925,532.68</u>        |
| <br>  |               |                             |
| Total Expenses per Schedule C-2                 |               | <u><u>54,670,289.32</u></u> |

( ) Deduction or Deficit

The University of Texas Health Science Center at Houston  
 Schedule D-6: Medical Services, Research and Development Plan - Summary of Operations\*  
 For the Year Ended August 31, 2017

**Operating Revenues:**

|  |                        |                              |
|--|------------------------|------------------------------|
| Gross Patient Charges  |                        |                              |
| Gross Patient Charges Related to Uncompensated Care (Note 2) | 904,907,117.00         |                              |
| Other Gross Patient Charges                                  | <u>484,025,369.00</u>  |                              |
| Total Gross Patient Charges                                  |                        | 1,388,932,486.00             |
| Less: Discounts and Allowances                               |                        |                              |
| Contractual Allowances - Medicaid                            | (323,279,962.68)       |                              |
| Contractual Allowances - Medicare                            | (276,592,512.52)       |                              |
| Contractual Allowances - Managed Care and Other Insurance    | (195,136,234.29)       |                              |
| Other Unreimbursed Medical Charges                           | (189,358,400.63)       |                              |
| Bad Debt Expense   | <u>(57,896,646.27)</u> |                              |
| Total Discounts and Allowances                               |                        | <u>(1,042,263,756.39)</u>    |
| Net Patient Revenues   |                        | 346,668,729.61               |
| Contractual Revenues   |                        | 441,568,490.67               |
| Other Operating Revenues                                     |                        | <u>38,968,664.51</u>         |
| <b>Total Operating Revenues</b>                              |                        | <u><b>827,205,884.79</b></u> |

**Operating Expenses:**

|                                  |  |                              |
|----------------------------------|--|------------------------------|
| Faculty Salaries                 |  | 351,704,229.54               |
| Staff Salaries                   |  | 181,322,577.84               |
| Fringe Benefits                  |  | 103,756,358.09               |
| Maintenance and Operations       |  | 141,956,791.03               |
| Professional Liability Insurance |  | 702,574.00                   |
| Travel                           |  | 4,529,317.72                 |
| Official Functions               |  | 1,814,760.89                 |
| Other Expenses                   |  | <u>12,965,309.05</u>         |
| <b>Total Operating Expenses</b>  |  | <u><b>798,751,918.16</b></u> |
| <b>Operating Income (Loss)</b>   |  | <b>28,453,966.63</b>         |

**Nonoperating Revenues (Expenses):**

|  |  |                            |
|--|--|----------------------------|
| Gift Contributions for Operations                    |  |                            |
| Investment Income                                    |  | 2,371,122.83               |
| Net Increase (Decrease) in Fair Value of Investments |  | <u>2,920,406.91</u>        |
| <b>Net Nonoperating Revenues (Expenses)</b>          |  | <u><b>5,291,529.74</b></u> |

**Income (Loss) Before Other Revenues, Expenses, Gains or Losses**

|                       |  |                       |
|-----------------------|--|-----------------------|
|                       |  | 33,745,496.37         |
| Transfers In:         |  |                       |
| Interfund/Interagency |  | (45,236,737.96)       |
| Transfers Out:        |  |                       |
| Debt Service          |  | (2,108,639.48)        |
| Capital Outlay        |  | <u>(4,964,697.10)</u> |

**Change in Net Position**

|  |  |                                 |
|--|--|---------------------------------|
|  |  | <u>(18,564,578.17)</u>          |
| Net Position - September 1, 2016                   |  | <u>142,172,648.58</u>           |
| <b>Net Position - August 31, 2017 (See Note 1)</b> |  | <u><b>\$ 123,608,070.41</b></u> |

\* Includes the operations of the nonprofit healthcare corporation.

Note 1: Ending Net Position August 31, 2017 was composed of the following:

|   |  |                          |
|---|--|--------------------------|
| Unrestricted:                                       |  |                          |
| Reserved  |  |                          |
| Encumbrances  |  | \$ 7,708,997.17          |
| Accounts Receivable (less related unearned revenue) |  | 92,905,735.25            |
| Other Specific Purposes:                            |  |                          |
| Deposits  |  | 150.00                   |
| Prepaid Expenses                                    |  | 1,380,562.43             |
| Imprest Funds                                       |  | 21,941.48                |
| Unreserved  |  |                          |
| Allocated   |  |                          |
| Capital Projects                                    |  | 4,497,413.00             |
| Debt Service  |  | 1,811,049.00             |
| Practice Plan Minimum Operating Reserve of 90 days  |  | <u>15,282,222.08</u>     |
| Total Net Position                                  |  | <u>\$ 123,608,070.41</u> |

Note 2: The Cost of Uncompensated Care

Uncompensated Care includes the unreimbursed costs for the uninsured (those with no source of third party insurance) and the underinsured (those with insurance who after contractual adjustment and third party payments have a responsibility to pay for an amount they are unable to pay). Uncompensated care also includes the unreimbursed cost from governmental sponsored health programs.

The institution identifies the gross charges for uncompensated care by identifying the payer categories where the cost of care exceeds the appropriate, available funding.

The institution converts gross charges for uncompensated care to cost by relating them to the Medicare fee schedule on an aggregate weighted average basis. The institution recognizes payments from patients, government sponsored programs (Medicare, Medicaid, and local government programs) and other appropriate lump sums, including any amounts received from Upper Payment Limit, as funding available to offset costs. The appropriate funding is applied to the cost of care for each payer category and uncompensated care is identified where the cost of care exceeds the available funding.

The institution's gross charges for uncompensated care and residual unreimbursed uncompensated care (after funding available to offset costs) are shown below:

|  |                |
|--|----------------|
| Gross Charges for Uncompensated Care     | 904,907,117.00 |
| Residual Unreimbursed Uncompensated Care | 34,379,330.95  |

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The University of Texas Health Science Center at Houston  
 Schedule D-6: Dental Practice Plan - Summary of Operations  
 For the Year Ended August 31, 2017

**Operating Revenues:**

|   |                |                     |
|---|----------------|---------------------|
| Gross Patient Charges                                     |                |                     |
| Other Gross Patient Charges                               | 7,434,947.88   |                     |
| Total Gross Patient Charges                               |                | \$ 7,434,947.88     |
| Less: Discounts and Allowances                            |                |                     |
| Contractual Allowances - Medicaid                         | (280,295.38)   |                     |
| Contractual Allowances - Medicare                         | (653,539.86)   |                     |
| Contractual Allowances - Managed Care and Other Insurance | (1,587,717.16) |                     |
| Other Unreimbursed Medical Charges                        | (264,194.35)   |                     |
| Bad Debt Expense  | (793,080.22)   |                     |
| Total Discounts and Allowances                            |                | (3,578,826.97)      |
| Net Patient Revenues                                      |                | 3,856,120.91        |
| Contractual Revenues                                      |                | 5,187,015.13        |
| Other Operating Revenues                                  |                | 47,632.67           |
| <b>Total Operating Revenues</b>                           |                | <b>9,090,768.71</b> |

**Operating Expenses:**

|                                 |  |                     |
|---------------------------------|--|---------------------|
| Faculty Salaries                |  | 4,438,459.14        |
| Staff Salaries                  |  | 1,711,248.07        |
| Fringe Benefits                 |  | 1,302,386.75        |
| Maintenance and Operations      |  | 900,171.28          |
| Travel                          |  | 105,435.28          |
| Official Functions              |  | 16,819.56           |
| Other Expenses                  |  | 247,794.04          |
| <b>Total Operating Expenses</b> |  | <b>8,722,314.12</b> |

**Income (Loss) Before Other Revenues, Expenses, Gains or Losses**

|                               |  |                   |
|-------------------------------|--|-------------------|
|                               |  | 368,454.59        |
| Transfers In:                 |  |                   |
| Interfund/Interagency         |  | (178,587.13)      |
| Transfers Out:                |  |                   |
| Capital Outlay                |  | (9,840.00)        |
| <b>Change in Net Position</b> |  | <b>180,027.46</b> |

|                                  |              |
|----------------------------------|--------------|
| Net Position - September 1, 2016 | 1,755,805.39 |
|----------------------------------|--------------|

|  |                        |
|--|------------------------|
| <b>Net Position - August 31, 2017 (See Note 1)</b> | <b>\$ 1,935,832.85</b> |
|--|------------------------|

Note 1: Ending Net Position August 31, 2017 was composed of the following:

|   |               |                        |
|---|---------------|------------------------|
| Unrestricted:                                       |               |                        |
| Reserved  |               |                        |
| Encumbrances  | \$ 223,632.85 |                        |
| Accounts Receivable (less related unearned revenue) |               | 628,749.51             |
| Unreserved  |               |                        |
| Allocated   |               |                        |
| Debt Service  |               | 394,998.00             |
| Practice Plan Minimum Operating Reserve of 90 days  |               | 688,452.49             |
| Total Net Position                                  |               | <b>\$ 1,935,832.85</b> |

**State of Texas - Annual Financial Reporting  
Schedule of Expenditures of Federal Awards  
(SEFA)**

**Agency 744 - University of Texas Health Science Center at Houston  
Schedule 1A  
For the Fiscal Year Ended August 31, 2017  
\*\*\*Certified\*\*\***

| Federal Grant/<br>Pass-through Grant/<br>Program Title   | CFDA<br>Number | NSE Name/<br>Identifying Number                                | Pass-through From |                                      | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |                                      | Total<br>Expenditures<br>PT To and<br>Expenditures<br>Amount |              |
|--|----------------|--|-------------------|--------------------------------------|-----------------------------|--|-----------------|--------------------------------------|--|--------------|
|  |                |  | Agy/<br>Univ      | Agencies or<br>Non-State<br>Entities |                             |  | Agy/<br>Univ    | Agencies or<br>Non-State<br>Entities |  |              |
|  |                |  | No                | Amount                               |                             |  | No              | Amount                               |  |              |
| <b>Environmental Protection Agency</b>   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <b>Direct Programs:</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 66.034         |  |                   |                                      | 5,081.69                    | 5,081.69                                       |                 |                                      | 5,081.69   | 5,081.69     |
| <b>Totals - Environmental Protection Agency</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                |  | 0                 | 0                                    | 5,081.69                    | 5,081.69                                       | 0               | 0                                    | 5,081.69   | 5,081.69     |
| <b>National Aeronautics and Space Administration</b>   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| National Aeronautics and Space Administration  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 43.000         | Wyle Laboratories/<br>NN/15HK11B                               |                   | 400                                  |                             |  |                 |                                      | 400  | 400          |
| <b>Totals - National Aeronautics and Space Administration</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                |  | 0                 | 400                                  | 0                           | 400  | 0               | 0                                    | 400  | 400          |
| <b>National Science Foundation</b>   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <b>Direct Programs:</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| Engineering Grants   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 47.041         |  |                   |                                      | 40,677.33                   | 40,677.33                                      |                 |                                      | 40,677.33  | 40,677.33    |
| Education and Human Resources  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 47.076         |  |                   |                                      | 66,057.51                   | 66,057.51                                      |                 |                                      | 66,057.51  | 66,057.51    |
| <b>Totals - National Science Foundation</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                |  | 0                 | 0                                    | 106,734.84                  | 106,734.84                                     | 0               | 0                                    | 106,734.84   | 106,734.84   |
| <b>U.S. Department of Agriculture</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <b>Direct Programs:</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| Special Supplemental Nutrition Program for Women, Infants, and Children  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 10.557         |  |                   |                                      | 21,352.50                   | 21,352.50                                      |                 |                                      | 21,352.50  | 21,352.50    |
| Special Supplemental Nutrition Program for Women, Infants, and Children  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 10.557         |  |                   |                                      | 4,809,488.93                | 4,809,488.93                                   |                 |                                      | 4,809,488.93   | 4,809,488.93 |
| <i>Pass-Through From:</i>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <i>Department of State Health Services</i>   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 537            |  |                   | 4,809,488.93                         |                             |  |                 |                                      |  |              |
| <b>Totals - U.S. Department of Agriculture</b>   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                |  |                   | 0                                    | 21,352.50                   | 21,352.50                                      | 0               | 0                                    | 4,830,841.43   | 4,830,841.43 |
| <b>U.S. Department of Education</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <b>Direct Programs:</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| Research in Special Education  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 84.324         |  |                   |                                      | 31,772.58                   | 31,772.58                                      |                 |                                      | 31,772.58  | 31,772.58    |
| Striving Readers   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 84.371         |  |                   |                                      | -470.69                     | -470.69  |                 |                                      | -470.69  | -470.69      |
| <b>Pass-Through From:</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| Striving Readers   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 84.371         |  |                   |                                      | 304,322.92                  | 304,322.92                                     |                 |                                      | 304,322.92   | 304,322.92   |
| <i>Pass-Through From:</i>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <i>Texas Education Agency</i>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 701            |  |                   | 304,322.92                           |                             |  |                 |                                      |  |              |
| <b>Totals - U.S. Department of Education</b>   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                |  |                   | 0                                    | 31,301.89                   | 31,301.89                                      | 0               | 0                                    | 335,624.81   | 335,624.81   |
| <b>U.S. Department of Health and Human Services</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <b>Direct Programs:</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| U.S. Department of Health and Human Services   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 93.000         | Association of<br>University Ctrs on<br>Disabilities/<br>LTSAE |                   | 603.1                                |                             | 603.1  |                 |                                      | 603.1  | 603.1        |
| U.S. Department of Health and Human Services   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                | Harris County<br>Hospital District/<br>G4-05565                |                   | 4,483.19                             |                             | 4,483.19                                       |                 |                                      | 4,483.19   | 4,483.19     |
| U.S. Department of Health and Human Services   |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                | Memorial Hermann<br>Health System/                             |                   | 815,226.17                           |                             | 815,226.17                                     |                 |                                      | 815,226.17   | 815,226.17   |
| <i>Pass-Through From:</i>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <i>CMSU/HSC17</i>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 93.042         | City of Houston<br>Health and Human<br>Services/<br>460008795  |                   | 471,838.73                           |                             | 471,838.73                                     |                 |                                      | 471,838.73   | 471,838.73   |
| <b>Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals</b>     |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
| <b>Blood Disorder Program: Prevention, Surveillance, and Research</b>  |                |  |                   |                                      |                             |  |                 |                                      |  |              |
|  | 93.080         | American<br>Thrombosis and<br>Hemostasis<br>Network/           |                   | 159,591.87                           |                             | 159,591.87                                     |                 |                                      | 159,591.87   | 159,591.87   |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title   | CFDA Number | NSE Name/<br>Identifying Number   | Pass-through From |  |                    | Direct Program Amount | Total PT From and Direct Prog. Amount | Pass-through To |  |                    | Total Expenditures PT To and Expenditures Amount |
|--|-------------|---|-------------------|--|--------------------|-----------------------|---------------------------------------|-----------------|--|--------------------|--|
|  |             |   | Agy/ Univ         | Pass-Through From Agencies or Universities | Non-State Entities |                       |                                       | Agy/ Univ       | Pass-Through To Agencies or Universities | Non-State Entities |  |
|  |             |   |                   |  |                    |                       |                                       |                 |  |                    |  |
| Alfordable Care Act (ACA) Personal Responsibility Education Program  | 93.092      | 5N27D001155-0200<br>ETR Associates/<br>904P2874-02                        |                   | 20,667.90                                  |                    | 20,667.90             |                                       |                 |  | 20,667.90          | 20,667.90  |
| Maternal and Child Health Federal Consolidated Programs  | 93.110      | Organization of Teratology Informalions/<br>UG4MC27861                    |                   | 20,087.50                                  |                    | 20,087.50             |                                       |                 |  | 20,087.50          | 20,087.50  |
| NIEHS Hazardous Waste Worker Health and Safety Training  | 93.142      | Indiana University/<br>5U4HE027855  |                   | 95,710.46                                  |                    | 95,710.46             |                                       |                 |  | 95,710.46          | 95,710.46  |
| Disabilities Prevention  | 93.184      | American Thrombosis and Hemostasis Network/<br>ATHN2011001-V4-REV         |                   | 13,294.83                                  |                    | 13,294.83             |                                       |                 |  | 13,294.83          | 13,294.83  |
| Discovery and Applied Research for Technological Innovations to Improve Human Health   | 93.286      | William Marsh Rice University/<br>R23034                                  |                   | 6,140.10                                   |                    | 6,140.10              |                                       |                 |  | 6,140.10           | 6,140.10   |
| Teenage Pregnancy Prevention Program   | 93.297      | ETR Associates/<br>TP2A00031-01-00  |                   | 5,166.92                                   |                    | 5,166.92              |                                       |                 |  | 5,166.92           | 5,166.92   |
| ACL National Institute on Disability, Independent Living, and Rehabilitation Research  | 93.433      | TIRR Memorial Hermann/<br>90DPO22 ILRL-C/N-1628-17                        |                   | 20,236.03                                  |                    | 20,236.03             |                                       |                 |  | 20,236.03          | 20,236.03  |
| Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) | 93.524      | Association of State and Territorial Health Officials/<br>5U3807000161-04 |                   | 13,735.82                                  |                    | 13,735.82             |                                       |                 |  | 13,735.82          | 13,735.82  |
| Biomedical Research and Research Training  | 93.859      | REO 1054<br>Bayton College of Health Sciences/<br>5T32G008280-28          |                   | 65,291.80                                  |                    | 65,291.80             |                                       |                 |  | 65,291.80          | 65,291.80  |
| Child Health and Human Development Extramural Research   | 93.865      | George Washington University/<br>5U01HD068541-05                          |                   | 37,123.41                                  |                    | 37,123.41             |                                       |                 |  | 37,123.41          | 37,123.41  |
| HIV Emergency Relief Project Grants  | 93.914      | Harris County Public Health and Environmental Services/<br>74GEN0092      |                   | -2,884.28                                  |                    | -2,884.28             |                                       |                 |  | -2,884.28          | -2,884.28  |
| HIV Emergency Relief Project Grants  | 93.943      | Harris County Public Health and Environmental Services/<br>76GEN0202      |                   | 102,973.15                                 |                    | 102,973.15            |                                       |                 |  | 102,973.15         | 102,973.15                                       |
| HIV Emergency Relief Project Grants  | 93.943      | Harris County Public Health and Environmental Services/<br>76GEN0202      |                   | 102,727.80                                 |                    | 102,727.80            |                                       |                 |  | 102,727.80         | 102,727.80                                       |
| HIV Emergency Relief Project Grants  | 93.943      | Harris County Public Health and Environmental Services/<br>76GEN0202      |                   | 298,309.88                                 |                    | 298,309.88            |                                       |                 |  | 298,309.88         | 298,309.88                                       |
| Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups                           | 93.000      | 5N2CGH001640-03<br>528-14-0028-00001                                      |                   | 19,886.97                                  |                    | 19,886.97             |                                       |                 |  | 19,886.97          | 19,886.97  |
| Birth Defects and Developmental Disabilities - Prevention and Surveillance   | 93.073      | City of Houston Health and Human Services/<br>C16-001-003                 |                   | 186,545.71                                 |                    | 186,545.71            |                                       |                 |  | 186,545.71         | 186,545.71                                       |
| Blood Disorder Program: Prevention, Surveillance, and Research   | 93.080      |   |                   | 89,712.84                                  |                    | 89,712.84             |                                       |                 |  | 89,712.84          | 89,712.84  |
| Blood Disorder Program: Prevention, Surveillance, and Research   | 93.080      |   |                   | 236,461.51                                 |                    | 236,461.51            |                                       |                 |  | 236,461.51         | 236,461.51                                       |
| Blood Disorder Program: Prevention, Surveillance, and Research   | 93.080      |   |                   | 35,367.66                                  |                    | 35,367.66             |                                       |                 |  | 35,367.66          | 35,367.66  |

**Direct Programs:**  
 U.S. Department of Health and Human Services  
 U.S. Department of Health and Human Services  
 Birth Defects and Developmental Disabilities - Prevention and Surveillance  
 Blood Disorder Program: Prevention, Surveillance, and Research  
 Blood Disorder Program: Prevention, Surveillance, and Research  
 Pass-Through To:

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title   | CFDA<br>Number | NSE Name/<br>Identifying Number | Pass-through From           |        |                       | Pass-through To             |        |                       | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To             |  |                       | Total<br>Expenditures<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---------------------------------|-----------------------------|--------|-----------------------|-----------------------------|--------|-----------------------|--|---------------------|-----------------------------|--|-----------------------|--|
|  |                |                                 | Agencies or<br>Universities |        | Non-State<br>Entities | Agencies or<br>Universities |        | Non-State<br>Entities |  |                     | Agencies or<br>Universities |  | Non-State<br>Entities |  |
|  |                |                                 | Amount                      | Amount | Amount                | Amount                      | Amount | Amount                |  |                     | Amount                      |  |                       |  |
| <i>University of Texas Southwestern Medical Center</i>   |                |                                 |                             |        |                       |                             |        |                       |  |                     |                             |  |                       |  |
| Blood Disorder Program; Prevention, Surveillance, and Research<br><i>Pass-Through To:</i><br><i>University of Texas Health Science Center at San Antonio</i>   | 93.080         |                                 |                             |        |                       | 9,138.61                    |        | 9,138.61              | 729  |                     | 35,367.66                   |  | 9,138.61              |  |
| Prevention of Disease, Disability, and Death by Infectious Diseases<br><i>Pass-Through To:</i><br><i>Texas A&amp;M AgriLife Research</i>   | 93.084         |                                 |                             |        |                       | 55,756.02                   |        | 55,756.02             | 745  |                     | 9,138.61                    |  | 55,756.02             |  |
| Maternal and Child Health Federal Consolidated Programs<br><i>Pass-Through To:</i><br><i>University of Texas Southwestern Medical Center</i>   | 93.110         |                                 |                             |        |                       | 432,741.80                  |        | 432,741.80            | 729  |                     | 45,610.74                   |  | 432,741.80            |  |
| Maternal and Child Health Federal Consolidated Programs<br><i>Pass-Through To:</i><br><i>University of Texas Health Science Center at San Antonio</i>  | 93.110         |                                 |                             |        |                       | 45,610.74                   |        | 45,610.74             | 745  |                     | 20,007.46                   |  | 45,610.74             |  |
| Nurse Anesthetist Traineeships<br>NIHES Hazardous Waste Worker Health and Safety Training<br>Affordable Care Act (ACA) Abstinence Education Program<br>Occupational Safety and Health Program<br>Occupational Safety and Health Program<br><i>Pass-Through To:</i><br><i>Texas A&amp;M Engineering Experiment Station</i>  | 93.124         |                                 |                             |        |                       | 23,296.00                   |        | 23,296.00             | 712  |                     | 9,988.52                    |  | 23,296.00             |  |
| Occupational Safety and Health Program<br><i>Pass-Through To:</i><br><i>University of Texas Medical Branch at Galveston</i>  | 93.262         |                                 |                             |        |                       | 6,430.02                    |        | 6,430.02              | 723  |                     | 6,430.02                    |  | 6,430.02              |  |
| Drug Abuse and Addiction Research Programs<br>National Center for Advancing Translational Sciences<br>Nurse Education, Practice Quality and Retention Grants<br>Cancer Research Manpower<br>Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review<br>Head Start<br>ARRA - Health Information Technology Regional Extension Centers Program<br>Diabetes, Digestive, and Kidney Diseases Extramural Research<br>Extramural Research Programs in the Neurosciences and Neurological Disorders<br>Allergy and Infectious Diseases Research<br>Allergy and Infectious Diseases Research<br><i>Pass-Through To:</i><br><i>Texas A&amp;M University System Health Science Center</i> | 93.279         |                                 |                             |        |                       | 31,991.68                   |        | 31,991.68             | 709  |                     | 27,695.00                   |  | 31,991.68             |  |
| Biomedical Research and Research Training<br>Child Health and Human Development Extramural Research<br>Vision Research<br>Vision Research<br><i>Pass-Through To:</i><br><i>University of Houston</i>   | 93.350         |                                 |                             |        |                       | 426,395.32                  |        | 426,395.32            | 730  |                     | 87,259.96                   |  | 426,395.32            |  |
| Maternal and Child Health Services Block Grant to the States<br><b>Pass-Through From:</b><br>Birth Defects and Developmental Disabilities - Prevention and Surveillance  | 93.359         |                                 |                             |        |                       | 117,771.09                  |        | 117,771.09            |  |                     |                             |  | 117,771.09            |  |
|  | 93.386         |                                 |                             |        |                       | 591,952.36                  |        | 591,952.36            |  |                     |                             |  | 591,952.36            |  |
|  | 93.511         |                                 |                             |        |                       | 534,089.12                  |        | 534,089.12            |  |                     |                             |  | 534,089.12            |  |
|  | 93.600         |                                 |                             |        |                       | 746,735.42                  |        | 746,735.42            |  |                     |                             |  | 746,735.42            |  |
|  | 93.718         |                                 |                             |        |                       | -36                         |        | -36                   |  |                     |                             |  | -36                   |  |
|  | 93.847         |                                 |                             |        |                       | 113,146.50                  |        | 113,146.50            |  |                     |                             |  | 113,146.50            |  |
|  | 93.853         |                                 |                             |        |                       | 262,914.75                  |        | 262,914.75            |  |                     |                             |  | 262,914.75            |  |
|  | 93.855         |                                 |                             |        |                       | 116,818.69                  |        | 116,818.69            |  |                     |                             |  | 116,818.69            |  |
|  | 93.855         |                                 |                             |        |                       | 27,695.00                   |        | 27,695.00             |  |                     |                             |  | 27,695.00             |  |
|  | 93.859         |                                 |                             |        |                       | 468,268.63                  |        | 468,268.63            |  |                     |                             |  | 468,268.63            |  |
|  | 93.865         |                                 |                             |        |                       | 7,934.88                    |        | 7,934.88              |  |                     |                             |  | 7,934.88              |  |
|  | 93.867         |                                 |                             |        |                       | 33,355.72                   |        | 33,355.72             |  |                     |                             |  | 33,355.72             |  |
|  | 93.867         |                                 |                             |        |                       | 109,343.05                  |        | 109,343.05            |  |                     |                             |  | 109,343.05            |  |
|  | 93.994         |                                 |                             |        |                       | -28,066.40                  |        | -28,066.40            |  |                     |                             |  | -28,066.40            |  |
|  | 93.073         |                                 |                             |        |                       | 46,590.52                   |        | 46,590.52             |  |                     |                             |  | 46,590.52             |  |



| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                          | CFDA<br>Number | NSE Name/<br>Identifying Number                               | Pass-through From |  |                                 | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |  |                                 | Total<br>PT To and<br>Expenditures<br>Amount |            |
|---|----------------|---|-------------------|--|---------------------------------|-----------------------------|--|-----------------|--|---------------------------------|--|------------|
|   |                |   | Agr/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                             |  | Agry/<br>Univ   | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |  |            |
| Exploration   | 43.003         | Totals - National Aeronautics and Space Administration        |                   | 0  | 82,926.54                       | 15,371.47                   | 98,298.01                                      | 0               | 0  | 0                               | 15,371.47                                    | 98,298.01  |
| <b>National Science Foundation</b>  |                |   |                   |  |                                 |                             |  |                 |  |                                 |  |            |
| Engineering Grants  | 47.041         | Stasys Medical Corporation/<br>PROTOCOL DVP-<br>0614-44-DRAFT |                   |  | 18,194.37                       | 18,194.37                   |  |                 |  |                                 | 18,194.37                                    |            |
| Social, Behavioral, and Economic Sciences   | 47.075         | Human Relations Area Files, Inc./<br>SA CO14-19 UTH           |                   |  | 7,846.83                        | 7,846.83                    |  |                 |  |                                 | 7,846.83                                     |            |
| Education and Human Resources   | 47.076         | Franklin County Historical Society/<br>NSF DRL 1612555        |                   |  | 1,216.53                        | 1,216.53                    |  |                 |  |                                 | 1,216.53                                     |            |
| Education and Human Resources   |                | University of California/<br>NSF DRL 1377073                  |                   |  | 2,082.78                        | 2,082.78                    |  |                 |  |                                 | 2,082.78                                     |            |
| <b>Direct Programs:</b>   |                |   |                   |  |                                 |                             |  |                 |  |                                 |  |            |
| Engineering Grants  | 47.041         |   |                   |  | 96,583.48                       | 96,583.48                   |  |                 |  |                                 | 96,583.48                                    |            |
| Mathematical and Physical Sciences  | 47.049         |   |                   |  | 155,246.91                      | 155,246.91                  |  |                 | 38,601.80  |                                 | 116,645.11                                   |            |
| Computer and Information Science and Engineering                                    | 47.070         |   |                   |  | 300,754.51                      | 300,754.51                  |  |                 |  |                                 | 300,754.51                                   |            |
| Social, Behavioral, and Economic Sciences   | 47.075         |   |                   |  | 166,106.42                      | 166,106.42                  |  |                 | 28,485.97  |                                 | 137,620.45                                   |            |
| <b>Pass-Through From:</b>   |                |   |                   |  |                                 |                             |  |                 |  |                                 |  |            |
| Mathematical and Physical Sciences  | 47.049         |   | 506               | 15,123.35  |                                 | 15,123.35                   |  |                 |  |                                 | 15,123.35                                    |            |
| <i>Pass-Through From:</i><br><i>University of Texas M.D. Anderson Cancer Center</i> |                |   |                   |  |                                 |                             |  |                 |  |                                 |  |            |
| Totals - National Science Foundation  |                |   |                   | 15,123.35  | 29,340.51                       | 718,691.32                  | 763,155.18                                     | 0               | 67,087.77  |                                 | 696,067.41                                   | 763,155.18 |
| <b>U.S. Department of Agriculture</b>   |                |   |                   |  |                                 |                             |  |                 |  |                                 |  |            |
| Specialty Crop Block Grant Program - Farm Bill                                      | 10.170         | University of Arizona/<br>207PCSP0616-<br>SCBGP-CA-035        |                   |  | 26,901.03                       | 26,901.03                   |  |                 |  |                                 | 26,901.03                                    |            |
| Agriculture and Food Research Initiative (AFRI)                                     | 10.310         | Auburn University/<br>2015-67015-<br>22975                    |                   |  | 57,299.13                       | 57,299.13                   |  |                 |  |                                 | 57,299.13                                    |            |
| Totals - U.S. Department of Agriculture   |                |   |                   | 0  | 84,200.16                       | 0                           | 84,200.16                                      | 0               | 0  |                                 | 84,200.16                                    |            |
| <b>U.S. Department of Defense</b>   |                |   |                   |  |                                 |                             |  |                 |  |                                 |  |            |
| U.S. Department of Defense  | 12.000         | Johns Hopkins University/<br>W8XVWH-10-2-<br>0134             |                   |  | 15,172.65                       | 15,172.65                   |  |                 |  |                                 | 15,172.65                                    |            |
| Basic and Applied Scientific Research   | 12.300         | Duke University/<br>N00014-16-1-<br>2327                      |                   |  | 10,575.76                       | 10,575.76                   |  |                 |  |                                 | 10,575.76                                    |            |
| Basic and Applied Scientific Research   |                | United States Air Force/<br>FA8650-16-2-<br>6701              |                   |  | 54,886.58                       | 54,886.58                   |  |                 |  |                                 | 54,886.58                                    |            |
| Basic and Applied Scientific Research   |                | University of Chicago/<br>N00014-16-1-<br>2327                |                   |  | 56,970.93                       | 56,970.93                   |  |                 |  |                                 | 56,970.93                                    |            |
| Military Medical Research and Development   | 12.420         | American Burn Association/<br>W8XVWH-09-2-<br>0194            |                   |  | 166.91                          | 166.91                      |  |                 |  |                                 | 166.91                                       |            |
| Military Medical Research and Development   |                | American Burn Association/<br>W8XVWH-11-1-<br>0835            |                   |  | 2,298.16                        | 2,298.16                    |  |                 |  |                                 | 2,298.16                                     |            |
| Military Medical Research and Development   |                | BrainScope Company, Inc./<br>B-AHEAD III<br>TRIAL             |                   |  | 1,134.00                        | 1,134.00                    |  |                 |  |                                 | 1,134.00                                     |            |
| Military Medical Research and Development   |                | BrainScope Company, Inc./<br>B-AHEAD III<br>TRIAL             |                   |  | 1,134.00                        | 1,134.00                    |  |                 |  |                                 | 1,134.00                                     |            |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number                                       | Pass-through From |  |                       | Direct<br>Program<br>Amount | Pass-through To |  |                       | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|-------------------|--|-----------------------|-----------------------------|-----------------|--|-----------------------|--|---------------------------------|--|
|  |                |   | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities |                             | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities |  |                                 |  |
|  |                |   |                   |  |                       |                             |                 |  |                       |  |                                 |  |
| Military Medical Research and Development                  |                | Christopher and<br>Dana Reeve<br>Foundation/<br>CTM(2-2016)(KS)       |                   | 39,391.43  |                       |                             |                 | 39,391.43                                      |                       |  | 39,391.43                       | 39,391.43                                    |
| Military Medical Research and Development                  |                | Christopher and<br>Dana Reeve<br>Foundation/<br>CTM(3-2017)(KS)       |                   | 44,238.88  |                       |                             |                 | 44,238.88                                      |                       |  | 44,238.88                       | 44,238.88                                    |
| Military Medical Research and Development                  |                | Geneva Foundation/<br>W8TXWH-13-2-<br>0017S-124-02                    |                   | 10,187.36  |                       |                             |                 | 10,187.36                                      |                       |  | 10,187.36                       | 10,187.36                                    |
| Military Medical Research and Development                  |                | Johns Hopkins<br>University/<br>BIOB/DEW<br>STUDY (METRC)             |                   | 17,523.91  |                       |                             |                 | 17,523.91                                      |                       |  | 17,523.91                       | 17,523.91                                    |
| Military Medical Research and Development                  |                | Johns Hopkins<br>University/<br>FIXIT STUDY<br>(METRC)                |                   | 21,810.35  |                       |                             |                 | 21,810.35                                      |                       |  | 21,810.35                       | 21,810.35                                    |
| Military Medical Research and Development                  |                | Johns Hopkins<br>University/<br>OXYGEN/SR00002<br>888                 |                   | 13,141.99  |                       |                             |                 | 13,141.99                                      |                       |  | 13,141.99                       | 13,141.99                                    |
| Military Medical Research and Development                  |                | Johns Hopkins<br>University/<br>W8TXWH-10-2-<br>0090                  |                   | 61,704.68  |                       |                             |                 | 61,704.68                                      |                       |  | 61,704.68                       | 61,704.68                                    |
| Military Medical Research and Development                  |                | Johns Hopkins<br>University/<br>W8TXWH-14-2-<br>0189/<br>2002478699   |                   | 988.68   |                       |                             |                 | 988.68   |                       |  | 988.68                          | 988.68                                       |
| Military Medical Research and Development                  |                | Johns Hopkins<br>University/<br>W8TXWH-15-2-<br>0077/<br>00055094     |                   | 41,867.28  |                       |                             |                 | 41,867.28                                      |                       |  | 41,867.28                       | 41,867.28                                    |
| Military Medical Research and Development                  |                | Johns Hopkins<br>University/<br>TR01AR04066-<br>01                    |                   | 351  |                       |                             |                 | 351  |                       |  | 351                             | 351  |
| Military Medical Research and Development                  |                | Manzanita<br>Pharmaceuticals,<br>Inc./<br>13Z20147X-2                 |                   | 254,734.66                                       |                       |                             |                 | 254,734.66                                     |                       |  | 254,734.66                      | 254,734.66                                   |
| Military Medical Research and Development                  |                | National Trauma<br>Institute/<br>NTH-NTRR15-09                        |                   | 39,330.13  |                       |                             |                 | 39,330.13                                      |                       |  | 39,330.13                       | 39,330.13                                    |
| Military Medical Research and Development                  |                | Pyrimine Medical<br>Devices, Inc./<br>W8TQY-15-C-<br>0099             |                   | 159,335.41                                       |                       |                             |                 | 159,335.41                                     |                       |  | 159,335.41                      | 159,335.41                                   |
| Military Medical Research and Development                  |                | University of<br>Cincinnati/<br>W8TXWH-16-C-<br>0161                  |                   | 70,597.63  |                       |                             |                 | 70,597.63                                      |                       |  | 70,597.63                       | 70,597.63                                    |
| Military Medical Research and Development                  |                | University of<br>Washington/<br>W8TXWH-13-2-<br>0086                  |                   | 217,147.66                                       |                       |                             |                 | 217,147.66                                     |                       |  | 217,147.66                      | 217,147.66                                   |
| Military Medical Research and Development                  |                | Wake Forest<br>University Health<br>Sciences/<br>WFUHS41055ER-<br>05  |                   | 41,267.12  |                       |                             |                 | 41,267.12                                      |                       |  | 41,267.12                       | 41,267.12                                    |
| Military Medical Research and Development                  |                | Wake Forest<br>University Health<br>Sciences/<br>W8TXWH-14-2-<br>0004 |                   | 15,060.76  |                       |                             |                 | 15,060.76                                      |                       |  | 15,060.76                       | 15,060.76                                    |
| Uniformed Services University Medical Research Projects    | 12.750         | Henry M. Jackson<br>Foundation/<br>HJF/15-<br>32717                   |                   | 2,060.37   |                       |                             |                 | 2,060.37                                       |                       |  | 2,060.37                        | 2,060.37                                     |
| Uniformed Services University Medical Research Projects    |                | Henry M. Jackson<br>Foundation/<br>870237                             |                   | 1,724.81   |                       |                             |                 | 1,724.81                                       |                       |  | 1,724.81                        | 1,724.81                                     |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                                     | CFDA<br>Number | NSE Name/<br>Identifying Number                                | Pass-through From |  |                                 | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |  |                                 | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|--|-------------------|--|---------------------------------|-----------------------------|--|-----------------|--|---------------------------------|--|
|  |                |  | Agr/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                             |  | Agr/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |  |
| Air Force Defense Research Sciences Program  | 12.800         | Chicoma/Children's Hospital Medical Center/08043-007           |                   |  | 32,043.83                       | 32,043.83                   |  |                 |  |                                 | 32,043.83                                    |
| <b>Direct Programs:</b>  |                |  |                   |  |                                 |                             |  |                 |  |                                 |  |
| Military Medical Research and Development  | 12.420         |  |                   |  | 2,622,018.71                    | 2,622,018.71                |  |                 | 442,529.75   |                                 | 2,622,018.71                                 |
| Military Medical Research and Development  | 12.420         |  |                   |  | 17,652.89                       | 17,652.89                   |  |                 |  |                                 | 17,652.89                                    |
| <i>Pass-Through To:</i><br>Texas A&M University System Health Science Center                   |                |  |                   |  |                                 |                             |  | 709             | 17,652.89  |                                 |  |
| Military Medical Research and Development  | 12.420         |  |                   |  | 189,906.50                      | 189,906.50                  |  |                 | 189,906.50   |                                 | 189,906.50                                   |
| <i>Pass-Through To:</i><br>University of Texas Health Science Center at San Antonio            |                |  |                   |  |                                 |                             |  | 745             | 189,906.50   |                                 |  |
| <b>Pass-Through From:</b>  |                |  |                   |  |                                 |                             |  |                 |  |                                 |  |
| Military Medical Research and Development  | 12.420         |  |                   |  | 113,314.43                      | 113,314.43                  |  |                 |  |                                 | 113,314.43                                   |
| <i>Pass-Through From:</i><br>University of Texas at Austin                                     |                |  |                   |  |                                 |                             |  | 721             | 113,314.43   |                                 |  |
| Military Medical Research and Development  | 12.420         |  |                   |  | 39,563.50                       | 39,563.50                   |  |                 |  |                                 | 39,563.50                                    |
| <i>Pass-Through From:</i><br>University of Texas Medical Branch at Galveston                   |                |  |                   |  |                                 |                             |  | 723             | 39,563.50  |                                 |  |
| Military Medical Research and Development  | 12.420         |  |                   |  | 44,029.61                       | 44,029.61                   |  |                 |  |                                 | 44,029.61                                    |
| <i>Pass-Through From:</i><br>University of Houston   |                |  |                   |  |                                 |                             |  | 730             | 44,029.61  |                                 |  |
| Totals - U.S. Department of Defense  |                |  |                   |  | 2,829,578.10                    | 4,253,332.57                |  |                 | 207,559.39   | 442,529.75                      | 3,603,243.43                                 |
| <b>U.S. Department of Education</b>  |                |  |                   |  |                                 |                             |  |                 |  |                                 |  |
| Education Research, Development and Dissemination  | 84.305         | American Institutes for Research                               |                   |  | 117,963.63                      | 117,963.63                  |  |                 |  |                                 | 117,963.63                                   |
| Research in Special Education  | 84.324         | R/305A 150657 University of California - Davis/ #201500254-01  |                   |  | 452,056.54                      | 452,056.54                  |  |                 |  |                                 | 452,056.54                                   |
| <b>Direct Programs:</b>  |                |  |                   |  |                                 |                             |  |                 |  |                                 |  |
| Education Research, Development and Dissemination  | 84.305         |  |                   |  | 3,155,655.94                    | 3,155,655.94                |  |                 | 376,289.94   |                                 | 3,155,655.94                                 |
| Research in Special Education  | 84.324         |  |                   |  | 871,541.14                      | 871,541.14                  |  |                 | 150,820.40   |                                 | 871,541.14                                   |
| Research in Special Education  | 84.324         |  |                   |  | -49.48                          | -49.48                      |  |                 |  |                                 | -49.48                                       |
| <i>Pass-Through To:</i><br>University of Texas at Austin                                       |                |  |                   |  |                                 |                             |  | 721             | -49.48   |                                 |  |
| Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) | 84.368         |  |                   |  | 1,216,413.39                    | 1,216,413.39                |  |                 |  |                                 | 1,216,413.39                                 |
| Totals - U.S. Department of Education  |                |  |                   |  | 5,243,560.99                    | 5,813,581.16                |  |                 | -49.48   | 527,110.34                      | 5,286,520.30                                 |
| <b>U.S. Department of Health and Human Services</b>  |                |  |                   |  |                                 |                             |  |                 |  |                                 |  |
| U.S. Department of Health and Human Services   | 93.000         | Duke Clinical Research Institute/ 5926-01 CEMFRA SOLIPHANE 2/3 |                   |  | 5,123.56                        | 5,123.56                    |  |                 |  |                                 | 5,123.56                                     |
| U.S. Department of Health and Human Services   |                | Duke University/ HHSN2752010000031                             |                   |  | 31,510.99                       | 31,510.99                   |  |                 |  |                                 | 31,510.99                                    |
| U.S. Department of Health and Human Services   |                | Duke University/ FROHL 133618-01 EMMES Corporation/            |                   |  | 90,930.21                       | 90,930.21                   |  |                 |  |                                 | 90,930.21                                    |
| U.S. Department of Health and Human Services   |                |  |                   |  | 136,505.77                      | 136,505.77                  |  |                 |  |                                 | 136,505.77                                   |



| Federal Grantor/<br>Pass-through Grantor/<br>Program Title          | CFDA Number | NSE Name/<br>Identifying Number  | Pass-through From |                   |             | Direct<br>Program<br>Amount | Pass-through To |             |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|---|-------------|--|-------------------|-------------------|-------------|-----------------------------|-----------------|-------------|------------|--|------------------------|--|
|   |             |  | Agr/              | Pass-Through From | Agencies or |                             | Pass-Through To | Agencies or | Non-State  |  |                        |  |
|   |             |  | Univ              | Univ              | Non-State   |                             | Univ            | Univ        | Entities   |  |                        |  |
| U.S. Department of Health and Human Services                        |             | HHSN2632070000<br>C<br>Mathematics Policy<br>Research<br>HHS223320750003<br>5/<br>Radix BioSolutions,<br>Ltd./                       |                   |                   | 27,765.44   |                             |                 |             | 27,765.44  |  | 27,765.44              |  |
| U.S. Department of Health and Human Services                        |             | HHSN2720750003<br>TC<br>St. Jude Children's<br>Research Hospital/  |                   |                   | 136,640.41  |                             |                 |             | 136,640.41 |  | 136,640.41             |  |
| U.S. Department of Health and Human Services                        |             | PO 112262020-<br>7698326<br>St. Jude Children's<br>Research Hospital/  |                   |                   | 3,432.28    |                             |                 |             | 3,432.28   |  | 3,432.28               |  |
| U.S. Department of Health and Human Services                        |             | 112682040-<br>7757034<br>TechWave<br>International, Inc./<br>HHSN222070001   |                   |                   | 827.34      |                             |                 |             | 827.34     |  | 827.34                 |  |
| U.S. Department of Health and Human Services                        |             | UC<br>University of<br>Massachusetts/<br>P002286401  |                   |                   | 88,259.58   |                             |                 |             | 88,259.58  |  | 88,259.58              |  |
| U.S. Department of Health and Human Services                        |             | University of North<br>Carolina - Chapel<br>Hill/<br>HHSN2682070000<br>1   |                   |                   | 191,576.74  |                             |                 |             | 191,576.74 |  | 191,576.74             |  |
| Affordable Care Act (ACA) Personal Responsibility Education Program | 93.082      | Inter Tribal Council<br>of Arizona, Inc./  |                   |                   | 12,339.20   |                             |                 |             | 12,339.20  |  | 12,339.20              |  |
| Affordable Care Act (ACA) Personal Responsibility Education Program |             | UTSPH-16-00<br>90AT0013405<br>Inter Tribal Council<br>of Arizona, Inc./  |                   |                   | 6,156.00    |                             |                 |             | 6,156.00   |  | 6,156.00               |  |
| Maternal and Child Health Federal Consolidated Programs             | 93.110      | University of North<br>Carolina - Chapel<br>Hill/<br>5105337   |                   |                   | 44,965.33   |                             |                 |             | 44,965.33  |  | 44,965.33              |  |
| Oral Diseases and Disorders Research                                | 93.121      | Massachusetts<br>Institute of<br>Technology/<br>TR01DE024747-<br>01A1<br>University of<br>California - Davis/<br>5R01DE015038-<br>09 |                   |                   | 1,348.62    |                             |                 |             | 1,348.62   |  | 1,348.62               |  |
| Oral Diseases and Disorders Research                                |             | University of<br>California - San<br>Francisco<br>5R22DE025504-<br>05  |                   |                   | 34,155.51   |                             |                 |             | 34,155.51  |  | 34,155.51              |  |
| Oral Diseases and Disorders Research                                |             | University of<br>California - San<br>Francisco<br>7R01DE023061-<br>05  |                   |                   | 20,196.08   |                             |                 |             | 20,196.08  |  | 20,196.08              |  |
| Oral Diseases and Disorders Research                                |             | William Marsh Rice<br>University/<br>1R34DE025593-01   |                   |                   | 110,762.06  |                             |                 |             | 110,762.06 |  | 110,762.06             |  |
| Emergency Medical Services for Children                             | 93.127      | R7K081<br>Baylor College of<br>Medicine/<br>5R33MC11306-09   |                   |                   | 1,002.67    |                             |                 |             | 1,002.67   |  | 1,002.67               |  |
| Emergency Medical Services for Children                             |             | Baylor College of<br>Medicine/<br>5H34MC26159-03   |                   |                   | 11,866.20   |                             |                 |             | 11,866.20  |  | 11,866.20              |  |
|   |             |  |                   |                   | -12,387.48  |                             |                 |             | -12,387.48 |  | -12,387.48             |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                                 | CFDA Number | NSE Name/<br>Identifying Number                                    | Pass-through From |  |                    | Direct Program Amount | Pass-through To |           |  | Total PT From and Direct Prog. Amount | Expenditures       |        |            |            |
|--|-------------|--|-------------------|--|--------------------|-----------------------|-----------------|-----------|--|---------------------------------------|--------------------|--------|------------|------------|
|  |             |  | Agy/ Univ         | Pass-Through From Agencies or Universities | Non-State Entities |                       | Amount          | Agy/ Univ | Pass-Through To Agencies or Universities |                                       | Non-State Entities | Amount | Amount     | Amount     |
| Emergency Medical Services for Children  |             | Medical College of Wisconsin<br>R43MC26201-04-01                   |                   | 14,929.06                                  |                    |                       |                 |           | 14,929.06                                |                                       |                    |        | 14,929.06  | 14,929.06  |
| Centers for Research and Demonstration for Health Promotion and Disease Prevention         | 93.135      | University of Arizona/<br>5U48DP05002-03-238879                    |                   | 77,191.31                                  |                    |                       |                 |           | 77,191.31                                |                                       |                    |        | 77,191.31  | 77,191.31  |
| Injury Prevention and Control Research and State and Community Based Programs              | 93.136      | City of Houston Health and Human Services/<br>NH28CE02395-01-00    |                   | 44,324.30                                  |                    |                       |                 |           | 44,324.30                                |                                       |                    |        | 44,324.30  | 44,324.30  |
| Injury Prevention and Control Research and State and Community Based Programs              |             | University of Utah/<br>10037451-01 / 5U01CE022188-05               |                   | 221,294.44                                 |                    |                       |                 |           | 221,294.44                               |                                       |                    |        | 221,294.44 | 221,294.44 |
| Human Genome Research  | 93.172      | Baylor College of Medicine/<br>5R01HG008715-                       |                   | 170,870.92                                 |                    |                       |                 |           | 170,870.92                               |                                       |                    |        | 170,870.92 | 170,870.92 |
| Human Genome Research  |             | Baylor College of Medicine/<br>5UM1HG008898-02                     |                   | 456,687.93                                 |                    |                       |                 |           | 456,687.93                               |                                       |                    |        | 456,687.93 | 456,687.93 |
| Human Genome Research  |             | Baylor College of Medicine/<br>5U01HG008664-02                     |                   | 105,795.29                                 |                    |                       |                 |           | 105,795.29                               |                                       |                    |        | 105,795.29 | 105,795.29 |
| Human Genome Research  |             | Baylor College of Medicine/<br>5U54HG00273-1282                    |                   | 3,680.19                                   |                    |                       |                 |           | 3,680.19                                 |                                       |                    |        | 3,680.19   | 3,680.19   |
| Human Genome Research  |             | Baylor College of Medicine/<br>5U54HG00273-1282                    |                   | 106,697.26                                 |                    |                       |                 |           | 106,697.26                               |                                       |                    |        | 106,697.26 | 106,697.26 |
| Human Genome Research  |             | Johns Hopkins University/<br>5UM1HG006542-06 / 2012888055          |                   | 81,069.03                                  |                    |                       |                 |           | 81,069.03                                |                                       |                    |        | 81,069.03  | 81,069.03  |
| Human Genome Research  |             | University of North Carolina - Chapel Hill/<br>5U01HG007416-01     |                   | 113,396.09                                 |                    |                       |                 |           | 113,396.09                               |                                       |                    |        | 113,396.09 | 113,396.09 |
| Research Related to Deafness and Communication Disorders                                   | 93.173      | Baylor College of Medicine/<br>1R01DC014976-01                     |                   | 15,463.47                                  |                    |                       |                 |           | 15,463.47                                |                                       |                    |        | 15,463.47  | 15,463.47  |
| Research Related to Deafness and Communication Disorders                                   |             | Wayne State University/<br>1R03DC015329-01                         |                   | 5,404.16                                   |                    |                       |                 |           | 5,404.16                                 |                                       |                    |        | 5,404.16   | 5,404.16   |
| Research on Healthcare Costs, Quality and Outcomes   | 93.226      | Oregon Health Sciences University/<br>5R01HS023940-02              |                   | 200,942.17                                 |                    |                       |                 |           | 200,942.17                               |                                       |                    |        | 200,942.17 | 200,942.17 |
| Research on Healthcare Costs, Quality and Outcomes   |             | RAND Corporation/<br>R03HS022944                                   |                   | 247  |                    |                       |                 |           | 247                                      |                                       |                    |        | 247        | 247        |
| Mental Health Research Grants  | 93.242      | American Psychological Association/<br>R25MH083635                 |                   | 2,850.01                                   |                    |                       |                 |           | 2,850.01                                 |                                       |                    |        | 2,850.01   | 2,850.01   |
| Mental Health Research Grants  |             | Vanderbilt University School of Medicine/<br>6R01MH086621-06 58041 |                   | 2,719.90                                   |                    |                       |                 |           | 2,719.90                                 |                                       |                    |        | 2,719.90   | 2,719.90   |
| Substance Abuse and Mental Health Services_ Projects of Regional and National Significance | 93.243      | Dallas County - Criminal Justice Department/<br>1H797026072-01     |                   | 26,514.84                                  |                    |                       |                 |           | 26,514.84                                |                                       |                    |        | 26,514.84  | 26,514.84  |
| Occupational Safety and Health Program   | 93.262      | Colorado State University/<br>2U54OH008085-13                      |                   | 115,088.06                                 |                    |                       |                 |           | 115,088.06                               |                                       |                    |        | 115,088.06 | 115,088.06 |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |  |                                 | Direct<br>Program<br>Amount | Pass-through To |  |                                 | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |  |                                 | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|--|-------------------|--|---------------------------------|-----------------------------|-----------------|--|---------------------------------|--|-----------------|--|---------------------------------|--|
|  |                |  | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                             | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |  | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |  |
|  |                |  |                   |  |                                 |                             |                 |  |                                 |  |                 |  |                                 |  |
| Occupational Safety and Health Program                     |                | Colorado State University<br>6P59C060865-1                                   |                   | 98,114.30  |                                 |                             |                 | 98,114.30  |                                 |  |                 |  | 98,114.30                       |  |
| Occupational Safety and Health Program                     |                | Southeastern Louisiana University/<br>OSF-02-2017-0108                       |                   | 5,084.86   |                                 |                             |                 | 5,084.86   |                                 |  |                 |  | 5,084.86                        |  |
| Drug Abuse and Addiction Research Programs                 | 93.279         | Group Health Research Institute/<br>3UG1DA040314-0281                        |                   | 24,611.53  |                                 |                             |                 | 24,611.53  |                                 |  |                 |  | 24,611.53                       |  |
| Drug Abuse and Addiction Research Programs                 |                | University of California - San Diego/<br>5R01DA026462-08                     |                   | 66,799.57  |                                 |                             |                 | 66,799.57  |                                 |  |                 |  | 66,799.57                       |  |
| Drug Abuse and Addiction Research Programs                 |                | University of Chicago/<br>FP09270-C  |                   | 136,959.40   |                                 |                             |                 | 136,959.40   |                                 |  |                 |  | 136,959.40                      |  |
| Trans-NIH Research Support                                 | 93.310         | Vanderbilt University Medical Center/<br>5L2C00023195-02                     |                   | 184,359.29   |                                 |                             |                 | 184,359.29   |                                 |  |                 |  | 184,359.29                      |  |
| Public Health Service Evaluation Funds                     | 93.343         | ETR Associates/<br>17PEA4002001-01-00  |                   | 44,277.75  |                                 |                             |                 | 44,277.75  |                                 |  |                 |  | 44,277.75                       |  |
| Nursing Research   | 93.361         | Baylor College of Medicine/<br>5R01NR014657-03                               |                   | 82,870.15  |                                 |                             |                 | 82,870.15  |                                 |  |                 |  | 82,870.15                       |  |
| Nursing Research   |                | University of Kansas Medical Center Research Institute/<br>1R01NR016255-01A1 |                   | 4,594.72   |                                 |                             |                 | 4,594.72   |                                 |  |                 |  | 4,594.72                        |  |
| Cancer Cause and Prevention Research                       | 93.393         | Emory University/<br>5L07CA154282-05   |                   | 15,038.75  |                                 |                             |                 | 15,038.75  |                                 |  |                 |  | 15,038.75                       |  |
| Cancer Cause and Prevention Research                       |                | Group Health Research Institute/<br>4R01CA121125-09                          |                   | 43,754.09  |                                 |                             |                 | 43,754.09  |                                 |  |                 |  | 43,754.09                       |  |
| Cancer Cause and Prevention Research                       |                | University of Oklahoma Health Sciences Center/<br>R52016078004               |                   | 17,585.69  |                                 |                             |                 | 17,585.69  |                                 |  |                 |  | 17,585.69                       |  |
| Cancer Cause and Prevention Research                       |                | University of Oklahoma Health Sciences Center/<br>R52046385-05               |                   | 9,060.19   |                                 |                             |                 | 9,060.19   |                                 |  |                 |  | 9,060.19                        |  |
| Cancer Cause and Prevention Research                       |                | University of Southern California/<br>5R01CA157577405-182808                 |                   | 9,431.81   |                                 |                             |                 | 9,431.81   |                                 |  |                 |  | 9,431.81                        |  |
| Cancer Cause and Prevention Research                       |                | Wake Forest University Health Sciences/<br>R01CA199137                       |                   | 3,028.90   |                                 |                             |                 | 3,028.90   |                                 |  |                 |  | 3,028.90                        |  |
| Cancer Detection and Diagnosis Research                    | 93.394         | American College of Radiology/<br>5U10CA180820-03-6690                       |                   | 24,592.88  |                                 |                             |                 | 24,592.88  |                                 |  |                 |  | 24,592.88                       |  |
| Cancer Detection and Diagnosis Research                    |                | Baylor College of Medicine/<br>5R01CA168749-03                               |                   | -249.63  |                                 |                             |                 | -249.63  |                                 |  |                 |  | -249.63                         |  |
| Cancer Detection and Diagnosis Research                    |                | Brookdale University Hospital - Medical Center/<br>P01CA62710-13             |                   | 0.04   |                                 |                             |                 | 0.04   |                                 |  |                 |  | 0.04                            |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                            | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From |  |                                 | Direct<br>Program<br>Amount | Pass-through To |  |                                 | Total<br>PT From and<br>Direct Prog.<br>Amount | Expenditures |            |            | Total<br>PT To and<br>Expenditures<br>Amount |
|---|----------------|---|-------------------|--|---------------------------------|-----------------------------|-----------------|--|---------------------------------|--|--------------|------------|------------|--|
|   |                |   | Pass-through From |  |                                 |                             | Pass-through To |  |                                 |  | Expenditures |            |            |  |
|   |                |   | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities<br>Amount |                             | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities<br>Amount |  | Amount       | Amount     | Amount     |  |
| Cancer Detection and Diagnosis Research   |                | Mayo Clinic/<br>5U01CA180940-03<br>/ PO 64783965                                |                   | 20,355.64  |                                 |                             |                 | 20,355.64                                      |                                 |  |              | 20,355.64  | 20,355.64  |  |
| Cancer Detection and Diagnosis Research   |                | University of<br>California - San<br>Diego/<br>5R01CA168749-<br>06              |                   | 218,462.77                                       |                                 |                             |                 | 218,462.77                                     |                                 |  |              | 218,462.77 | 218,462.77 |  |
| Cancer Detection and Diagnosis Research   |                | William Marsh Rice<br>University/<br>5R01CA185207-<br>04                        |                   | 140,155.51                                       |                                 |                             |                 | 140,155.51                                     |                                 |  |              | 140,155.51 | 140,155.51 |  |
| Cancer Treatment Research   | 93.395         | American College of<br>Radiology/<br>ACRIM- 6701                                |                   | 876.62   |                                 |                             |                 | 876.62   |                                 |  |              | 876.62     | 876.62     |  |
| Cancer Treatment Research   |                | PLX Opco, Inc./<br>2R42CA171408-<br>04  |                   | 68,239.17  |                                 |                             |                 | 68,239.17                                      |                                 |  |              | 68,239.17  | 68,239.17  |  |
| Cancer Treatment Research   |                | University of<br>Oklahoma Health<br>Sciences Center/<br>20141429                |                   | 3,073.43   |                                 |                             |                 | 3,073.43                                       |                                 |  |              | 3,073.43   | 3,073.43   |  |
| Cancer Treatment Research   |                | Winix, Inc./<br>1R41CA213479-<br>01   |                   | 2,651.96   |                                 |                             |                 | 2,651.96                                       |                                 |  |              | 2,651.96   | 2,651.96   |  |
| Cancer Biology Research   | 93.396         | Cedars-Sinai<br>Medical Center/<br>1R01CA188912-13<br>/ 1306420                 |                   | 32,510.22  |                                 |                             |                 | 32,510.22                                      |                                 |  |              | 32,510.22  | 32,510.22  |  |
| Cancer Biology Research   |                | H. Lee Moffitt<br>Cancer Center &<br>Research Institute/<br>5U01CA151924-<br>05 |                   | -1,559.42  |                                 |                             |                 | -1,559.42                                      |                                 |  |              | -1,559.42  | -1,559.42  |  |
| Cancer Biology Research   |                | University of<br>Connecticut Health<br>Center/<br>R01CA168025                   |                   | 28,859.77  |                                 |                             |                 | 28,859.77                                      |                                 |  |              | 28,859.77  | 28,859.77  |  |
| Cancer Biology Research   |                | University of<br>Oklahoma Health<br>Sciences Center/<br>5R01CA203106-<br>03     |                   | 173,880.17                                       |                                 |                             |                 | 173,880.17                                     |                                 |  |              | 173,880.17 | 173,880.17 |  |
| Cancer Biology Research   |                | William Marsh Rice<br>University/<br>R22CA162262-<br>01                         |                   | 8,120.79   |                                 |                             |                 | 8,120.79                                       |                                 |  |              | 8,120.79   | 8,120.79   |  |
| Cancer Centers Support Grants   | 93.397         | University of Utah/<br>1U54CA209926-<br>01                                      |                   | 7,201.07   |                                 |                             |                 | 7,201.07                                       |                                 |  |              | 7,201.07   | 7,201.07   |  |
| Cancer Centers Support Grants   |                | University of Utah/<br>1U04Z817-U01-22-<br>05                                   |                   | 51,955.13  |                                 |                             |                 | 51,955.13                                      |                                 |  |              | 51,955.13  | 51,955.13  |  |
| ACL Centers for Independent Living  | 93.432         | TIRR Memorial<br>Institute/<br>50770007   |                   | 42,206.91  |                                 |                             |                 | 42,206.91                                      |                                 |  |              | 42,206.91  | 42,206.91  |  |
| ACL National Institute on Disability, Independent Living, and Rehabilitation Research | 93.433         | Baylor Research<br>Institute/<br>507076-02-00                                   |                   | 24,363.93  |                                 |                             |                 | 24,363.93                                      |                                 |  |              | 24,363.93  | 24,363.93  |  |
| ACL National Institute on Disability, Independent Living, and Rehabilitation Research |                | Memorial Hermann<br>Health System/<br>1628-17                                   |                   | 49,630.64  |                                 |                             |                 | 49,630.64                                      |                                 |  |              | 49,630.64  | 49,630.64  |  |
| ACL National Institute on Disability, Independent Living, and Rehabilitation Research |                | TIRR Memorial<br>Institute/<br>9070026  |                   | 48,755.36  |                                 |                             |                 | 48,755.36                                      |                                 |  |              | 48,755.36  | 48,755.36  |  |
| ACL National Institute on Disability, Independent Living, and Rehabilitation Research |                | TIRR Memorial<br>Institute/<br>H133412008                                       |                   | 30,302.12  |                                 |                             |                 | 30,302.12                                      |                                 |  |              | 30,302.12  | 30,302.12  |  |
| ACL National Institute on Disability, Independent Living, and Rehabilitation Research |                | TIRR Memorial<br>Institute/<br>90070075-02-00                                   |                   | 9,801.09   |                                 |                             |                 | 9,801.09                                       |                                 |  |              | 9,801.09   | 9,801.09   |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                            | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |  |                       | Pass-through To |  |                       | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|---|----------------|--|-------------------|--|-----------------------|-----------------|--|-----------------------|--|---------------------------------|--|
|   |                |  | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities |  |                                 |  |
|   |                |  |                   |  |                       |                 |  |                       |  |                                 |  |
| ACL National Institute on Disability, Independent Living, and Rehabilitation Research |                | 9056027-01-00  |                   |  |                       |                 |  |                       |  |                                 |  |
|   |                | TIRR Memorial<br>Hospital<br>96S15027-01-00  |                   | 3,119.69   |                       |                 |  | 3,119.69              | 3,119.69                                       | 3,119.69                        |  |
| Indian Self-Determination   | 93.441         | Northwest Portland<br>Area Indian Health<br>Board  |                   | 27,126.26  |                       |                 |  | 27,126.26             | 27,126.26                                      | 27,126.26                       |  |
| Cardiovascular Diseases Research  | 93.837         | 248-96-0011 #C16-<br>10<br>Baylor College of<br>Medicine/<br>HSN26820110000  |                   | 164,648.09                                       |                       |                 |  | 164,648.09            | 164,648.09                                     | 164,648.09                      |  |
| Cardiovascular Diseases Research  |                | Duke University/<br>5R01HL118185-<br>05  |                   | 86,579.34  |                       |                 |  | 86,579.34             | 86,579.34                                      | 86,579.34                       |  |
| Cardiovascular Diseases Research  |                | Georgia Institute of<br>Technology/<br>R01HL124417   |                   | 54,639.42  |                       |                 |  | 54,639.42             | 54,639.42                                      | 54,639.42                       |  |
| Cardiovascular Diseases Research  |                | Kaiser Foundation<br>Research Institute  |                   | 23,706.10  |                       |                 |  | 23,706.10             | 23,706.10                                      | 23,706.10                       |  |
| Cardiovascular Diseases Research  |                | R01HL122658-03/<br>202103.07<br>Kaiser Foundation<br>Research Institute  |                   | 53,602.53  |                       |                 |  | 53,602.53             | 53,602.53                                      | 53,602.53                       |  |
| Cardiovascular Diseases Research  |                | 1F59HL125423-<br>01A1<br>Massachusetts<br>General Hospital/<br>SITE 3147345332<br>J  |                   | 2,284.32   |                       |                 |  | 2,284.32              | 2,284.32                                       | 2,284.32                        |  |
| Cardiovascular Diseases Research  |                | Methodist Hospital<br>Research Institute   |                   | 98,835.16  |                       |                 |  | 98,835.16             | 98,835.16                                      | 98,835.16                       |  |
| Cardiovascular Diseases Research  |                | 5R01HL132155-<br>02<br>National<br>Development and<br>Research Institutes,<br>Inc./<br>R34HL125790 / #<br>657B<br>New England<br>Research Institutes/<br>U01HL107407 |                   | 41,953.27  |                       |                 |  | 41,953.27             | 41,953.27                                      | 41,953.27                       |  |
| Cardiovascular Diseases Research  |                | Northwestern<br>University/<br>R01HL120725   |                   | 12,346.33  |                       |                 |  | 12,346.33             | 12,346.33                                      | 12,346.33                       |  |
| Cardiovascular Diseases Research  |                | RTI International/<br>5-3120212746-<br>50717L<br>Tufts University/<br>HH4023 /<br>5R01HL065710-<br>09  |                   | 6,737.25   |                       |                 |  | 6,737.25              | 6,737.25                                       | 6,737.25                        |  |
| Cardiovascular Diseases Research  |                | University of<br>Alabama -<br>Birmingham/<br>5U01HL120338-<br>03   |                   | 88,699.60  |                       |                 |  | 88,699.60             | 88,699.60                                      | 88,699.60                       |  |
| Cardiovascular Diseases Research  |                | University of<br>California - San<br>Francisco/<br>1U01HL194786-<br>01   |                   | -1,871.58  |                       |                 |  | -1,871.58             | -1,871.58                                      | -1,871.58                       |  |
| Cardiovascular Diseases Research  |                | University of<br>Michigan/<br>5R01HL122684-<br>03  |                   | 15,508.90  |                       |                 |  | 15,508.90             | 15,508.90                                      | 15,508.90                       |  |
| Cardiovascular Diseases Research  |                |  |                   | 80,459.09  |                       |                 |  | 80,459.09             | 80,459.09                                      | 80,459.09                       |  |



| Federal Grantor/<br>Pass-through Grantor/<br>Program Title   | CFDA Number | NSE Name/<br>Identifying Number                                       | Pass-through From |  |                    | Direct Program Amount | Pass-through To |  |                    | Total PT From and Direct Prog. Amount | Pass-through To |  |                    | Total PT To and Expenditures Amount |
|--|-------------|---|-------------------|--|--------------------|-----------------------|-----------------|--|--------------------|---------------------------------------|-----------------|--|--------------------|-------------------------------------|
|  |             |   | Agy/ Univ         | Pass-Through From Agencies or Universities | Non-State Entities |                       | Agy/ Univ       | Pass-Through To Agencies or Universities | Non-State Entities |                                       | Agy/ Univ       | Pass-Through From Agencies or Universities | Non-State Entities |                                     |
|  |             |   |                   |  |                    |                       |                 |  |                    |                                       |                 |  |                    |                                     |
| Cardiovascular Diseases Research                             |             | William Marsh Rice University/<br>RZ2647                              |                   | 36,071.27                                  |                    |                       |                 |  | 36,071.27          |                                       |                 |  | 36,071.27          |                                     |
| Cardiovascular Diseases Research                             |             | Windmill Cardiovascular Systems, Inc./<br>R44HL127833                 |                   | 38,165.19                                  |                    |                       |                 |  | 38,165.19          |                                       |                 |  | 38,165.19          |                                     |
| Cardiovascular Diseases Research                             |             | Yale University/<br>C17A12675<br>(410660)                             |                   | 14,791.56                                  |                    |                       |                 |  | 14,791.56          |                                       |                 |  | 14,791.56          |                                     |
| Lung Diseases Research                                       | 93.838      | George Washington University/<br>2707HL098354-04                      |                   | 10,964.80                                  |                    |                       |                 |  | 10,964.80          |                                       |                 |  | 10,964.80          |                                     |
| Lung Diseases Research                                       |             | University of California - San Francisco/<br>5P16C094338-05<br>697ISC |                   | 5,724.45                                   |                    |                       |                 |  | 5,724.45           |                                       |                 |  | 5,724.45           |                                     |
| Lung Diseases Research                                       |             | University of Pennsylvania/<br>4R01HL113982-05                        |                   | 25,219.27                                  |                    |                       |                 |  | 25,219.27          |                                       |                 |  | 25,219.27          |                                     |
| Blood Diseases and Resources Research                        | 93.839      | Methodist Hospital Research Institute/<br>7927HL129018-02             |                   | 18,496.88                                  |                    |                       |                 |  | 18,496.88          |                                       |                 |  | 18,496.88          |                                     |
| Blood Diseases and Resources Research                        |             | University of Alabama - Birmingham/<br>HHSN268201300025C              |                   | 132,329.12                                 |                    |                       |                 |  | 132,329.12         |                                       |                 |  | 132,329.12         |                                     |
| Arthritis, Musculoskeletal and Skin Diseases Research        | 93.846      | Baylor College of Medicine/<br>4R01AR06266-06                         |                   | 24,758.79                                  |                    |                       |                 |  | 24,758.79          |                                       |                 |  | 24,758.79          |                                     |
| Arthritis, Musculoskeletal and Skin Diseases Research        |             | Duke University/<br>HHSN27207100025C SITE 123                         |                   | 2,451.56                                   |                    |                       |                 |  | 2,451.56           |                                       |                 |  | 2,451.56           |                                     |
| Arthritis, Musculoskeletal and Skin Diseases Research        |             | University of California - San Francisco/<br>5U42AR067898-02          |                   | 3,824.15                                   |                    |                       |                 |  | 3,824.15           |                                       |                 |  | 3,824.15           |                                     |
| Arthritis, Musculoskeletal and Skin Diseases Research        |             | University of Iowa/<br>5P01AR054385-09                                |                   | 40,078.79                                  |                    |                       |                 |  | 40,078.79          |                                       |                 |  | 40,078.79          |                                     |
| Arthritis, Musculoskeletal and Skin Diseases Research        |             | University of Pittsburgh/<br>00521401128717-1)                        |                   | 4,712.00                                   |                    |                       |                 |  | 4,712.00           |                                       |                 |  | 4,712.00           |                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research | 93.847      | Arizona State University/<br>4R01DK096468-05                          |                   | 27,055.02                                  |                    |                       |                 |  | 27,055.02          |                                       |                 |  | 27,055.02          |                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research |             | Baylor College of Medicine/<br>4R01DK11522-01                         |                   | 18,648.49                                  |                    |                       |                 |  | 18,648.49          |                                       |                 |  | 18,648.49          |                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research |             | Baylor College of Medicine/<br>4P30DK056338-14                        |                   | 698.09                                     |                    |                       |                 |  | 698.09             |                                       |                 |  | 698.09             |                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research |             | Baylor College of Medicine/<br>5P30DK056338-15                        |                   | 81,711.62                                  |                    |                       |                 |  | 81,711.62          |                                       |                 |  | 81,711.62          |                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research |             | Baylor College of Medicine/<br>700000225                              |                   | 66,321.65                                  |                    |                       |                 |  | 66,321.65          |                                       |                 |  | 66,321.65          |                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research |             | Broad Institute, Inc./<br>5U01DK10554-02                              |                   | 21,604.90                                  |                    |                       |                 |  | 21,604.90          |                                       |                 |  | 21,604.90          |                                     |









| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA NSE Name/<br>Number Identifying Number                              | Pass-through From |  |                                 | Direct<br>Program<br>Amount | Pass-through To |  |                                 | Total<br>PT From and<br>Direct Prog.<br>Amount | Expenditures           |                                     |       |            |
|--|--|-------------------|--|---------------------------------|-----------------------------|-----------------|--|---------------------------------|--|------------------------|-------------------------------------|-------|------------|
|  |  | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities<br>Amount |                             | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities<br>Amount |  | Expenditures<br>Amount | PT To and<br>Expenditures<br>Amount | Total |            |
|  |  |                   |  |                                 |                             |                 |  |                                 |  |                        |                                     |       | No         |
|  | 5008674-SERV/<br>R21AI103905-02  |                   |  |                                 |                             |                 |  |                                 |  |                        |                                     |       |            |
| Allergy and Infectious Diseases Research                   | Tufts University/<br>5R01AI121401-02                                     |                   |  | 5,922.84                        |                             |                 | 5,922.84                                       |                                 |  |                        | 5,922.84                            |       | 5,922.84   |
| Allergy and Infectious Diseases Research                   | Tufts University/<br>7R21AI111317-02                                     |                   |  | 98,475.55                       |                             |                 | 98,475.55                                      |                                 |  |                        | 98,475.55                           |       | 98,475.55  |
| Allergy and Infectious Diseases Research                   | University of<br>Alabama -<br>Birmingham/<br>R01AI089845                 |                   |  | 1,944.10                        |                             |                 | 1,944.10                                       |                                 |  |                        | 1,944.10                            |       | 1,944.10   |
| Allergy and Infectious Diseases Research                   | University of<br>California - San<br>Diego/<br>5R01AI08859               |                   |  | 917,911.72                      |                             |                 | 917,911.72                                     |                                 |  |                        | 917,911.72                          |       | 917,911.72 |
| Allergy and Infectious Diseases Research                   | University of<br>California - San<br>Francisco/<br># 5R10SC              |                   |  | 42,715.67                       |                             |                 | 42,715.67                                      |                                 |  |                        | 42,715.67                           |       | 42,715.67  |
| Allergy and Infectious Diseases Research                   | University of<br>Kansas Center for<br>Research, Inc./<br>1R01AI123351-01 |                   |  | 119,595.15                      |                             |                 | 119,595.15                                     |                                 |  |                        | 119,595.15                          |       | 119,595.15 |
| Allergy and Infectious Diseases Research                   | Wayne State<br>University/<br>R501R640                                   |                   |  | 44,642.83                       |                             |                 | 44,642.83                                      |                                 |  |                        | 44,642.83                           |       | 44,642.83  |
| Biomedical Research and Research Training                  | 93,859 Mayo Clinic/<br>4R01GM102282-<br>04/1HE-175992-<br>04             |                   |  | 106,976.67                      |                             |                 | 106,976.67                                     |                                 |  |                        | 106,976.67                          |       | 106,976.67 |
| Biomedical Research and Research Training                  | Purdue University/<br>4102-63957   |                   |  | 18,925.61                       |                             |                 | 18,925.61                                      |                                 |  |                        | 18,925.61                           |       | 18,925.61  |
| Biomedical Research and Research Training                  | Sunnybrook<br>Research Institute/<br>5R01GM087285-<br>06                 |                   |  | 104,399.62                      |                             |                 | 104,399.62                                     |                                 |  |                        | 104,399.62                          |       | 104,399.62 |
| Biomedical Research and Research Training                  | University of Iowa/<br>R01GM121458                                       |                   |  | 87,918.79                       |                             |                 | 87,918.79                                      |                                 |  |                        | 87,918.79                           |       | 87,918.79  |
| Biomedical Research and Research Training                  | Vanderbilt<br>University Medical<br>Center/<br>5R01GM103859              |                   |  | 150,931.74                      |                             |                 | 150,931.74                                     |                                 |  |                        | 150,931.74                          |       | 150,931.74 |
| Biomedical Research and Research Training                  | Vanderbilt<br>University School of<br>Medicine/<br>5R01GM155898-<br>03   |                   |  | 80,159.64                       |                             |                 | 80,159.64                                      |                                 |  |                        | 80,159.64                           |       | 80,159.64  |
| Biomedical Research and Research Training                  | William Marsh Rice<br>University/<br>R01GM115957                         |                   |  | 9,246.59                        |                             |                 | 9,246.59                                       |                                 |  |                        | 9,246.59                            |       | 9,246.59   |
| Child Health and Human Development/Extramural Research     | 93,865 Children's Hospital<br>of Philadelphia/<br>P01HD079454            |                   |  | -0.01                           |                             |                 | -0.01  |                                 |  |                        | -0.01                               |       | -0.01      |
| Child Health and Human Development/Extramural Research     | Children's Hospital<br>of Philadelphia/<br>2P01HD070664-<br>07           |                   |  | 90,489.88                       |                             |                 | 90,489.88                                      |                                 |  |                        | 90,489.88                           |       | 90,489.88  |
| Child Health and Human Development/Extramural Research     | Children's Hospital<br>of Philadelphia/<br>8R02R60617                    |                   |  | 14,303.94                       |                             |                 | 14,303.94                                      |                                 |  |                        | 14,303.94                           |       | 14,303.94  |
| Child Health and Human Development/Extramural Research     | Rhode Island<br>Hospital/<br>4R01HD072933-<br>05                         |                   |  | 8,414.01                        |                             |                 | 8,414.01                                       |                                 |  |                        | 8,414.01                            |       | 8,414.01   |
| Child Health and Human Development/Extramural Research     | RTI International/<br>582,201.10   |                   |  | 582,201.10                      |                             |                 | 582,201.10                                     |                                 |  |                        | 582,201.10                          |       | 582,201.10 |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |  |                       | Direct<br>Program<br>Amount | Pass-through To |  |                       | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|--|-------------------|--|-----------------------|-----------------------------|-----------------|--|-----------------------|--|---------------------------------|--|
|  |                |  | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities |                             | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities |  |                                 |  |
|  |                |  |                   |  |                       |                             |                 |  |                       |  |                                 |  |
|  |                | U01HD021337F4-<br>HC-04-010  |                   |  |                       |                             |                 |  |                       |  |                                 |  |
| Child Health and Human Development Extramural Research     |                | Stanford University/<br>5F01HD084679-<br>02                              |                   | 22,939.47  |                       |                             |                 |  | 22,939.47             |  |                                 | 22,939.47                                    |
| Child Health and Human Development Extramural Research     |                | University of<br>California - Davis/<br>1R01HD084674-<br>01A1            |                   | 97,613.59  |                       |                             |                 |  | 97,613.59             |  |                                 | 97,613.59                                    |
| Child Health and Human Development Extramural Research     |                | University of<br>California - San<br>Francisco/<br>9Z23HD045810-<br>01A1 |                   | 19,893.75  |                       |                             |                 |  | 19,893.75             |  |                                 | 19,893.75                                    |
| Child Health and Human Development Extramural Research     |                | University of North<br>Carolina - Chapel<br>Hill/<br>5106231             |                   | 15,663.91  |                       |                             |                 |  | 15,663.91             |  |                                 | 15,663.91                                    |
| Child Health and Human Development Extramural Research     |                | University of Utah/<br>R01HD075863                                       |                   | 30,274.99  |                       |                             |                 |  | 30,274.99             |  |                                 | 30,274.99                                    |
| Child Health and Human Development Extramural Research     |                | Vanderbilt<br>University Medical<br>Center/<br>5L01HD076733-<br>04       |                   | 63,137.87  |                       |                             |                 |  | 63,137.87             |  |                                 | 63,137.87                                    |
| Aging Research   | 93.866         | Amprion, Inc./<br>3R42AG049562-<br>02S1                                  |                   | 64,776.17  |                       |                             |                 |  | 64,776.17             |  |                                 | 64,776.17                                    |
| Aging Research   |                | Amprion, Inc./<br>4R42AG049562-<br>02                                    |                   | 200,704.30                                       |                       |                             |                 |  | 200,704.30            |  |                                 | 200,704.30                                   |
| Aging Research   |                | Boston University/<br>4R01AG030783-<br>07                                |                   | 66,882.52  |                       |                             |                 |  | 66,882.52             |  |                                 | 66,882.52                                    |
| Aging Research   |                | Kaiser Foundation<br>Research Institute/<br>U01AG012554                  |                   | 9,557.60   |                       |                             |                 |  | 9,557.60              |  |                                 | 9,557.60                                     |
| Aging Research   |                | Michigan State<br>University/<br>1R01AG046642-<br>01A1                   |                   | 35,292.43  |                       |                             |                 |  | 35,292.43             |  |                                 | 35,292.43                                    |
| Aging Research   |                | Partners<br>HealthCare<br>Research<br>Management/<br>U01AG048270         |                   | 26,822.48  |                       |                             |                 |  | 26,822.48             |  |                                 | 26,822.48                                    |
| Aging Research   |                | The Scripps<br>Research Institute/<br>5P11AG043376-<br>05                |                   | 115,073.79                                       |                       |                             |                 |  | 115,073.79            |  |                                 | 115,073.79                                   |
| Aging Research   |                | University of<br>Pittsburgh/<br>5L01AG1253321                            |                   | 7,901.62   |                       |                             |                 |  | 7,901.62              |  |                                 | 7,901.62                                     |
| Aging Research   |                | University of<br>Toledo/<br>5R01AG045973-<br>02                          |                   | 0.05   |                       |                             |                 |  | 0.05                  |  |                                 | 0.05   |
| Vision Research  | 93.867         | Salus University/<br>5U1NE1022569  |                   | 122,125.24                                       |                       |                             |                 |  | 122,125.24            |  |                                 | 122,125.24                                   |
| Vision Research  |                | University of<br>California - San<br>Diego/<br>PCW-90946294-03           |                   | 11,185.46  |                       |                             |                 |  | 11,185.46             |  |                                 | 11,185.46                                    |
| Vision Research  |                | University of Utah/<br>4R01E1002576-<br>09                               |                   | 59,584.60  |                       |                             |                 |  | 59,584.60             |  |                                 | 59,584.60                                    |
| Medical Library Assistance                                 | 93.879         | Brigham and<br>Women's Hospital/<br>U01AG012554                          |                   | 47,734.83  |                       |                             |                 |  | 47,734.83             |  |                                 | 47,734.83                                    |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                                 | CFDA<br>Number | NSE Name/<br>Identifying Number                         | Pass-through From           |                       |              | Pass-through To             |                       |              | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To             |                       |              | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|-----------------------------|-----------------------|--------------|-----------------------------|-----------------------|--------------|--|---------------------|--|-----------------------------|-----------------------|--------------|--|
|  |                |   | Agencies or<br>Universities | Non-State<br>Entities | Amount       | Agencies or<br>Universities | Non-State<br>Entities | Amount       |  |                     |  | Agencies or<br>Universities | Non-State<br>Entities | Amount       |  |
|  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Medical Library Assistance   |                | 5R01LM011966-03<br>Tulane University                    |                             |                       | 17,840.85    |                             |                       | 17,840.85    |  | 17,840.85           |  |                             | 17,840.85             | 17,840.85    |  |
| Medical Library Assistance   |                | TUL-HSC-554836-16/17<br>University of Kentucky          |                             |                       | 33,997.66    |                             |                       | 33,997.66    |  | 33,997.66           |  |                             | 33,997.66             | 33,997.66    |  |
| Medical Library Assistance   |                | 5R01LM02274-03<br>University of Pittsburgh              |                             |                       | 32,556.49    |                             |                       | 32,556.49    |  | 32,556.49           |  |                             | 32,556.49             | 32,556.49    |  |
| HIV Emergency Relief Project Grants  | 93.914         | Boston University                                       |                             |                       | 20,893.84    |                             |                       | 20,893.84    |  | 20,893.84           |  |                             | 20,893.84             | 20,893.84    |  |
| HIV Prevention Activities_Health Department Based  | 93.940         | City of Houston Health and Human Services/ U62CC0606238 |                             |                       | 306,522.97   |                             |                       | 306,522.97   |  | 306,522.97          |  |                             | 306,522.97            | 306,522.97   |  |
| <b>Direct Programs:</b>  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| U.S. Department of Health and Human Services   |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Birth Defects and Developmental Disabilities - Prevention and Surveillance                 | 93.000         | 200-2014-M-5957   |                             |                       | 21,716.42    |                             |                       | 21,716.42    |  | 21,716.42           |  |                             | 21,716.42             | 21,716.42    |  |
| Family Smoking Prevention and Tobacco Control Act Regulatory Research                      | 93.073         |   |                             |                       | 287,116.14   |                             |                       | 287,116.14   |  | 287,116.14          |  | 6,740.47                    | 280,375.67            | 287,116.14   |  |
| Family Smoking Prevention and Tobacco Control Act Regulatory Research                      | 93.077         |   |                             |                       | 2,394,958.59 |                             |                       | 2,394,958.59 |  | 2,394,958.59        |  | 279,534.24                  | 2,115,424.35          | 2,394,958.59 |  |
| Family Smoking Prevention and Tobacco Control Act Regulatory Research                      | 93.077         |   |                             |                       | 614,331.24   |                             |                       | 614,331.24   |  | 614,331.24          |  |                             | 614,331.24            | 614,331.24   |  |
| <i>Pass-Through To:</i>  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| University of Texas M.D. Anderson Cancer Center  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Family Smoking Prevention and Tobacco Control Act Regulatory Research                      | 93.077         |   |                             |                       | 907,372.90   |                             |                       | 907,372.90   |  | 907,372.90          |  |                             | 907,372.90            | 907,372.90   |  |
| <i>Pass-Through To:</i>  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| University of Texas at Austin  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Maternal and Child Health Federal Consolidated Programs                                    | 93.110         |   |                             |                       | 657,638.15   |                             |                       | 657,638.15   |  | 657,638.15          |  | 67,803.43                   | 589,834.72            | 657,638.15   |  |
| Maternal and Child Health Federal Consolidated Programs                                    | 93.110         |   |                             |                       | 22,339.51    |                             |                       | 22,339.51    |  | 22,339.51           |  |                             | 22,339.51             | 22,339.51    |  |
| <i>Pass-Through To:</i>  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Texas Woman's University   |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Maternal and Child Health Federal Consolidated Programs                                    | 93.110         |   |                             |                       | 22,854.36    |                             |                       | 22,854.36    |  | 22,854.36           |  |                             | 22,854.36             | 22,854.36    |  |
| <i>Pass-Through To:</i>  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| University of Houston - Clear Lake   |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Environmental Health   | 93.113         |   |                             |                       | 1,382,417.37 |                             |                       | 1,382,417.37 |  | 1,382,417.37        |  | 427,114.25                  | 955,303.12            | 1,382,417.37 |  |
| Oral Diseases and Disorders Research   | 93.121         |   |                             |                       | 4,245,389.89 |                             |                       | 4,245,389.89 |  | 4,245,389.89        |  | 1,295,875.20                | 2,949,514.69          | 4,245,389.89 |  |
| Centers for Research and Demonstration for Health Promotion and Disease Prevention         | 93.135         |   |                             |                       | 18,057.00    |                             |                       | 18,057.00    |  | 18,057.00           |  | 18,057.00                   | 18,057.00             | 18,057.00    |  |
| Injury Prevention and Control Research and State and Community Based Programs              | 93.136         |   |                             |                       | 8,682.92     |                             |                       | 8,682.92     |  | 8,682.92            |  |                             | 8,682.92              | 8,682.92     |  |
| NIHES Hazardous Waste Worker Health and Safety Training                                    | 93.142         |   |                             |                       | 648,390.95   |                             |                       | 648,390.95   |  | 648,390.95          |  | 380,703.70                  | 267,687.25            | 648,390.95   |  |
| Human Genome Research  | 93.172         |   |                             |                       | 1,875.20     |                             |                       | 1,875.20     |  | 1,875.20            |  |                             | 1,875.20              | 1,875.20     |  |
| Research Related to Deafness and Communication Disorders                                   | 93.173         |   |                             |                       | 786,932.40   |                             |                       | 786,932.40   |  | 786,932.40          |  | 57,403.34                   | 729,529.06            | 786,932.40   |  |
| Research and Training in Complementary and Integrative Health                              | 93.213         |   |                             |                       | 376,207.25   |                             |                       | 376,207.25   |  | 376,207.25          |  |                             | 376,207.25            | 376,207.25   |  |
| Research on Healthcare Costs, Quality and Outcomes   | 93.226         |   |                             |                       | 1,829,769.36 |                             |                       | 1,829,769.36 |  | 1,829,769.36        |  | 565,701.38                  | 1,264,067.98          | 1,829,769.36 |  |
| Mental Health Research Grants  | 93.242         |   |                             |                       | 3,180,978.03 |                             |                       | 3,180,978.03 |  | 3,180,978.03        |  | 384,306.78                  | 2,796,671.25          | 3,180,978.03 |  |
| Substance Abuse and Mental Health Services_ Projects of Regional and National Significance | 93.243         |   |                             |                       | 431,060.52   |                             |                       | 431,060.52   |  | 431,060.52          |  | 16,152.32                   | 414,908.20            | 431,060.52   |  |
| Occupational Safety and Health Program   | 93.262         |   |                             |                       | 435,483.15   |                             |                       | 435,483.15   |  | 435,483.15          |  |                             | 435,483.15            | 435,483.15   |  |
| Alcohol Research Programs  | 93.273         |   |                             |                       | 14,089.86    |                             |                       | 14,089.86    |  | 14,089.86           |  |                             | 14,089.86             | 14,089.86    |  |
| Drug Abuse and Addiction Research Programs   | 93.279         |   |                             |                       | 1,531,381.37 |                             |                       | 1,531,381.37 |  | 1,531,381.37        |  |                             | 1,531,381.37          | 1,531,381.37 |  |
| Drug Abuse and Addiction Research Programs   | 93.279         |   |                             |                       | 11,815.50    |                             |                       | 11,815.50    |  | 11,815.50           |  | 370,243.07                  | 1,161,138.30          | 1,531,381.37 |  |
| <i>Pass-Through To:</i>  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| University of Houston  |                |   |                             |                       |              |                             |                       |              |  |                     |  |                             |                       |              |  |
| Discovery and Applied Research for Technological Innovations to Improve Human Health       | 93.286         |   |                             |                       | 594,058.63   |                             |                       | 594,058.63   |  | 594,058.63          |  | 135,673.30                  | 458,385.33            | 594,058.63   |  |
| Teenage Pregnancy Prevention Program   | 93.297         |   |                             |                       | 37,433.46    |                             |                       | 37,433.46    |  | 37,433.46           |  | 14,197.55                   | 23,235.91             | 37,433.46    |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                   | CFDA<br>Number | NSE Name/<br>Identifying Number | Pass-through From           |                       |               | Pass-through To             |                       |               | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To             |                       |               | Total<br>Expenditures<br>Amount |
|--|----------------|---------------------------------|-----------------------------|-----------------------|---------------|-----------------------------|-----------------------|---------------|--|---------------------|-----------------------------|-----------------------|---------------|---------------------------------|
|  |                |                                 | Agencies or<br>Universities | Non-State<br>Entities | Amount        | Agencies or<br>Universities | Non-State<br>Entities | Amount        |  |                     | Agencies or<br>Universities | Non-State<br>Entities | Amount        |                                 |
|  |                |                                 |                             |                       |               |                             |                       |               |  |                     |                             |                       |               |                                 |
| Minority Health and Health Disparities Research                              | 93.307         |                                 |                             |                       | 715,083.90    |                             | 123,492.37            | 715,083.90    |  |                     |                             | 591,591.53            | 715,083.90    |                                 |
| Trans-NH Research Support  | 93.310         |                                 |                             |                       | 253,819.06    |                             | 99,170.45             | 253,819.06    |  |                     |                             | 154,648.61            | 253,819.06    |                                 |
| National Center for Advancing Translational Sciences                         | 93.350         |                                 |                             |                       | 3,142,988.40  |                             |                       | 3,142,988.40  |  |                     |                             | 3,142,988.40          | 3,142,988.40  |                                 |
| National Center for Advancing Translational Sciences                         | 93.350         |                                 |                             |                       | 624,269.60    |                             |                       | 624,269.60    |  |                     |                             | 624,269.60            | 624,269.60    |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 624,269.60                  |                       |               |                                 |
| Nursing Research   | 93.361         |                                 |                             |                       | 279,264.60    |                             |                       | 279,264.60    |  |                     |                             | 142,021.96            | 279,264.60    |                                 |
| Nursing Research   | 93.361         |                                 |                             |                       | 45,221.64     |                             |                       | 45,221.64     |  |                     |                             |                       | 45,221.64     |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 45,221.64                   |                       |               |                                 |
| Cancer Cause and Prevention Research   | 93.393         |                                 |                             |                       | 1,244,642.50  |                             |                       | 1,244,642.50  |  |                     |                             | 901,684.86            | 1,244,642.50  |                                 |
| Cancer Detection and Diagnosis Research                                      | 93.394         |                                 |                             |                       | 281,238.21    |                             |                       | 281,238.21    |  |                     |                             | 280,501.45            | 281,238.21    |                                 |
| Cancer Detection and Diagnosis Research                                      | 93.394         |                                 |                             |                       | 101,882.33    |                             |                       | 101,882.33    |  |                     |                             |                       | 101,882.33    |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 101,882.33                  |                       |               |                                 |
| Cancer Treatment Research  | 93.395         |                                 |                             |                       | 331,257.74    |                             |                       | 331,257.74    |  |                     |                             | 331,257.74            | 331,257.74    |                                 |
| Cancer Treatment Research  | 93.395         |                                 |                             |                       | 53,442.69     |                             |                       | 53,442.69     |  |                     |                             |                       | 53,442.69     |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 53,442.69                   |                       |               |                                 |
| Cancer Biology Research  | 93.396         |                                 |                             |                       | 1,094,723.94  |                             |                       | 1,094,723.94  |  |                     |                             | 901,274.83            | 1,094,723.94  |                                 |
| Cancer Biology Research  | 93.396         |                                 |                             |                       | 8,543.73      |                             |                       | 8,543.73      |  |                     |                             |                       | 8,543.73      |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 8,543.73                    |                       |               |                                 |
| Cancer Centers Support Grants  | 93.397         |                                 |                             |                       | 460,335.26    |                             |                       | 460,335.26    |  |                     |                             | 450,984.43            | 460,335.26    |                                 |
| Cancer Centers Support Grants  | 93.397         |                                 |                             |                       | 14,956.77     |                             |                       | 14,956.77     |  |                     |                             |                       | 14,956.77     |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 14,956.77                   |                       |               |                                 |
| Cancer Research Manpower   | 93.398         |                                 |                             |                       | 220,909.68    |                             |                       | 220,909.68    |  |                     |                             | 220,909.68            | 220,909.68    |                                 |
| Affordable Care Act (ACA) Childhood Obesity Research Demonstration           | 93.535         |                                 |                             |                       | 140,233.40    |                             |                       | 140,233.40    |  |                     |                             | 128,665.05            | 140,233.40    |                                 |
| Health Care Innovation Awards (HCIA)   | 93.610         |                                 |                             |                       | 16,399.28     |                             |                       | 16,399.28     |  |                     |                             | 16,399.28             | 16,399.28     |                                 |
| Accountable Health Communities   | 93.650         |                                 |                             |                       | 36,238.81     |                             |                       | 36,238.81     |  |                     |                             | 36,238.81             | 36,238.81     |                                 |
| ARRA - Health Information Technology Professionals in Health Care            | 93.721         |                                 |                             |                       | 47,002.32     |                             |                       | 47,002.32     |  |                     |                             | 47,002.32             | 47,002.32     |                                 |
| ARRA - Health Information Technology Professionals in Health Care            | 93.721         |                                 |                             |                       | 460,073.99    |                             |                       | 460,073.99    |  |                     |                             | 206,929.31            | 460,073.99    |                                 |
| ARRA - Health Information Technology Professionals in Health Care            | 93.721         |                                 |                             |                       | 74,856.01     |                             |                       | 74,856.01     |  |                     |                             |                       | 74,856.01     |                                 |
| <i>Pass-Through To:</i><br>Texas A&M University System Health Science Center |                |                                 |                             |                       |               |                             |                       |               | 709  |                     | 74,856.01                   |                       |               |                                 |
| Cardiovascular Diseases Research   | 93.837         |                                 |                             |                       | 12,108,813.93 |                             |                       | 12,108,813.93 |  |                     |                             | 7,767,106.70          | 12,108,813.93 |                                 |
| Cardiovascular Diseases Research   | 93.837         |                                 |                             |                       | 39,248.30     |                             |                       | 39,248.30     |  |                     |                             |                       | 39,248.30     |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 39,248.30                   |                       |               |                                 |
| Cardiovascular Diseases Research   | 93.837         |                                 |                             |                       | 401,391.88    |                             |                       | 401,391.88    |  |                     |                             | 401,391.88            | 401,391.88    |                                 |
| <i>Pass-Through To:</i><br>University of Texas Southwestern Medical Center   |                |                                 |                             |                       |               |                             |                       |               | 729  |                     | 401,391.88                  |                       |               |                                 |
| Lung Diseases Research   | 93.838         |                                 |                             |                       | 1,806,235.00  |                             |                       | 1,806,235.00  |  |                     |                             | 1,694,243.13          | 1,806,235.00  |                                 |
| Lung Diseases Research   | 93.838         |                                 |                             |                       | 32,741.46     |                             |                       | 32,741.46     |  |                     |                             |                       | 32,741.46     |                                 |
| <i>Pass-Through To:</i><br>University of Texas M.D. Anderson Cancer Center   |                |                                 |                             |                       |               |                             |                       |               | 506  |                     | 32,741.46                   |                       |               |                                 |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title  | CFDA<br>Number             | NSE Name/<br>Identifying Number | Agy/<br>Univ | Pass-through From           |                       |   | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ | Pass-through To |                             |  | Total<br>PT To and<br>Expenditures<br>Amount |        |
|---|----------------------------|---------------------------------|--------------|-----------------------------|-----------------------|---|--|--------------|-----------------|-----------------------------|--|--|--------|
|   |                            |                                 |              | Agencies or<br>Universities | Non-State<br>Entities | Amount                                    |  |              | No.             | Agencies or<br>Universities | Non-State<br>Entities                    |  | Amount |
|   |                            |                                 |              |                             |                       |   |  |              |                 |                             |  |  |        |
| Blood Diseases and Resources Research<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>  | 93.839<br>93.839           |                                 |              |                             |                       | 601,321.84<br>43,364.00                   |  |              |                 |                             | 601,321.84<br>43,364.00                  |  |        |
| Arthritis, Musculoskeletal and Skin Diseases Research<br>Diabetes, Digestive, and Kidney Diseases Extramural Research<br>Diabetes, Digestive, and Kidney Diseases Extramural Research<br><i>Pass-Through To:</i><br><i>Texas A&amp;M Transportation Institute</i> | 93.846<br>93.847<br>93.847 |                                 |              |                             |                       | 2,837,850.68<br>2,781,269.11<br>85,030.89 | 506  |              | 43,364.00       | 489,856.36<br>17,566.22     | 2,347,994.32<br>2,763,702.89             | 2,837,850.68<br>2,781,269.11<br>85,030.89    |        |
| Extramural Research Programs in the Neurosciences and Neurological Disorders<br>Extramural Research Programs in the Neurosciences and Neurological Disorders<br><i>Pass-Through To:</i><br><i>University of Houston</i>   | 93.853<br>93.853           |                                 |              |                             |                       | 12,759,900.07<br>27,683.19                | 727  |              | 85,030.89       | 635,148.70                  | 12,124,751.37                            | 12,759,900.07<br>27,683.19                   |        |
| Extramural Research Programs in the Neurosciences and Neurological Disorders<br><i>Pass-Through To:</i><br><i>University of Texas Health Science Center at San Antonio</i>  | 93.853                     |                                 |              |                             |                       | 5,580.49                                  | 745  |              | 5,580.49        |                             |  | 5,580.49                                     |        |
| Allergy and Infectious Diseases Research<br>Allergy and Infectious Diseases Research<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>   | 93.855<br>93.855           |                                 |              |                             |                       | 9,270,915.99<br>55,351.04                 | 506  |              | 55,351.04       | 2,804,839.67                | 6,466,076.32                             | 9,270,915.99<br>55,351.04                    |        |
| Allergy and Infectious Diseases Research<br><i>Pass-Through To:</i><br><i>University of Texas Medical Branch at Galveston</i>   | 93.855                     |                                 |              |                             |                       | 345,862.96                                | 723  |              | 345,862.96      |                             |  | 345,862.96                                   |        |
| Biomedical Research and Research Training<br>Biomedical Research and Research Training<br><i>Pass-Through To:</i><br><i>Texas A&amp;M University</i>  | 93.859<br>93.859           |                                 |              |                             |                       | 8,776,854.20<br>5,327.51                  | 711  |              | 5,327.51        | 538,519.12                  | 8,238,335.08                             | 8,776,854.20<br>5,327.51                     |        |
| Biomedical Research and Research Training<br><i>Pass-Through To:</i><br><i>University of Texas at Austin</i>  | 93.859                     |                                 |              |                             |                       | 174,050.97                                | 721  |              | 174,050.97      |                             |  | 174,050.97                                   |        |
| Biomedical Research and Research Training<br><i>Pass-Through To:</i><br><i>University of Texas Medical Branch at Galveston</i>  | 93.859                     |                                 |              |                             |                       | 40,792.80                                 | 723  |              | 40,792.80       |                             |  | 40,792.80                                    |        |
| Child Health and Human Development Extramural Research<br>Child Health and Human Development Extramural Research<br><i>Pass-Through To:</i><br><i>University of Texas at Dallas</i>   | 93.865<br>93.865           |                                 |              |                             |                       | 2,056,922.81<br>65,501.55                 | 738  |              | 65,501.55       | 137,914.41                  | 1,919,008.40                             | 2,056,922.81<br>65,501.55                    |        |
| Aging Research<br>Aging Research<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>   | 93.866<br>93.866           |                                 |              |                             |                       | 2,190,045.11<br>28,694.67                 | 506  |              | 28,694.67       | 575,863.43                  | 1,614,181.68                             | 2,190,045.11<br>28,694.67                    |        |
| Vision Research<br>Medical Library Assistance<br>International Research and Research Training   | 93.867<br>93.879<br>93.989 |                                 |              |                             |                       | 3,062,594.14<br>1,371,143.04<br>3,408.21  |  |              |                 | 36,620.76<br>266,652.93     | 3,025,973.38<br>1,104,490.11<br>3,408.21 | 3,062,594.14<br>1,371,143.04<br>3,408.21     |        |





| Federal Grantor/<br>Pass-through Grantor/<br>Program Title  | CFDA<br>Number | NSE Name/<br>Identifying Number | Agy/<br>Univ | Pass-through From                     |                                 | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To                       |                                 | Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|---|----------------|---------------------------------|--------------|---------------------------------------|---------------------------------|-----------------------------|--|---------------------|---------------------------------------|---------------------------------|------------------------|--|
|   |                |                                 |              | Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                             |  |                     | Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                        |  |
|   |                |                                 |              | No                                    | Amount                          |                             |  |                     | No                                    | Amount                          |                        |  |
| <i>University of Texas M.D. Anderson Cancer Center</i>  |                |                                 |              |                                       |                                 |                             |  |                     |                                       |                                 |                        |  |
| Cancer Biology Research<br><i>Pass-Through From:<br/>University of Texas at Austin</i>  | 93.396         |                                 | 506          | 39,323.69                             |                                 | 128,587.60                  |  |                     |                                       |                                 | 128,587.60             | 128,587.60                                   |
| Cancer Centers Support Grants<br><i>Pass-Through From:<br/>University of Texas M.D. Anderson Cancer Center</i>                                | 93.397         |                                 | 506          | 193,975.38                            |                                 | 193,975.38                  |  |                     |                                       |                                 | 193,975.38             | 193,975.38                                   |
| Cancer Centers Support Grants<br><i>Pass-Through From:<br/>University of Texas Southwestern Medical Center</i>                                | 93.397         |                                 | 729          | 17,269.15                             |                                 | 17,269.15                   |  |                     |                                       |                                 | 17,269.15              | 17,269.15                                    |
| Cardiovascular Diseases Research<br><i>Pass-Through From:<br/>University of Texas M.D. Anderson Cancer Center</i>                             | 93.837         |                                 | 506          | 128,091.48                            |                                 | 128,091.48                  |  |                     |                                       |                                 | 128,091.48             | 128,091.48                                   |
| Cardiovascular Diseases Research<br><i>Pass-Through From:<br/>University of Texas at Austin</i>   | 93.837         |                                 | 721          | 54,214.84                             |                                 | 54,214.84                   |  |                     |                                       |                                 | 54,214.84              | 54,214.84                                    |
| Cardiovascular Diseases Research<br><i>Pass-Through From:<br/>University of Texas Medical Branch at Galveston</i>                             | 93.837         |                                 | 723          | 7,554.64                              |                                 | 7,554.64                    |  |                     |                                       |                                 | 7,554.64               | 7,554.64                                     |
| Cardiovascular Diseases Research<br><i>Pass-Through From:<br/>University of Texas Health Science Center at San Antonio</i>                    | 93.837         |                                 | 745          | 1,048.45                              |                                 | 1,048.45                    |  |                     |                                       |                                 | 1,048.45               | 1,048.45                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research<br><i>Pass-Through From:<br/>University of Texas at Austin</i>                   | 93.847         |                                 | 721          | 16,105.81                             |                                 | 16,105.81                   |  |                     |                                       |                                 | 16,105.81              | 16,105.81                                    |
| Diabetes, Digestive, and Kidney Diseases Extramural Research<br><i>Pass-Through From:<br/>University of Texas Southwestern Medical Center</i> | 93.847         |                                 | 729          | 8,534.46                              |                                 | 8,534.46                    |  |                     |                                       |                                 | 8,534.46               | 8,534.46                                     |
| Extramural Research Programs in the Neurosciences and Neurological Disorders<br><i>Pass-Through From:<br/>University of Houston</i>           | 93.853         |                                 | 730          | 20,745.36                             |                                 | 20,745.36                   |  |                     |                                       |                                 | 20,745.36              | 20,745.36                                    |
| Allergy and Infectious Diseases Research<br><i>Pass-Through From:<br/>Texas A&amp;M University System Health Science Center</i>               | 93.855         |                                 | 709          | 6,560.69                              |                                 | 6,560.69                    |  |                     |                                       |                                 | 6,560.69               | 6,560.69                                     |
| Biomedical Research and Research Training<br><i>Pass-Through From:<br/>University of Texas Medical Branch at Galveston</i>                    | 93.859         |                                 | 723          | 67,375.96                             |                                 | 67,375.96                   |  |                     |                                       |                                 | 67,375.96              | 67,375.96                                    |
| Biomedical Research and Research Training<br><i>Pass-Through From:<br/>University of Houston</i>  | 93.859         |                                 | 730          | 56,977.55                             |                                 | 56,977.55                   |  |                     |                                       |                                 | 56,977.55              | 56,977.55                                    |
| Child Health and Human Development Extramural Research<br><i>Pass-Through From:</i>   | 93.865         |                                 |              |                                       |                                 | 50,432.42                   |  |                     |                                       |                                 | 50,432.42              | 50,432.42                                    |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                         | CFDA<br>Number | NSE Name/<br>Identifying Number | Agy/<br>Univ | Pass-through From                     |                                 | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ | Pass-through To                       |                                 | Total<br>PT To and<br>Expenditures<br>Amount |  |
|--|----------------|---------------------------------|--------------|---------------------------------------|---------------------------------|-----------------------------|--|--------------|---------------------------------------|---------------------------------|--|--|
|  |                |                                 |              | Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                             |  |              | Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |  |  |
| <i>University of Texas Medical Branch at Galveston</i>                             |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Child Health and Human Development Extramural Research                             | 93.865         |                                 | 723          |                                       | 50,432.42                       |                             | 36,561.29                                      |              |                                       |                                 | 36,561.29                                    |  |
| <i>Pass-Through From:<br/>University of Houston</i>                                |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Medical Library Assistance   | 93.579         |                                 | 730          |                                       | 36,561.29                       |                             | 13,724.85                                      |              |                                       |                                 | 13,724.85                                    |  |
| <i>Pass-Through From:<br/>University of Texas Medical Branch at Galveston</i>      |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Totals - U.S. Department of Health and Human Services                              |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>U.S. Department of Homeland Security</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Direct Programs:</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Assistance to Firefighters Grant   | 97.044         |                                 |              |                                       | 0                               | 354,391.67                  | 354,391.67                                     |              | 201,548.68                            | 152,842.99                      | 354,391.67                                   |  |
| Totals - U.S. Department of Homeland Security                                      |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>U.S. Department of Justice</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Direct Programs:</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| National Institute of Justice Research, Evaluation, and Development Project Grants | 16.560         |                                 |              |                                       | 0                               | 0.01                        | 0.01   |              | 0                                     | 0.01                            | 0.01   |  |
| Totals - U.S. Department of Justice  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>U.S. Department of Labor</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Direct Programs:</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| International Labor Programs   | 17.401         | L-OPS-15-P-00239                |              |                                       | 0                               | 91,617.76                   | 91,617.76                                      |              | 0                                     | 0                               | 91,617.76                                    |  |
| Totals - U.S. Department of Labor  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>U.S. Department of Veterans Affairs</b>   |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Direct Programs:</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| U.S. Department of Veterans Affairs  | 64.000         | PO#580-D72228                   |              |                                       |                                 | 7,587.20                    | 7,587.20                                       |              |                                       | 7,587.20                        | 7,587.20                                     |  |
| U.S. Department of Veterans Affairs  | 64.000         | PO<br>CREATE:580D7212<br>0      |              |                                       |                                 | 12,691.09                   | 12,691.09                                      |              |                                       | 12,691.09                       | 12,691.09                                    |  |
| U.S. Department of Veterans Affairs  | 64.000         | SHEA D1146-R                    |              |                                       |                                 | 12,501.42                   | 12,501.42                                      |              |                                       | 12,501.42                       | 12,501.42                                    |  |
| U.S. Department of Veterans Affairs  | 64.000         | 580-D72039                      |              |                                       |                                 | 17,244.39                   | 17,244.39                                      |              |                                       | 17,244.39                       | 17,244.39                                    |  |
| U.S. Department of Veterans Affairs  | 64.000         | 580-D72103                      |              |                                       |                                 | 11,677.42                   | 11,677.42                                      |              |                                       | 11,677.42                       | 11,677.42                                    |  |
| Sharing Specialized Medical Resources  | 64.018         |                                 |              |                                       |                                 | 57,546.64                   | 57,546.64                                      |              |                                       | 57,546.64                       | 57,546.64                                    |  |
| Totals - U.S. Department of Veterans Affairs                                       |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>CCDF Cluster</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>U.S. Department of Health and Human Services</b>                                |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Pass-Through From:</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Child Care and Development Block Grant   | 93.575         |                                 | 320          |                                       | 51,033.10                       |                             | 51,033.10                                      |              |                                       | 51,033.10                       | 51,033.10                                    |  |
| <i>Pass-Through From:<br/>Texas Workforce Commission</i>                           |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Child Care and Development Block Grant   | 93.575         |                                 | 701          |                                       | 12,564,132.69                   |                             | 12,564,132.69                                  |              |                                       | 12,564,132.69                   | 12,564,132.69                                |  |
| <i>Pass-Through From:<br/>Texas Education Agency</i>                               |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Totals - U.S. Department of Health and Human Services                              |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Special Education (IDEA) Cluster</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>U.S. Department of Education</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Pass-Through From:</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Special Education Grants to States   | 84.027         |                                 | 701          |                                       | 36,866.49                       |                             | 36,866.49                                      |              |                                       | 36,866.49                       | 36,866.49                                    |  |
| <i>Pass-Through From:<br/>Texas Education Agency</i>                               |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Totals - U.S. Department of Education  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| <b>Student Financial Assistance Cluster</b>  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |
| Totals - U.S. Department of Education  |                |                                 |              |                                       |                                 |                             |  |              |                                       |                                 |  |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                                      | CFDA<br>Number | NSE Name/<br>Identifying Number | Pass-through From |  |                       | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To      |  |                       | Total<br>Expenditures<br>PT To and<br>Expenditures<br>Amount |
|---|----------------|---------------------------------|-------------------|--|-----------------------|--|----------------------|--|-----------------------|--|
|   |                |                                 | Agr/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities |  | Agr/<br>Univ         | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities |  |
| <b>U.S. Department of Education</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <b>Direct Programs:</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| Federal Supplemental Educational Opportunity Grants   | 84.007         |                                 |                   | 24,565.15  | 24,565.15             |  |                      |  | 24,565.15             | 24,565.15  |
| Federal Perkins Loan Program, Federal Capital Contributions                                     | 84.038         |                                 |                   | 472,725.75                                       | 472,725.75            |  |                      |  | 472,725.75            | 472,725.75   |
| Federal Pell Grant Program  | 84.063         |                                 |                   | 1,066,177.05                                     | 1,066,177.05          |  |                      |  | 1,066,177.05          | 1,066,177.05   |
| Federal Direct Student Loans  | 84.268         |                                 |                   | 48,029,830.00                                    | 48,029,830.00         |  |                      |  | 48,029,830.00         | 48,029,830.00  |
| Totals - U.S. Department of Education   |                |                                 | 0                 | 49,593,297.95                                    | 49,593,297.95         | 0  | 0                    | 0  | 49,593,297.95         | 49,593,297.95  |
| <b>U.S. Department of Health and Human Services</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <b>Direct Programs:</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| Nurse Faculty Loan Program (NFLP)   | 93.264         |                                 |                   | 6,044.00   | 6,044.00              |  |                      |  | 6,044.00              | 6,044.00   |
| Health Professions Student Loans, including Primary Care Loans/Loans for Disadvantaged Students | 93.342         |                                 |                   | 1,213,492.00                                     | 1,213,492.00          |  |                      |  | 1,213,492.00          | 1,213,492.00   |
| Nursing Student Loans   | 93.364         |                                 |                   | 26,750.00  | 26,750.00             |  |                      |  | 26,750.00             | 26,750.00  |
| Totals - U.S. Department of Health and Human Services   |                |                                 | 0                 | 1,246,286.00                                     | 1,246,286.00          | 0  | 0                    | 0  | 1,246,286.00          | 1,246,286.00   |
| <b>SNAP Cluster</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <b>U.S. Department of Agriculture</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <b>Pass-Through From:</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| State Administrative Matching Grants for the Supplemental Nutrition Assistance Program          | 10.561         |                                 |                   |  |                       |  |                      |  |                       |  |
| <i>Pass-Through From:</i>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <i>Health and Human Services Commission</i>   |                |                                 | 529               | 241,178.38                                       | 241,178.38            |  |                      |  | 241,178.38            | 241,178.38   |
| Totals - U.S. Department of Agriculture   |                |                                 |                   | 241,178.38                                       | 241,178.38            | 0  | 0                    | 0  | 241,178.38            | 241,178.38   |
| <b>TANF Cluster</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <b>U.S. Department of Health and Human Services</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <b>Pass-Through From:</b>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| Temporary Assistance for Needy Families   | 93.558         |                                 |                   |  |                       |  |                      |  |                       |  |
| <i>Pass-Through From:</i>   |                |                                 |                   |  |                       |  |                      |  |                       |  |
| <i>Texas Southern University</i>  |                |                                 | 717               | 22,344.58  | 22,344.58             |  |                      |  | 22,344.58             | 22,344.58  |
| Totals - U.S. Department of Health and Human Services   |                |                                 |                   | 22,344.58  | 22,344.58             | 0  | 0                    | 0  | 22,344.58             | 22,344.58  |
| <b>Total Expenditures of Federal Awards</b>   |                |                                 |                   | <b>20,589,219.40</b>                             | <b>202,905,450.37</b> | <b>158,842,474.20</b>                          | <b>17,031,724.20</b> | <b>4,337,778.00</b>                            | <b>181,535,548.17</b> | <b>202,905,450.37</b>  |

# State of Texas – Annual Financial Reporting Schedule of Expenditures of Federal Awards (SEFA)

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State of Texas - Federal Activity  
**SEFA Note 1**

**Agency 744 - University of Texas Health Science Center at Houston**  
SEFA Note 1 - Non-Monetary Assistance, FY 2017

Comment:

No such assistance was received during this fiscal year.

**This agency has been certified. No modifications allowed.**

# State of Texas – Annual Financial Reporting

## Schedule of Expenditures of Federal Awards

### (SEFA)

State of Texas – Federal Activity  
SEFA Note 2

#### Agency 744 - University of Texas Health Science Center at Houston SEFA Note 2 - Reconciliation, FY 2017

Below is a reconciliation of the total of federal pass-through and federal expenditures as reported on the Schedule of Federal Financial Assistance to the total of federal revenues and federal grant pass-through revenues as reported in the general-purpose financial statements. Generally, federal funds are not earned until expended; therefore, federal revenues equal federal expenditures for the reporting period.

Per Combined Statement of Revenues, Expenditures and Changes in Fund Balance - Governmental Funds; and Combined Statement of Revenues, Expenses and Changes in Net Assets - Proprietary Funds; and Combined Statement of Changes in Fiduciary Net Assets:

|   | AFR               | USAS Amount             | Note 2 Amount           |
|---|-------------------|-------------------------|-------------------------|
| <b>Federal Revenue</b>  |                   |                         |                         |
| Governmental Funds  | Exhibit II        | -                       |                         |
| Proprietary Funds   | Exhibit IV/SRECNA | 133,353,494.81          | 133,353,494.81          |
| Operating   | Exhibit IV/SRECNA | 689,485.05              | 689,485.05              |
| Non-operating   | Exhibit IV/SRECNA | -                       |                         |
| Capital Contributions   |                   |                         |                         |
| Fiduciary Funds   | Exhibit VII       | -                       |                         |
| <b>Total Federal Revenue</b>                                  |                   | <b>\$134,042,979.86</b> | <b>\$134,042,979.86</b> |
| <i>Amount per Schedule: \$182,306,230.97</i>                  |                   |                         |                         |
| <i>Discrepancy: (\$48,263,251.11)</i>                         |                   |                         |                         |
| <b>Federal Pass-Through Revenue</b>                           |                   |                         |                         |
| Governmental Funds  | Exhibit II        | -                       |                         |
| Proprietary Funds   | Exhibit IV/SRECNA | 18,671,323.45           | 20,599,219.40           |
| Operating   | Exhibit IV/SRECNA | -                       |                         |
| Non-operating   | Exhibit IV/SRECNA | -                       |                         |
| Capital Contributions   |                   |                         |                         |
| Fiduciary Funds   | Exhibit VII       | -                       |                         |
| <b>Total Federal Pass-Through Revenue</b>                     |                   | <b>\$18,671,323.45</b>  | <b>\$20,599,219.40</b>  |
| <i>Amount per Schedule: \$20,599,219.40</i>                   |                   |                         |                         |
| <b>Total Federal Revenue and Federal Pass-Through Revenue</b> |                   | <b>\$152,714,303.31</b> | <b>\$154,642,199.26</b> |
| <b>Reconciliation Items</b>                                   |                   |                         | <b>CFDA</b>             |
| <b>Non-monetary items:</b>                                    |                   |                         | <b>Amount</b>           |
| <b>Total Non-monetary items</b>                               |                   |                         | <b>\$0.00</b>           |
| <b>New Loans Processed: (Amounts are from Note 3e)</b>        |                   |                         |                         |
| Federal Family Education Loans                                |                   |                         | 84,032                  |
| Federal Family Education Loan Program (FFELP)                 |                   |                         | 84,032L                 |
| Federal Perkins Loan Program (Perkins) Federal                |                   |                         | 84,038                  |
| Direct Student Loans (Direct Loans)                           |                   |                         | 84,268                  |
|   |                   |                         | 450,215.00              |
|   |                   |                         | 48,025,830.00           |

|   |        |              |
|---|--------|--------------|
| Health Education Assistance Loan Program (HEAL)   | 93.108 |              |
| Nursing Faculty Loan Program                      | 93.264 | 6,044.00     |
| Health Professions Student Loan Program - Nursing | 93.342 | 1,213,492.00 |
| Student Loan Program                              | 93.364 | 26,750.00    |

**Total New Loans Processed**

**\$49,726,331.00**

**Other Reconciling Items:**

|  |        |  |
|--|--------|--|
| Add:   | 17.225 |  |
| State Unemployment Funds - State Portion                                   |        |  |
| Other (Contact FRS if you have other reconciling items as additions items) |        |  |

**Deduct: (Enter amounts as negative)**

|  |               |  |
|--|---------------|--|
| Federal revenue received on the fixed fee basis contract | -1,463,079.89 |  |
|--|---------------|--|

*Note:*  
Contract residual balances as a result of fixed fee basis contracts which vest with the University.

Federal revenue received under a vendor relationship between agency and the federal government

*Note:*

|   |  |  |
|---|--|--|
| Federal grants <i>from</i> Texas A&M Research Foundation                    |  |  |
| Federal grants <i>to</i> Texas A&M Research Foundation                      |  |  |
| Medicare Part D   |  |  |
| Medicare Part D - Direct Subsidy  |  |  |
| COBRA   |  |  |
| Build America Bond  |  |  |
| Early Retirement Reinsurance Program  |  |  |
| Other (Contact FRS if you have other reconciling items as deductions items) |  |  |

**Total Other Reconciling Items**

**(\$1,463,079.89)**

**Total Reconciliation Items:**

**\$48,263,251.11**

**Total per Note 2:**

**\$202,905,450.37**

**Total Pass Through and Expenditures per Federal Schedule:**

**\$202,905,450.37**

**Difference:**

**\$0.00**

**This agency has been certified. No modifications allowed.**

# State of Texas – Annual Financial Reporting

## Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
SEFA Note 3a

### Agency 744 - University of Texas Health Science Center at Houston SEFA Note 3a - Student Loans Processed and Administrative Cost Recovered, FY 2017

| Program Name  | CFDA    | Beginning Balance of Outstanding Loans, as of 8/31/2016 | New Loans Processed, does not include Admin Cost Recovered | * CY Admin Cost Recovered, includes PYs only if applicable | Total Loans Processed and Admin Cost Recovered (From Schedule) | Repayment/Adjustment Activity (FY + CY) | ** Outstanding Balance of Loans as of 8/31/2017, includes all FYs |
|---|---------|---|--|--|--|---|---|
| Federal Family Education Loans  | 84.032  | 0.00  | 0.00   | 0.00   | 0.00   | 0.00                                    | 0.00  |
| Federal Family Education Loan Program (FFELP)   | 84.032L | 0.00  | 0.00   | 0.00   | 0.00   | 0.00                                    | 0.00  |
| Federal Perkins Loan Program (Perkins)<br>Does your university use a service organization as part of processing loans under the Perkins program? If so, please disclose the name of the company that the loans have been outsourced to: | 84.038  | 3,054,175.00  | 450,215.00   | 22,510.75  | 472,725.75   | 613,229.84                              | 2,913,670.91  |
| Federal Direct Student Loans (Direct Loans)   | 84.268  | 0.00  | 48,029,830.00  | 0.00   | 48,029,830.00  | 48,029,830.00                           | 0.00  |
| Health Education Assistance Loan Program (HEAL)   | 93.108  | 0.00  | 0.00   | 0.00   | 0.00   | 0.00                                    | 0.00  |
| Nursing Faculty Loan Program  | 93.264  | 253,761.00  | 6,044.00   | 0.00   | 6,044.00   | 72,490.39                               | 187,314.61  |
| Health Professions Student Loan Program Nursing   | 93.342  | 4,795,303.00  | 1,213,492.00   | 0.00   | 1,213,492.00   | 685,129.90                              | 5,323,665.10  |
| Student Loans   | 93.364  | 66,899.00   | 26,750.00  | 0.00   | 26,750.00  | 7,908.55                                | 85,740.45   |
| <b>Total</b>  |         | <b>\$8,170,138.00</b>                                   | <b>\$49,726,331.00</b>                                     | <b>\$22,510.75</b>   | <b>\$49,746,841.75</b>   | <b>\$49,408,588.68</b>                  | <b>\$8,510,391.07</b>   |

**This agency has been certified. No modifications allowed.**

\* Admin Cost Recovered includes administration cost and any interest subsidy related to student loans processed.

\*\* Confirm on Servicer Report.

# State of Texas – Annual Financial Reporting

## Schedule of Expenditures of Federal Awards

(SEFA)

State of Texas - Federal Activity  
**SEFA Note 3b**

**Agency 744 - University of Texas Health Science Center at Houston**  
**SEFA Note 3b - Federally Funded Loans Processed and Administrative Cost Recovered, FY 2017**

| Program Name                                | CFDA   | Beginning Balance of Outstanding Loans | Cash   | New Loans Processed | Admin Cost Recovered | Total Loans Processed and Admin Cost Recovered (From Schedule) | Ending Balances of PY Loans from Prior FYs |
|---|--------|--|--------|---------------------|----------------------|--|--|
| Clean Water State Revolving Fund (CWSRF)    | 66.458 |  |        |                     |                      |  |  |
| Drinking Water State Revolving Fund (DWSRF) | 66.468 |  |        |                     |                      |  |  |
| <b>Total</b>                                |        | \$0.00                                 | \$0.00 | \$0.00              | \$0.00               | \$0.00   | \$0.00                                     |

This agency has been certified. No modifications allowed.



State of Texas – Annual Financial Reporting  
**Schedule of Expenditures of Federal Awards**  
(SEFA)

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State of Texas - Federal Activity  
**SEFA Note 5**

**Agency 744 - University of Texas Health Science Center at Houston**  
SEFA Note 5 - Unemployment Insurance Funds, FY 2017

**Comment:**

No such assistance was received during this fiscal year.

**This agency has been certified. No modifications allowed.**

# State of Texas – Annual Financial Reporting Schedule of Expenditures of Federal Awards (SEFA)

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State of Texas - Federal Activity  
**SEFA Note 6**

Agency 744 - University of Texas Health Science Center at Houston  
SEFA Note 6 - Rebates for the Special Supplemental Food Program for Women, Infants, and Children (WIC), FY 2017

Comment:  
No such assistance was received during this fiscal year.

This agency has been certified. No modifications allowed.

# State of Texas – Annual Financial Reporting

## Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
**SEFA Note 7**

**Agency 744 - University of Texas Health Science Center at Houston**  
SEFA Note 7 - Federal Deferred Revenue, FY 2017

| CFDA Number | CFDA Title  | Federal<br>Deferred Revenue<br>September 1, 2016 | Increase/(Decrease) | Federal<br>Deferred Revenue<br>August 31, 2017 |
|-------------|---|--|---------------------|--|
|             | <b>Total Deferred Revenue</b>   | 0.00   | 0.00                | 0.00   |
|             | <b>(Additional blank rows will appear as needed after saving)</b>                     |  |                     |  |
|             | Explain why the deferred revenue is reported:<br>Universities are exempt from note 7. |  |                     |  |

**This agency has been certified. No modifications allowed.**

# State of Texas – Annual Financial Reporting Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
**SEFA Note 8**  
December 12, 2017

**Agency 744 - University of Texas Health Science Center at Houston**  
SEFA Note 8 - Disaster Grants - Public Assistance, FY 2017

**Agency 744 - University of Texas Health Science Center at Houston**

**Note: If incurred eligible expenditures from a previous reporting fiscal year did not receive FEMA approval until the current reporting fiscal year, the expenditure amounts must be disclosed in the current period's SEFA Note 8.**

| Project Name | FY Project Worksheet Approved | Expenditure Amount Incurred Prior Fiscal Year | Amount De-obligated | Comments |
|--------------|-------------------------------|---|---------------------|----------|
|--------------|-------------------------------|---|---------------------|----------|

OR

Not applicable. Our agency did not receive FEMA approval this current reporting fiscal year for eligible expenditures incurred in previous reporting fiscal year.

**This agency has been certified. No modifications allowed.**

# State of Texas – Annual Financial Reporting

## Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
SEFA Note 9

Agency 744 - University of Texas Health Science Center at Houston  
SEFA Note 9 - Economic Adjustment Assistance, FY 2017

Not applicable. Our agency does not have any Revolving Loan Funds related to the Economic Adjustment Assistance program.

OR

| Grant         | Col A<br>Grant Amount | + | Col B<br>Matching | = | Col C<br>Total Grant Awarded |
|---------------|-----------------------|---|-------------------|---|------------------------------|
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
| <b>Totals</b> | 0.00                  | + | 0.00              | = | 0.00                         |

| Federal Share                             |      |
|---|------|
| Grant Amount ( Col A )                    | 0.00 |
| Divided by: Total Grant Awarded ( Col C ) | 0.00 |
| Federal Share                             | 0.00 |

| Federal Awards Expended            |      |   |      |  |      |   |          |                          |        |                         |
|------------------------------------|------|---|------|--|------|---|----------|--------------------------|--------|-------------------------|
| RLF Loans Outstanding @ 08/31/2017 | Plus | Cash & Invest Balance in RLF @ 08/31/2017 | Plus | Admin-Exp-Paid-from RLF Income during 2017 | Plus | Unpaid Principal of ALL loans written off during 2017 | Multiply | Federal Share of the RLF | Equals | Federal Awards Expended |
| 0.00                               | +    | 0.00                                      | +    | 0.00                                       | +    | 0.00  | x        | 0.00                     | =      | 0.00                    |

This agency has been certified. No modifications allowed.

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# State of Texas – Annual Financial Reporting Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
**SEFA Note 10**  
December 12, 2017

Agency 744 - University of Texas Health Science Center at Houston  
SEFA Note 10 - 10 Percent de Minimis Indirect Cost Rate, FY 2017

Does your agency elect to use the 10 percent de minimis indirect cost rate?

- Yes  
 No

Comment:

This agency has been certified. No modifications allowed.

# State of Texas – Annual Financial Reporting State Pass-Through Reporting (SPTR)

State of Texas - State Pass-through Reporting  
Schedule 1B  
October 3, 2017 2:14 PM

## UT HEALTH SCIENCE CENTER AT HOUSTON (744) Schedule 1B Schedule of State Grant Pass-Throughs From/To State Agencies For the Year Ended August 31, 2017

| Pass-through From   | Grant ID | Agency Number | Amount                          |
|---|----------|---------------|---------------------------------|
| <b>RP150054 - Alliance for Colorectal Cancer Testing (ACT) in Southeast Texas</b><br>UT MD ANDERSON CANCER CENTER   | 506.0010 | 506           | 145,183.46<br><u>145,183.46</u> |
| <b>PP150012 - Improving Cervical Cancer Screening and Prevention in the Lower Rio Grande Valley Through Public Outreach, Patient Navigation and Telementoring</b><br>UT MD ANDERSON CANCER CENTER | 506.0015 | 506           | 282,092.51<br><u>282,092.51</u> |
| <b>PP160027 - Improving Service Delivery to Cancer Survivors in Primary Care Settings</b><br>UT MD ANDERSON CANCER CENTER   | 506.0016 | 506           | 91,671.69<br><u>91,671.69</u>   |
| <b>RP150535 - Precision Oncology Decision Support Core</b><br>UT MD ANDERSON CANCER CENTER  | 506.0017 | 506           | 81,309.05<br><u>81,309.05</u>   |
| <b>RP160674 - DAMC: Comparative Effectiveness Research on Cancer</b><br>UT MD ANDERSON CANCER CENTER  | 506.0018 | 506           | 14,342.98<br><u>14,342.98</u>   |
| UT MD ANDERSON CANCER CENTER  | 506.0034 | 506           | 18,250.07<br><u>18,250.07</u>   |
| <b>SHS Child W Spec Health Care Needs</b><br>DEPARTMENT OF STATE HEALTH SERVICES  | 537.0014 | 537           | 164,277.31<br><u>164,277.31</u> |
| <b>Family Health Services</b><br>DEPARTMENT OF STATE HEALTH SERVICES  | 537.0024 | 537           | 280,247.66<br><u>280,247.66</u> |
| <b>Population Based Genetic Services</b><br>DEPARTMENT OF STATE HEALTH SERVICES   | 537.0025 | 537           | 99,999.00<br><u>99,999.00</u>   |
| <b>Medicares</b><br>DEPARTMENT OF STATE HEALTH SERVICES   | 537.0043 | 537           | 412,421.35<br><u>412,421.35</u> |



|   |          |     |                                     |
|---|----------|-----|-------------------------------------|
| DP150065—Bridging the Gap: Early Translational Research Awards Award Slate<br>CANCER PREVENTION & RESEARCH INST OF TX             | 542.0007 | 542 | 750,740.38<br><u>750,740.38</u>     |
| DP150069—Bridging the Gap: Early Translational Research Awards Award Slate<br>CANCER PREVENTION & RESEARCH INST OF TX             | 542.0014 | 542 | 642,013.05<br><u>642,013.05</u>     |
| PP130075—Health Behavior Change Through Public and Professional Education and Training<br>CANCER PREVENTION & RESEARCH INST OF TX | 542.0027 | 542 | 99,151.21<br><u>99,151.21</u>       |
| PP140183—Evidence-Based Cancer Prevention Services<br>CANCER PREVENTION & RESEARCH INST OF TX                                     | 542.0037 | 542 | 463,750.01<br><u>463,750.01</u>     |
| PP160047—Competitive Continuation/Expansion - Evidence-Based Prevention Services<br>CANCER PREVENTION & RESEARCH INST OF TX       | 542.0059 | 542 | 129,635.93<br><u>129,635.93</u>     |
| PP160051—Dissemination of CPRIT-Funded Cancer Control Interventions<br>CANCER PREVENTION & RESEARCH INST OF TX                    | 542.0061 | 542 | 129,635.93<br><u>129,635.93</u>     |
| PP170046—Evidence-Based Cancer Prevention Services<br>CANCER PREVENTION & RESEARCH INST OF TX                                     | 542.0076 | 542 | 125,383.49<br><u>125,383.49</u>     |
| R1215—Recruitment of First-Time, Tenure-Track Faculty Members<br>CANCER PREVENTION & RESEARCH INST OF TX                          | 542.0093 | 542 | 15,200.94<br><u>15,200.94</u>       |
| R1307—Recruitment of Rising Stars<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0103 | 542 | 457,852.95<br><u>457,852.95</u>     |
| RP110776—Shared Instrumentation Awards<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0161 | 542 | 431,473.02<br><u>431,473.02</u>     |
| RP130051—Individual Investigator Research<br>Awards CANCER PREVENTION & RESEARCH<br>INST OF TX                                    | 542.0202 | 542 | 45,309.76<br><u>45,309.76</u>       |
| RP130059—Individual Investigator Research<br>Awards CANCER PREVENTION & RESEARCH<br>INST OF TX                                    | 542.0204 | 542 | 94,483.87<br><u>94,483.87</u>       |
| RP130459—Individual Investigator Research<br>Awards CANCER PREVENTION & RESEARCH<br>INST OF TX                                    | 542.0227 | 542 | 208,572.80<br><u>208,572.80</u>     |
| RP140024-C1—Multi-Investigator Research<br>Awards CANCER PREVENTION & RESEARCH<br>INST OF TX                                      | 542.0254 | 542 | 371,048.73<br><u>371,048.73</u>     |
| RP140103—Research Training Awards<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0258 | 542 | 101,262.25<br><u>101,262.25</u>     |
| RP150014—Individual Investigator Research Awards for Prevention and Early Detection<br>CANCER PREVENTION & RESEARCH INST OF TX    | 542.0319 | 542 | 425,683.63<br><u>425,683.63</u>     |
| RP150230—Individual Investigator<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0333 | 542 | 218,958.02<br><u>218,958.02</u>     |
| RP150551—Core Facility Support Awards<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0360 | 542 | 300,517.76<br><u>300,517.76</u>     |
| RP150640—High-Impact/High-Risk Research Awards  | 542.0370 | 542 | 1,670,147.53<br><u>1,670,147.53</u> |
|   |          |     | 73,258.62                           |

|   |          |     |                     |
|---|----------|-----|---------------------|
| <b>RP160015—Research Training Award</b><br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0379 | 542 | <u>73,258.62</u>    |
|   |          |     | 708,681.55          |
|   |          |     | <u>708,681.55</u>   |
| <b>RP160235—Individual Investigator</b><br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0398 | 542 | 213,786.81          |
|   |          |     | <u>213,786.81</u>   |
| <b>RP160763—High-Impact/High-Risk Research Awards</b><br>CANCER PREVENTION & RESEARCH INST OF TX                                      | 542.0433 | 542 | 80,748.16           |
|   |          |     | <u>80,748.16</u>    |
| <b>RP160775—High-Impact/High-Risk Research Awards</b><br>CANCER PREVENTION & RESEARCH INST OF TX                                      | 542.0436 | 542 | 73,720.81           |
|   |          |     | <u>73,720.81</u>    |
| <b>RP170233—Individual Investigator</b><br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0464 | 542 | 56,927.90           |
|   |          |     | <u>56,927.90</u>    |
| <b>RP170245—Bridging the Gap - Early Translational Research</b><br>CANCER PREVENTION & RESEARCH INST OF TX                            | 542.0465 | 542 | 70,688.44           |
|   |          |     | <u>70,688.44</u>    |
| <b>RP170330—Individual Investigator</b><br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0471 | 542 | 17,147.18           |
|   |          |     | <u>17,147.18</u>    |
| <b>RP170493—Individual Investigator Research Awards for Prevention and Early Detection</b><br>CANCER PREVENTION & RESEARCH INST OF TX | 542.0479 | 542 | 8,286.00            |
|   |          |     | <u>8,286.00</u>     |
| <b>RR150085—Recruitment of First-Time, Tenure-Track Faculty Members</b><br>CANCER PREVENTION & RESEARCH INST OF TX                    | 542.0509 | 542 | 317,662.13          |
|   |          |     | <u>317,662.13</u>   |
| <b>RR150104—Recruit-Rising Star</b><br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0512 | 542 | 892,522.42          |
|   |          |     | <u>892,522.42</u>   |
| <b>RR160019—Recruitment of First-Time, Tenure-Track Faculty Members</b><br>CANCER PREVENTION & RESEARCH INST OF TX                    | 542.0515 | 542 | 469,199.43          |
|   |          |     | <u>469,199.43</u>   |
| <b>RR160083—Recruitment of First-Time, Tenure-Track Faculty Members</b><br>CANCER PREVENTION & RESEARCH INST OF TX                    | 542.0527 | 542 | 18,288.63           |
|   |          |     | <u>18,288.63</u>    |
| <b>Statewide Waterbody Bacterial Source Tracking Program</b><br>TEXAS A&M AGRILIFE RESEARCH   | 556.0017 | 556 | 73,922.14           |
|   |          |     | <u>73,922.14</u>    |
| <b>Early Childhood School Readiness</b><br>TEXAS EDUCATION AGENCY   | 701.0012 | 701 | 3,360,045.44        |
|   |          |     | <u>3,360,045.44</u> |
| <b>P2: Combinatorial Drug Discovery Program</b><br>TX A&M UNIV SYSTEM HEALTH SCIENCE CENTER   | 709.0004 | 709 | 71,439.95           |
|   |          |     | <u>71,439.95</u>    |
| <b>Evaluation of Texas 1115 Medicaid Formation Waiver</b><br>TX A&M UNIV SYSTEM HEALTH SCIENCE CENTER                                 | 709.0006 | 709 | 232,092.72          |
|   |          |     | <u>232,092.72</u>   |
| <b>Joint Admission Medical Program (JAMP)</b><br>UNIVERSITY OF TEXAS SYSTEM   | 720.0002 | 720 | 164,590.41          |
|   |          |     | <u>164,590.41</u>   |
| <b>College Tobacco Initiative</b><br>UNIVERSITY OF TEXAS AT AUSTIN  | 721.0010 | 721 | 67,224.61           |
|   |          |     | <u>67,224.61</u>    |

|  |                 |                      |                      |
|--|-----------------|----------------------|----------------------|
| <b>Evidence Based Colorectal Cancer</b><br>UT SOUTHWESTERN MEDICAL CENTER  | 729.0020        | 729                  | 253,643.67           |
|  |                 |                      | <u>253,643.67</u>    |
| <b>TEXAS Grant Program</b><br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0008        | 781                  | 131,752.00           |
|  |                 |                      | <u>131,752.00</u>    |
| <b>Professional Nursing Shortage Reduction Program</b><br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0013        | 781                  | 281,839.45           |
|  |                 |                      | <u>281,839.45</u>    |
| <b>Emergency and Trauma Care</b><br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0038        | 781                  | 874,174.00           |
|  |                 |                      | <u>874,174.00</u>    |
| <b>GME Expansion HB1025</b><br>TX HIGHER EDUCATION COORDINATING BOARD  | 781.0059        | 781                  | -174.79              |
|  |                 |                      | <u>-174.79</u>       |
| <b>Family Practice HB1025</b><br>TX HIGHER EDUCATION COORDINATING BOARD  | 781.0060        | 781                  | -6,733.00            |
|  |                 |                      | <u>-6,733.00</u>     |
| <b>Primary Care Innovation</b><br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0064        | 781                  | -20,513.27           |
|  |                 |                      | <u>-20,513.27</u>    |
| <b>Autism - Parent Direct Treatment</b><br>TX HIGHER EDUCATION COORDINATING BOARD  | 781.0067        | 781                  | 205,226.00           |
|  |                 |                      | <u>205,226.00</u>    |
| <b>GME Unfilled Positions</b><br>TX HIGHER EDUCATION COORDINATING BOARD  | 781.0070        | 781                  | 3,035,000.00         |
|  |                 |                      | <u>3,035,000.00</u>  |
| <b>GME Expansion SB 18</b><br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0072        | 781                  | 2,175,000.00         |
|  |                 |                      | <u>2,175,000.00</u>  |
| <b>Family Practice Res Fd 5111</b><br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0076        | 781                  | 386,200.00           |
|  |                 |                      | <u>386,200.00</u>    |
| <b>Total Pass-Through from Other Agencies (Exh. II):</b>   |                 |                      | <b>22,426,627.82</b> |
| <b>Pass-through To</b>   | <b>Grant ID</b> | <b>Agency Number</b> | <b>Amount</b>        |
| <b>Forensic Medical Assessment</b>   | 744.0001        | 506                  | 8,291.48             |
|  |                 |                      | <b>8,291.48</b>      |
| <b>Network</b><br>TPRI and Tejas LEE Print, Distribution and Development<br>UT MD ANDERSON CANCER<br>CENTER UNIVERSITY OF<br>HOUSTON   | 744.0004        | 730                  | 107,334.62           |
|  |                 |                      | <b>107,334.62</b>    |
| <b>Collaborative Training of a New Cadre of Innovative Cancer Prevention Researchers</b><br>UT MD ANDERSON CANCER CENTER   | 744.0005        | 506                  | 73,634.22            |
|  |                 |                      | <b>73,634.22</b>     |
| <b>Development of a Novel K-Ras Therapeutic - DP150065</b><br>UT MEDICAL BRANCH AT GALVESTON   | 744.0006        | 723                  | 305,358.69           |
|  |                 |                      | <b>305,358.69</b>    |
| <b>Statewide Evaluation of Texas 1115 Medicaid Waiver and Integrating Primary Care into Behavioral Health Setting for Adults with Severe and Persistent Mental Illnesses (SPMI) "Meadows Supplement"</b><br>UT MEDICAL BRANCH AT GALVESTON | 744.0007        | 723                  | 11,399.16            |
|  |                 |                      | <u>11,399.16</u>     |
| <b>Total Pass-Through to Other Agencies (Exh. II):</b>   |                 |                      | <b>506,018.17</b>    |

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**SCHEDULE S-4a**  
**THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON**  
**RESTRICTED CURRENT FUNDS - FEDERAL AGENCIES**  
**For the Year Ended August 31, 2017**

|  | <u>Indirect Cost</u>           | <u>Expenditures</u>             | <u>Total</u>                    |
|--|--------------------------------|---------------------------------|---------------------------------|
| <b>Instruction</b>                                       |                                |                                 |                                 |
| Environmental Protection Agency                          | \$ 1,451.93                    | \$ 3,629.76                     | \$ 5,081.69                     |
| National Aeronautics and Space Administration (NASA)     |                                | 400.00                          | 400.00                          |
| U.S. Department of Education                             | (421.18)                       | 32,193.76                       | 31,772.58                       |
| U.S. Department of Health and Human Services             | 422,135.07                     | 4,280,251.40                    | 4,702,386.47                    |
| U.S. Department of Labor                                 | 16,817.80                      | 74,763.09                       | 91,580.89                       |
| U.S. Department of Veterans Affairs                      |                                | 16,419.24                       | 16,419.24                       |
| Subtotal - Instruction                                   | <u>439,983.62</u>              | <u>4,407,657.25</u>             | <u>4,847,640.87</u>             |
| <b>Research</b>  |                                |                                 |                                 |
| Corporation for National and Community Service           | 86,495.19                      | 874,089.65                      | 960,584.84                      |
| Environmental Protection Agency                          | 1,848.10                       | 6,012.97                        | 7,861.07                        |
| National Aeronautics and Space Administration (NASA)     | 26,607.93                      | 71,690.08                       | 98,298.01                       |
| National Science Foundation (NSF)                        | 236,282.52                     | 526,872.66                      | 763,155.18                      |
| U.S. Department of Agriculture                           | 70,073.98                      | 255,304.56                      | 325,378.54                      |
| U.S. Department of Defense                               | 1,246,847.52                   | 2,964,176.05                    | 4,211,023.57                    |
| U.S. Department of Education                             | 1,247,557.14                   | 4,493,448.29                    | 5,741,005.43                    |
| U.S. Department of Health and Human Services             | 30,476,382.86                  | 80,510,097.11                   | 110,986,479.97                  |
| U.S. Department of Homeland Security                     | 52,288.38                      | 302,103.29                      | 354,391.67                      |
| U.S. Department of Justice                               | 8,955.73                       | 34,445.27                       | 43,401.00                       |
| U.S. Department of Labor                                 | 36,097.25                      | 55,520.51                       | 91,617.76                       |
| U.S. Department of Veterans Affairs                      |                                | 119,248.16                      | 119,248.16                      |
| Subtotal - Research                                      | <u>33,489,436.60</u>           | <u>90,213,008.60</u>            | <u>123,702,445.20</u>           |
| <b>Public Service</b>                                    |                                |                                 |                                 |
| National Science Foundation (NSF)                        | 4,031.13                       | 36,646.20                       | 40,677.33                       |
| U.S. Department of Agriculture                           | 638,239.27                     | 4,192,602.16                    | 4,830,841.43                    |
| U.S. Department of Education                             | 25,273.34                      | 315,916.11                      | 341,189.45                      |
| U.S. Department of Health and Human Services             | 1,076,780.04                   | 14,829,912.23                   | 15,906,692.27                   |
| Subtotal - Public Service                                | <u>1,744,323.78</u>            | <u>19,375,076.70</u>            | <u>21,119,400.48</u>            |
| <b>Student Services</b>                                  |                                |                                 |                                 |
| U.S. Department of Education                             |                                | 3,017.15                        | 3,017.15                        |
| <b>Scholarships &amp; Fellowships</b>                    |                                |                                 |                                 |
| National Science Foundation (NSF)                        |                                | 66,057.51                       | 66,057.51                       |
| U.S. Department of Education                             |                                | 1,087,725.05                    | 1,087,725.05                    |
| U.S. Department of Health and Human Services             | 4,512.08                       | 305,059.48                      | 309,571.56                      |
| Subtotal - Scholarship & Fellowships                     | <u>4,512.08</u>                | <u>1,458,842.04</u>             | <u>1,463,354.12</u>             |
| <b>TOTAL RESTRICTED CURRENT FUNDS - FEDERAL AGENCIES</b> | <b>\$ <u>35,678,256.08</u></b> | <b>\$ <u>115,457,601.74</u></b> | <b>\$ <u>151,135,857.82</u></b> |

SCHEDULE S-8  
THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
SCHEDULE OF CHANGES IN FUND BALANCES - UNEXPENDED PLANT FUNDS  
FOR THE YEAR ENDED AUGUST 31, 2017

|  | ADDITIONS                 |                                      |               |                                    | DEDUCTIONS |              |   |              |                                |                                      |              | Balances<br>Aug. 31, 2017 |
|--|---------------------------|--------------------------------------|---------------|------------------------------------|------------|--------------|---|--------------|--------------------------------|--------------------------------------|--------------|---------------------------|
|  | BALANCES<br>Sept. 1, 2016 | Interest and<br>Investment<br>Income | Other         | Expenditures<br>Not<br>Capitalized | Land       | Buildings    | Facilities<br>and Other<br>Improvements | Equipment    | Construction<br>in<br>Progress | Amortizable<br>Purchased<br>Software | Other        |                           |
|  |                           |                                      |               |                                    |            |              |   |              |                                |                                      |              |                           |
| <b>FROM PERMANENT UNIVERSITY</b>                 |                           |                                      |               |                                    |            |              |   |              |                                |                                      |              |                           |
| <b>FUND BONDS ONLY</b>                           |                           |                                      |               |                                    |            |              |   |              |                                |                                      |              |                           |
| Repair & Rehabilitation (701-809)                | \$ 7,630.78               | \$ -                                 | (7,630.78)    | \$ -                               | \$ -       | \$ -         | \$ -                                    | \$ -         | \$ -                           | \$ -                                 | \$ -         | \$ 143,628.36             |
| Library & Equipment (701-880)                    | 328,552.10                | -                                    | -             | 144,136.07                         | -          | -            | 29,936.78                               | -            | -                              | 10,850.89                            | -            | 55,357.94                 |
| Repair & Rehabilitation (701-881)                | 288,890.30                | -                                    | 38,106.37     | 1,015.00                           | -          | -            | -                                       | -            | -                              | -                                    | -            | 536,532.82                |
| Faculty Star (701-892)                           | 775,968.95                | -                                    | -             | 144,086.89                         | -          | 325,981.67   | -                                       | 498,382.12   | 78,142.00                      | -                                    | -            | 399,888.86                |
| Faculty Star (701-920)                           | 1,096,322.14              | -                                    | -             | 34,841.32                          | -          | -            | -                                       | 524,948.00   | -                              | -                                    | -            | 812,783.64                |
| Faculty Star (701-959)                           | 863,118.18                | -                                    | -             | 59,383.02                          | -          | -            | -                                       | 403,846.30   | -                              | -                                    | -            | 2,246,187.00              |
| Repair & Rehabilitation (701-988)                | 1,488,214.23              | -                                    | 51,589.04     | 89,746.45                          | -          | 265,653.07   | -                                       | 1,024,987.99 | 371,620.11                     | -                                    | -            | 3,750,680.74              |
| Faculty Star (701-1021)                          | 1,750,000.00              | -                                    | 1,750,000.00  | 223,614.01                         | -          | -            | -                                       | 1,437,813.26 | 76,150.00                      | -                                    | -            | 1,489,686.44              |
| Faculty Star (701-1025)                          | 5,654,844.31              | -                                    | 2,050,000.00  | 265,885.98                         | -          | 185,340.37   | -                                       | 250,000.00   | 124,973.19                     | -                                    | -            | 6,593,343.85              |
| Repair & Rehabilitation (701-1076)               | -                         | -                                    | 7,213,636.00  | 348,747.46                         | -          | -            | -                                       | 271,544.69   | -                              | -                                    | -            | -                         |
| Faculty Star (701-1096)                          | -                         | -                                    | -             | -                                  | -          | -            | -                                       | -            | -                              | -                                    | -            | -                         |
| Total From PUF Bonds                             | 12,253,540.99             | -                                    | 11,095,700.63 | 1,311,256.20                       | -          | 901,489.44   | -                                       | 4,441,469.14 | 656,086.30                     | 10,850.89                            | -            | 16,028,089.85             |
| <b>FROM INTEREST EARNED ON CONSTRUCTION</b>      |                           |                                      |               |                                    |            |              |   |              |                                |                                      |              |                           |
| Unallocated Interest Earned (906A)               | 203,239.94                | 828,652.97                           | -             | -                                  | -          | -            | -                                       | -            | -                              | -                                    | 983,081.21   | 48,811.70                 |
| OCB S. Wing Renovation (907ET)                   | -                         | -                                    | 200,000.00    | 27,235.07                          | -          | -            | -                                       | -            | -                              | -                                    | -            | 172,764.93                |
| UCT 1840 Renovation (907ER)                      | -                         | -                                    | 404,218.06    | 27,823.99                          | -          | -            | -                                       | -            | 284,613.20                     | -                                    | -            | 91,780.87                 |
| Taleo Learning Center (907CU)                    | -                         | -                                    | 4,130.50      | 4,130.50                           | -          | -            | -                                       | -            | -                              | -                                    | -            | -                         |
| MSB McGovern Signage (907ED)                     | -                         | -                                    | 374,732.65    | 33,013.15                          | -          | 331,295.83   | -                                       | -            | -                              | -                                    | -            | 10,423.67                 |
| Total From Interest Earned On Construction       | 203,239.94                | 828,652.97                           | 983,081.21    | 92,202.71                          | -          | 331,295.83   | -                                       | -            | 284,613.20                     | -                                    | 983,081.21   | 323,781.17                |
| <b>FROM UNALLOCATED OTHER SOURCES</b>            |                           |                                      |               |                                    |            |              |   |              |                                |                                      |              |                           |
| Unallocated Other Sources (905A)                 | 13,619,775.33             | -                                    | 3,137,005.70  | -                                  | -          | -            | -                                       | -            | -                              | -                                    | -            | 16,756,782.03             |
| Total From Unallocated Other Sources             | 13,619,775.33             | -                                    | 3,137,005.70  | -                                  | -          | -            | -                                       | -            | -                              | -                                    | -            | 16,756,782.03             |
| <b>FROM RFS BONDS PROCEEDS</b>                   |                           |                                      |               |                                    |            |              |   |              |                                |                                      |              |                           |
| South Campus Parking Lot Expansion (701-1083)    | 755,401.18                | -                                    | (755,000.00)  | -                                  | -          | -            | -                                       | -            | -                              | -                                    | 401.18       | -                         |
| University Housing Phase III Expansion (701-709) | 293,795.25                | -                                    | -             | -                                  | -          | -            | -                                       | -            | -                              | -                                    | 293,795.25   | -                         |
| Total From RFS Bonds                             | 1,049,196.43              | -                                    | (755,000.00)  | -                                  | -          | -            | -                                       | -            | -                              | -                                    | 294,196.43   | -                         |
| <b>FROM OTHER SOURCES ONLY</b>                   |                           |                                      |               |                                    |            |              |   |              |                                |                                      |              |                           |
| FPE Capital Improvement Funds (907A)             | 453,085.80                | 92,038.86                            | (6)           | 63,615.57                          | (4)        | 345,161.47   | -                                       | -            | -                              | -                                    | 453,138.05   | 539,217.97                |
| HCFPC Capital Improvement Funds (907I)           | 1,713,428.04              | 383,615.79                           | (2)           | 150,070.32                         | (6)        | -            | -                                       | -            | -                              | -                                    | 4,540,486.03 | 1,479,583.18              |
| CLAMC Capital Software (907CH)                   | 703,133.64                | -                                    | -             | -                                  | -          | -            | -                                       | 25,868.60    | -                              | -                                    | 44,388.53    | 677,265.04                |
| OCB Generator Fuel Train (907CO)                 | 389,550.00                | -                                    | -             | -                                  | -          | -            | -                                       | -            | -                              | -                                    | -            | -                         |
| HCFPC Unit 2D Renovation (907CR)                 | -                         | 12,515.30                            | (6)           | 12,515.30                          | -          | -            | -                                       | -            | -                              | -                                    | 2,459.46     | -                         |
| UCT 17th Floor Renovation (907CT)                | 44,636.00                 | -                                    | -             | 42,176.54                          | -          | -            | -                                       | -            | -                              | -                                    | 150,070.32   | -                         |
| HCFPC Sunrise 15.1 Upgrade (907CW)               | 150,070.32                | -                                    | -             | -                                  | -          | 106,687.22   | -                                       | -            | -                              | -                                    | 4,029.78     | -                         |
| IMM Mech Rm HVAC (907CZ)                         | 110,717.00                | -                                    | -             | -                                  | -          | -            | -                                       | -            | -                              | -                                    | -            | -                         |
| REC Pool Renov. (907DA)                          | -                         | 707,538.70                           | (5)           | 11.98                              | -          | 209,138.40   | -                                       | -            | -                              | -                                    | -            | -                         |
| MSB Hofberger Lab (907DB)                        | 33,475.49                 | 110,674.89                           | (2)           | 65,000.00                          | (3)        | -            | -                                       | -            | -                              | -                                    | -            | -                         |
| RPC Multi Stack Chiller (907DC)                  | 40,120.50                 | -                                    | -             | 18,890.32                          | -          | -            | -                                       | (14,629.50)  | -                              | -                                    | 35,859.68    | -                         |
| BBS Animal Facility (907DE)                      | 3,044,311.86              | -                                    | -             | 185,746.16                         | -          | 2,241,883.98 | -                                       | 588,586.30   | -                              | -                                    | 9,456.14     | 28,095.42                 |
| SON 5th Floor Renovations (907DH)                | 9,456.14                  | -                                    | -             | -                                  | -          | -            | -                                       | -            | -                              | -                                    | -            | -                         |
| UCT 18th Floor Renovation (907DI)                | 18,300.73                 | -                                    | -             | 18,300.73                          | -          | -            | -                                       | -            | -                              | -                                    | 604.00       | -                         |
| SON Roof Replacement (907DK)                     | 233,500.00                | 566,604.00                           | (2)           | 5,730.00                           | -          | 793,266.00   | -                                       | -            | -                              | -                                    | -            | 504.00                    |

SCHEDULE S-8 (CONTINUED)

|  | ADDITIONS                 |                                      |               |                                    | DEDUCTIONS   |              |   |              |              |                                |                                      | Balances<br>Aug. 31, 2017 |       |              |
|--|---------------------------|--------------------------------------|---------------|------------------------------------|--------------|--------------|---|--------------|--------------|--------------------------------|--------------------------------------|---------------------------|-------|--------------|
|  | BALANCES<br>Sept. 1, 2016 | Interest and<br>Investment<br>Income | Other         | Expenditures<br>Not<br>Capitalized | Land         | Buildings    | ADDITIONS TO PHYSICAL PROPERTIES        |              | Equipment    | Construction<br>in<br>Progress | Amortizable<br>Purchased<br>Software |                           | Other |              |
|  |                           |                                      |               |                                    |              |              | Facilities<br>and Other<br>Improvements | Facilities   |              |                                |                                      |                           |       |              |
| \$   | \$                        | \$                                   | \$            | \$                                 | \$           | \$           | \$                                      | \$           | \$           | \$                             | \$                                   | \$                        |       |              |
| FROM OTHER SOURCES ONLY CONT'D             |                           |                                      |               |                                    |              |              |   |              |              |                                |                                      |                           |       |              |
| SON 4th Floor Renovation (907DL)           | 11,672.02                 | -                                    | 9,456.14      | (6)                                | 179.00       | -            | -                                       | -            | 11,507.38    | -                              | -                                    | 1,565.26                  | (6)   | 9,620.78     |
| RAHC Room Renovation (907DL)               | 1,744.26                  | -                                    | -             | -                                  | 40,665.88    | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 424,057.42   |
| UCT 8th Floor Renovation (907DM)           | 40,665.88                 | -                                    | -             | -                                  | 316,913.69   | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 1,529,594.90 |
| HMC Peck Dental Clinic (907DN)             | 1,336,706.29              | -                                    | -             | -                                  | -            | -            | -                                       | 595,735.18   | -            | -                              | -                                    | -                         | -     | -            |
| RAS 2nd Floor Library (907DP)              | -                         | -                                    | 1,264,000.00  | (2)                                | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| Applicant Tracking System (907DO)          | 656,696.68                | -                                    | 323,411.95    | (6)                                | 8,448.04     | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 427,859.59   |
| OCB 2,100 Renovation (907DP)               | 114,313.84                | -                                    | -             | -                                  | 6,112.62     | -            | -                                       | -            | 220,389.05   | -                              | -                                    | 113,887.38                | (6)   | 51,471.91    |
| HPCP Unit 1C Renovation (907DU)            | 13,165.00                 | -                                    | 500,000.00    | (6)                                | 14,853.75    | -            | -                                       | 446,839.34   | -            | -                              | -                                    | -                         | -     | 25,747.19    |
| HPCP Unit 2C Renovation (907DV)            | 23,695.00                 | -                                    | 676,895.11    | (6)                                | 14,328.73    | -            | -                                       | 666,012.62   | -            | -                              | -                                    | -                         | -     | 270,684.07   |
| HPCP Unit 2D Renovation (907DW)            | (116,850.00)              | -                                    | 694,075.62    | (6)                                | 11,973.94    | -            | -                                       | 539,898.89   | -            | -                              | -                                    | -                         | -     | 54,114.07    |
| HPCP Unit 2E Renovation (907DX)            | 21,885.00                 | -                                    | 520,248.76    | (6)                                | 4,423.75     | -            | -                                       | 451,653.71   | -            | -                              | -                                    | -                         | -     | 53,909.18    |
| HPCP Unit 3B Renovation (907DY)            | 20,910.00                 | -                                    | 500,000.00    | (6)                                | 15,142.22    | -            | -                                       | 485,802.30   | -            | -                              | -                                    | -                         | -     | 43,096.62    |
| HPCP Unit 3C Renovation (907DZ)            | 22,070.00                 | -                                    | 500,000.00    | (6)                                | 17,358.52    | -            | -                                       | 449,528.03   | -            | -                              | -                                    | -                         | -     | 1,841,856.55 |
| HPCP Unit 3D Renovation (907EA)            | 25,557.50                 | -                                    | 500,000.00    | (6)                                | 16,303.00    | -            | -                                       | 474,655.41   | -            | -                              | -                                    | -                         | -     | 220,047.12   |
| HPCP Unit 3E Renovation (907EB)            | 27,077.00                 | -                                    | 500,000.00    | (6)                                | 9,324.97     | -            | -                                       | -            | 158,143.45   | -                              | -                                    | -                         | -     | 191,061.61   |
| JUL 2nd Floor Renovation (907EC)           | 2,000,000.00              | -                                    | -             | -                                  | 64,897.40    | -            | -                                       | -            | 2,327,447.86 | -                              | -                                    | 7,156.87                  | (6)   | 4,950,015.41 |
| OCB Renovation (907EE)                     | 2,498,505.00              | -                                    | 113,887.38    | (6)                                | 12,443.13    | -            | -                                       | -            | 73,032.84    | -                              | -                                    | -                         | -     | 900,000.00   |
| SCR3 Tashman Lab (907EF)                   | 10,485.00                 | -                                    | 253,313.57    | (2)                                | 1,800.75     | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 45,536.40    |
| MSE Vivarium Drainage (907EG)              | 19,600.00                 | -                                    | 443,500.00    | (2)                                | 31,075.00    | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| MSB EM Suite Mods (907EH)                  | 4,550,274.00              | -                                    | 200,000.00    | (2)                                | 24,768.13    | 54,130.99    | -                                       | 39,771.97    | -            | -                              | -                                    | -                         | -     | -            |
| MSB Pathology LIS (907EI)                  | 700,000.00                | -                                    | 18,671.09     | (4)                                | 100,000.00   | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 61,687.13    |
| MSB Dean's Office (907EJ)                  | -                         | -                                    | 121,256.11    | (2)                                | 9,325.00     | 111,983.36   | -                                       | -            | -            | -                              | 292,816.80                           | -                         | -     | -            |
| SON Exterior Facade (907EK)                | -                         | -                                    | 354,503.93    | (2)                                | 118.00       | 115,152.88   | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| SOD Washer Disinfector (907EL)             | -                         | -                                    | 115,270.88    | (2)                                | 15,824.32    | 126,262.35   | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| Email Archive Expansion (907EM)            | -                         | -                                    | 142,066.67    | (2)                                | 157,000.00   | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| UCT HR Stairwell Exp. (907EN)              | -                         | -                                    | 157,000.00    | (6)                                | 295,785.80   | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| UCT 14th Floor Renovation (907EO)          | -                         | -                                    | 45,691.20     | (2)                                | 485,565.00   | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| HPCP Aliscrips 17.3 (907EP)                | -                         | -                                    | 142,144.61    | (2)                                | 107,190.00   | 107,190.00   | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| SRB Lighting Upgrades (907EQ)              | -                         | -                                    | 1,027,412.40  | (5)                                | 37,825.60    | -            | -                                       | 44,594.89    | -            | 405,424.13                     | -                                    | 125,000.00                | (6)   | 157,000.00   |
| Scurlcock Tower LI (907ES)                 | -                         | -                                    | 485,565.00    | (2)                                | 37,825.60    | -            | -                                       | -            | -            | 58,784.05                      | -                                    | -                         | -     | 216,477.00   |
| BBS Tashman Lab (907EU)                    | -                         | -                                    | 107,190.00    | (5)                                | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| UTPB Suite 128 (907EV)                     | -                         | -                                    | 1,027,412.40  | (5)                                | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| UTPB Elevator Modernization (907EX)        | -                         | -                                    | 50,000.00     | (2)                                | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| CLAMC Primate Sanctuary (907EY)            | -                         | -                                    | 2,502.14      | (2)                                | 2,502.14     | -            | -                                       | 417,062.65   | -            | -                              | -                                    | -                         | -     | (2,280.62)   |
| UTP - Sierra Health Center (27033)         | -                         | -                                    | 814,706.46    | (2)                                | 34,055.02    | -            | -                                       | 1,011,121.30 | -            | -                              | -                                    | -                         | -     | 83,360.56    |
| UTP - NAIP CHWC Beaumont (27037)           | -                         | -                                    | 1,011,121.30  | (2)                                | -            | -            | -                                       | 150,683.00   | -            | -                              | -                                    | -                         | -     | -            |
| UTP - NAIP CHWC Halls Bayou (27038)        | -                         | -                                    | 150,458.00    | (2)                                | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| UTP - NAIP CHWC Richmond/Rosenberg (27042) | -                         | -                                    | 4,393.00      | (2)                                | 4,393.00     | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | (225.00)     |
| UTP - Dashwood Health Center (27043)       | -                         | -                                    | 69,468.75     | (2)                                | -            | -            | -                                       | 69,468.75    | -            | -                              | -                                    | -                         | -     | -            |
| UTP - DSRIP Dashwood Pedit. (27044)        | -                         | -                                    | 119,072.36    | (2)                                | -            | 119,072.36   | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| UTP - TI Projects (27045)                  | -                         | -                                    | 265,017.76    | (2)                                | -            | -            | -                                       | 265,017.76   | -            | -                              | -                                    | -                         | -     | -            |
| UTP - Bayshore Administration (27046)      | -                         | -                                    | 104,165.18    | (2)                                | -            | -            | -                                       | 104,165.18   | -            | -                              | -                                    | -                         | -     | -            |
| UTP - Dashwood Adult Behavioral (27049)    | -                         | -                                    | 29,066.25     | (2)                                | -            | -            | -                                       | -            | 29,066.25    | -                              | -                                    | -                         | -     | -            |
| UTP - HOSP Ortho (27050)                   | -                         | -                                    | 25,130.75     | (2)                                | -            | -            | -                                       | -            | 25,130.75    | -                              | -                                    | -                         | -     | -            |
| UTP - Cypress Ortho (27051)                | -                         | -                                    | -             | -                                  | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | -            |
| Total From Other Sources                   | 18,922,157.99             | -                                    | 19,220,842.70 |                                    | 1,046,824.83 | 4,329,929.01 | 707,538.70                              | 6,765,397.28 | 5,103,059.84 | 292,816.80                     | 5,508,350.26                         | 14,389,083.97             |       |              |
| FROM MULTIPLE SOURCES                      |                           |                                      |               |                                    |              |              |   |              |              |                                |                                      |                           |       |              |
| Replacement Res Facility (701-160)         | 726,382.00                | -                                    | -             | -                                  | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 726,382.00   |
| Private Gifts - Restricted Fund            | 726,382.00                | -                                    | -             | -                                  | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 726,382.00   |
| Total Replacement Res Facility             | 1,452,764.00              | -                                    | -             | -                                  | -            | -            | -                                       | -            | -            | -                              | -                                    | -                         | -     | 1,452,764.00 |

SCHEDULE S-8 (CONTINUED)

|   | ADDITIONS                 |                                      |                   |           | DEDUCTIONS                         |   |           |                  |                                      |                                |                  | Balances<br>Aug. 31, 2017 |                   |
|---|---------------------------|--------------------------------------|-------------------|-----------|------------------------------------|---|-----------|------------------|--------------------------------------|--------------------------------|------------------|---------------------------|-------------------|
|   | Balances<br>Sept. 1, 2016 | Interest and<br>Investment<br>Income | Other             |           | Expenditures<br>Not<br>Capitalized | ADDITIONS TO PHYSICAL PROPERTIES        |           |                  | Amortizable<br>Purchased<br>Software | Construction<br>in<br>Progress | Other            |                           |                   |
|   |                           |                                      | Land              | Buildings |                                    | Facilities<br>and Other<br>Improvements | Equipment |                  |                                      |                                |                  |                           |                   |
| FROM MULTIPLE SOURCES - Contd.                              |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           |                   |
| Research Park Complex (701-320)                             | 4,253.17                  | -                                    | 16,000.00 (7)     | \$        | -                                  | -                                       | -         | \$               | -                                    | -                              | -                | \$                        | (6,233.93)        |
| Private Gifts - Restricted Fund                             |                           |                                      | (26,487.10) (8)   |           |                                    |   |           |                  |                                      |                                |                  |                           |                   |
| Gift Pledge Revenue - Restricted Fund                       | 4,253.17                  | -                                    | (10,487.10)       |           |                                    |   |           |                  |                                      |                                |                  |                           | (6,233.93)        |
| Total Research Park Complex                                 |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           |                   |
| Campus Solutions Upgrade                                    | 359,519.87                | -                                    | 96,375.00 (3)     |           |                                    |   |           |                  |                                      | 455,894.87                     |                  |                           | -                 |
| Restricted Funds (907DS)                                    |                           |                                      | 868,708.95 (4)    |           | 7,572.67                           |   |           |                  |                                      | 868,708.95                     |                  |                           | 1,778,180.35      |
| General Funds (907DS)                                       | 4,372,821.00              |                                      | 74,562.90 (2)     |           |                                    |   |           |                  |                                      | 2,661,730.86                   |                  |                           |                   |
| Other Sources - Designated (907DS)                          | 4,732,440.87              |                                      | 1,039,646.85      |           | 7,572.67                           |   |           |                  |                                      | 3,986,334.70                   |                  |                           | 1,778,180.35      |
| Total Campus Solutions Upgrade                              |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           |                   |
| Renovation and Modernization of Ed. & Res Facilities        | -                         | -                                    | 82,654,140.43 (1) |           | 365,503.72                         | 3,444,760.82                            |           |                  |                                      | 22,965,983.06                  |                  |                           | 55,877,892.83     |
| Tuition Revenue Bond Proceeds (701-950)                     |                           |                                      | 31,360,000.00 (1) |           | (145,102.75)                       | (336,842.41)                            |           |                  |                                      | (2,308,395.80)                 |                  | 2,790,340.96 (6)          | 31,360,000.00     |
| RFS Bond Proceeds (701-950)                                 |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           | -                 |
| Other Sources - Unallocated Reserves (701-950)              |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           | -                 |
| Total Renovation and Modernization of Ed. & Res. Facilities |                           |                                      | 114,014,140.43    |           | 220,400.97                         | 3,107,918.41                            |           |                  |                                      | 20,657,587.26                  |                  | 2,790,340.96              | 87,237,892.83     |
| SPH Kitchen & Sim Lab                                       |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           | -                 |
| Restricted Funds (907CE)                                    |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           | -                 |
| General Funds (907CE)                                       |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           | -                 |
| Gifts Contributed (907CE)                                   | 269,583.81                |                                      |                   |           | 937.50                             |   |           |                  |                                      |                                |                  | 266,111.95 (6)            | 2,534.36          |
| Other Sources - Designated (907CE)                          | 269,583.81                |                                      |                   |           | 937.50                             |   |           |                  |                                      |                                |                  | 266,111.95                | 2,534.36          |
| Total SPH Kitchen & Sim Lab                                 |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           |                   |
| Academic Extension Building Renovation                      |                           |                                      | 23,005,912.40 (1) |           | 28,755.43                          | 285,113.24                              |           |                  |                                      | 2,304,023.28                   |                  |                           | 20,388,020.45     |
| RFS Bond Proceeds (701-937)                                 |                           |                                      |                   |           |                                    |   |           |                  |                                      | (342,641.02)                   |                  |                           | -                 |
| Other Sources - Unallocated Reserves (701-937)              |                           |                                      |                   |           |                                    |   |           |                  |                                      |                                |                  |                           | -                 |
| Total Academic Extension Building Renovation                |                           |                                      | 23,005,912.40     |           | 28,755.43                          | 285,113.24                              |           |                  |                                      | 1,961,382.26                   |                  | 342,641.02                | 20,388,020.45     |
| Total From Multiple Sources                                 | \$ 5,732,659.85           | \$ -                                 | \$ 138,049,212.58 | \$        | \$ 257,666.57                      | \$ -3,393,031.65                        | \$        | \$ -             | \$ -                                 | \$ 26,605,304.22               | \$               | \$ -                      | \$ 110,126,776.06 |
| TOTAL UNEXPENDED PLANT FUNDS                                | \$ 51,780,570.53          | \$ 828,652.97                        | \$ 171,730,843.82 | \$        | \$ 2,707,950.31                    | \$ 8,955,745.93                         | \$        | \$ 11,206,866.42 | \$ 303,667.69                        | \$ 32,649,063.56               | \$ 10,184,721.83 | \$ -                      | \$ 157,624,512.88 |
| Schedule References   | B-8                       |                                      | S-11a             |           | S-11b                              |   | S-11c     |                  | S-11d                                |                                | S-11e            |                           | B-8               |





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SCHEDULE S-11a  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - LAND  
 FOR THE PERIOD ENDED AUGUST 31, 2017

| Year Acquired                     | Size in Acres       | Beginning Value Sept. 1, 2016 | Additions   | Disposals & Adjustments | Ending Value            | Carrying Value August 31, 2017                |                             |                        |                    |                        |
|-----------------------------------|---------------------|-------------------------------|-------------|-------------------------|-------------------------|---|-----------------------------|------------------------|--------------------|------------------------|
|                                   |                     |                               |             |                         |                         | From General Tuition Revenue Bonds & Interest | Institutional Revenue Bonds | From Private Gifts     | From Other Sources |                        |
| 1971-1974                         | 5.8353              | \$ 815,463.00                 | \$ -        | \$ -                    | \$ 815,463.00           | \$ -  | \$ -                        | \$ 815,463.00          | \$ -               | \$ -                   |
| 1987                              | 16.7800             | 1,389,000.00                  | -           | -                       | 1,389,000.00            | 1,389,000.00                                  |                             |                        |                    |                        |
| 1970                              | 3.6920              | 515,937.98                    | -           | -                       | 515,937.98              |   |                             | 515,937.98             |                    |                        |
| 2002                              | 5.1156              | 2,293,945.50                  | -           | -                       | 2,293,945.50            |   |                             |                        |                    | 2,293,945.50           |
| 1970<br>2011                      | 81.4050<br>(5.0800) | 1,540,876.00                  | -           | -                       | 1,540,876.00            |   |                             | 1,540,876.00           |                    |                        |
| 1986                              | 2.0430              | 3,114,755.00                  | -           | -                       | 3,114,755.00            |   | 3,114,755.00                |                        |                    |                        |
| 2002                              | 1.9020              | 4,149,078.97                  | -           | -                       | 4,149,078.97            |   |                             | 4,149,078.97           |                    |                        |
| 2016                              | 3.4429              | 17,314,565.86                 | -           | -                       | 17,314,565.86           |   | 10,600,000.00               |                        |                    | 6,714,565.86           |
| <b>TOTAL LAND (Schedule B-11)</b> |                     | <b>\$ 31,133,622.31</b>       | <b>\$ -</b> | <b>\$ -</b>             | <b>\$ 31,133,622.31</b> | <b>\$ 1,389,000.00</b>                        | <b>\$ 13,714,755.00</b>     | <b>\$ 7,021,355.95</b> | <b>\$ -</b>        | <b>\$ 9,008,511.36</b> |

Analysis of Additions and Adjustments:  
 Total

SCHEDULE S-11b  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - BUILDINGS  
 FOR THE PERIOD ENDED AUGUST 31, 2017

|  | Year Completed or Acquired | Square Feet      | Carrying Value August 31, 2017 |                                       |                         | Depreciation             |                         |                         | Ending Total             | Net Basis                |
|--|----------------------------|------------------|--------------------------------|---------------------------------------|-------------------------|--------------------------|-------------------------|-------------------------|--------------------------|--------------------------|
|  |                            |                  | Beginning Value Sept. 1, 2016  | Additions                             | Disposals & Adjustments | Ending Value             | Disposals & Adjustments | Additions               |                          |                          |
| EDUCATIONAL  |                            |                  |                                |                                       |                         |                          |                         |                         |                          |                          |
| Behavioral and Biomedical Sciences Building (BBS,BREF)         | 2010                       | 152,000          | \$ 70,480,530.47               | \$ 2,241,883.98 (1) \$ 355,685.14 (2) | \$ (1) \$ (2)           | \$ 73,078,102.59         | \$                      | \$ 4,054,177.13         | \$ 29,577,078.63         | \$ 43,501,023.96         |
| Central Plant - Research Park Complex (BSB)                    | 2010                       | 16,390           | 25,668,911.18                  | 97,154.44 (1) 44,302.65 (2)           | (1) (2)                 | 25,810,368.27            |                         | 1,443,128.66            | 10,298,353.96            | 15,512,014.31            |
| Cyclotron Facility (CYF)                                       | 1984                       | 17,300           | 5,047,952.04                   |                                       |                         | 5,047,952.04             |                         | 120,060.71              | 4,039,392.73             | 1,008,559.31             |
| F. Sarofim Research Building (SRB,IMM)                         | 2006                       | 256,000          | 109,676,352.19                 | 199,685.98 (1) 133,267.73 (2)         | (1) (2)                 | 110,009,308.90           |                         | 5,811,757.48            | 67,591,350.08            | 42,417,958.82            |
| Medical School Building (MSB)                                  | 1978                       | 883,000          | 144,330,267.33                 | 3,015,663.08 (1) 508,440.38 (2)       | (1) (2)                 | 147,854,370.79           |                         | 4,261,611.67            | 109,721,466.63           | 38,132,904.16            |
| Medical School Expansion Building (MSE)                        | 2008                       | 198,000          | 76,779,784.60                  |                                       |                         | 76,779,784.60            |                         | 4,196,200.13            | 41,087,082.44            | 35,692,702.16            |
| Operations Center Building & Annex (OCB)                       | 1987                       | 227,100          | 15,568,024.26                  | 345,161.47 (1) 10,450.00 (2)          | (1) (2)                 | 15,923,635.73            |                         | 640,262.65              | 11,295,229.69            | 4,628,406.04             |
| Regional/Acad. Health Ctr. - Brownsville (RAC)                 | 2002                       | 30,600           | 9,615,476.97                   |                                       |                         | 9,615,476.97             |                         | 457,600.03              | 5,799,559.44             | 3,815,917.53             |
| School of Nursing & Student Comm. Ctr & Service Building (SON) | 2004                       | 193,400          | 67,303,250.97                  | 868,762.87 (1) 63,943.25 (2)          | (1) (2)                 | 68,235,357.09            |                         | 3,208,324.14            | 43,275,124.16            | 24,960,232.93            |
| School of Public Health (RAS)                                  | 1977                       | 231,700          | 22,928,166.53                  | 649,448.59 (1) 331,779.86 (2)         | (1) (2)                 | 23,909,394.98            |                         | 646,477.30              | 16,828,657.74            | 7,080,737.24             |
| School of Dentistry Building (SOD)                             | 2012                       | 251,285          | 116,458,411.06                 | 111,983.36 (1)                        | (1)                     | 116,570,394.42           |                         | 6,511,204.24            | 34,660,409.88            | 81,909,984.54            |
| Cooley Life Center (CLC)                                       | 2012                       | 14,985           | 8,550,503.77                   |                                       |                         | 8,550,503.77             |                         | 507,505.23              | 2,706,694.59             | 5,843,809.18             |
| University Center Tower (UCT)                                  | 1996                       | 746,600          | 63,333,221.61                  | 914,623.56 (1) 36,654.05 (2)          | (1) (2)                 | 64,284,499.22            |                         | 2,526,113.39            | 40,199,168.29            | 24,085,330.93            |
| UT Professional Building (UPB)                                 | 2005                       | 351,200          | 37,429,304.79                  | 226,262.36 (1)                        | (1)                     | 37,655,567.15            |                         | 1,897,326.47            | 19,346,329.52            | 18,309,237.63            |
| UT Professional Garage (UPG)                                   | 2005                       | 630,000          | 10,356,179.56                  |                                       |                         | 10,356,179.56            |                         | 416,483.76              | 5,233,843.89             | 5,122,335.67             |
| Cinco Ranch Health (CRH)                                       | 2013                       | 10,519           | 2,317,156.46                   |                                       |                         | 2,317,156.46             |                         | 120,594.73              | 582,874.55               | 1,734,281.91             |
| Jesse Jones Library Building (JUL)                             | 2016                       | 160,000          | 15,414,320.14                  | 285,113.24                            |                         | 15,699,433.38            |                         | 516,186.62              | 887,456.84               | 15,011,976.54            |
| <b>Total Educational Plant</b>                                 |                            | <b>4,370,079</b> | <b>\$ 801,257,813.93</b>       | <b>\$ 10,439,671.99</b>               | <b>\$</b>               | <b>\$ 811,697,485.92</b> | <b>\$</b>               | <b>\$ 37,335,014.34</b> | <b>\$ 442,930,073.06</b> | <b>\$ 368,767,412.86</b> |



SCHEDULE S-11c  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - FACILITIES AND OTHER IMPROVEMENTS  
 FOR THE PERIOD ENDED AUGUST 31, 2017

|  | Year Constructed or Acquired | Carrying Value August 31, 2017 |                         |                 | Depreciation            |                |                 | Ending Total    | Net Basis |
|--|------------------------------|--------------------------------|-------------------------|-----------------|-------------------------|----------------|-----------------|-----------------|-----------|
|  |                              | Beginning Value Sept. 1, 2016  | Disposals & Adjustments | Additions       | Disposals & Adjustments | Annual Expense | Ending Total    |                 |           |
| EDUCATIONAL                                      |                              |                                |                         |                 |                         |                |                 |                 |           |
| Flood Protection Project (UCT)                   | 1979-1980                    | \$ 226,999.96                  | \$ -                    | \$ 226,999.96   | \$ -                    | \$ -           | \$ 226,999.96   | \$ -            |           |
| Inter Institution Library Enhancements (JLL)     | 1989-1993                    | 136,484.60                     |                         | 136,484.60      |                         | 4,337.03       | 111,822.34      | 24,662.26       |           |
| F. Sarofim Research Bldg - Fountain (SRF)        | 2006                         | 1,187,063.35                   |                         | 1,187,063.35    |                         | 59,353.16      | 672,669.25      | 514,394.10      |           |
| F. Sarofim Research Bldg - Landscaping (SRB)     | 2006                         | 269,558.18                     |                         | 269,558.18      |                         | -              | 269,558.18      | -               |           |
| Medical School Building - Landscaping (MSB)      | 2006                         | 359,278.29                     |                         | 359,278.29      |                         | -              | 359,278.29      | -               |           |
| Medical School Building - Paths and Trails (MSB) | 2006                         | 514,582.51                     |                         | 514,582.51      |                         | 15,593.41      | 514,582.51      | -               |           |
| Medical School Building - Fountain (MSB)         | 2006                         | 113,137.62                     |                         | 113,137.62      |                         | 5,656.87       | 65,996.95       | 47,140.67       |           |
| Medical School Building - Parking Lot (MSB)      | 2006                         | 310,243.25                     |                         | 310,243.25      |                         | 15,512.16      | 180,976.25      | 129,268.00      |           |
| Research Park Complex - Fencing and Gates (BBS)  | 2010                         | 62,283.39                      |                         | 62,283.39       |                         | 2,491.34       | 18,979.40       | 43,303.99       |           |
| Research Park Complex - Landscaping (BBS)        | 2010                         | 79,946.69                      |                         | 79,946.69       |                         | 7,994.67       | 61,270.72       | 18,675.97       |           |
| Research Park Complex - Sprinkler System (BBS)   | 2010                         | 66,457.31                      |                         | 66,457.31       |                         | 4,430.48       | 33,887.19       | 32,570.12       |           |
| Research Park Complex - Paths and Trails (BBS)   | 2010                         | 373,302.35                     |                         | 373,302.35      |                         | 33,936.57      | 260,151.37      | 113,150.98      |           |
| Research Park Complex - Parking Lot (BBS)        | 2010                         | 1,221,670.07                   |                         | 1,221,670.07    |                         | 61,083.50      | 463,408.56      | 758,261.51      |           |
| Research Park Complex - Parking Lot (BBS)        | 2012                         | 1,736,391.55                   |                         | 1,736,391.55    |                         | 86,819.58      | 463,037.76      | 1,273,353.79    |           |
| Research Park Complex - Paths and Trails (BBS)   | 2012                         | 13,719.00                      |                         | 13,719.00       |                         | 1,247.18       | 6,651.63        | 7,067.37        |           |
| School of Dentistry - Fencing and Gates (SOD)    | 2012                         | 57,111.52                      |                         | 57,111.52       |                         | 2,284.46       | 12,183.79       | 44,927.73       |           |
| School of Dentistry - Landscaping (SOD)          | 2012                         | 807,242.27                     |                         | 807,242.27      |                         | 80,724.22      | 430,529.22      | 376,713.05      |           |
| School of Dentistry - Parking Lot (SOD)          | 2012                         | 312,051.43                     |                         | 312,051.43      |                         | 15,602.57      | 83,213.71       | 228,837.72      |           |
| School of Dentistry - Sprinkler System (SOD)     | 2012                         | 153,263.00                     |                         | 153,263.00      |                         | 10,217.54      | 54,493.50       | 98,769.50       |           |
| School of Dentistry - Paths and Trails (SOD)     | 2012                         | 192,714.08                     |                         | 192,714.08      |                         | 17,519.46      | 93,437.12       | 99,276.96       |           |
| Cooley Life Center - Landscaping (CLC)           | 2012                         | 168,744.67                     |                         | 168,744.67      |                         | 16,874.47      | 89,997.17       | 78,747.50       |           |
| Cooley Life Center - Sprinkler System (CLC)      | 2012                         | 61,054.56                      |                         | 61,054.56       |                         | 4,070.31       | 21,708.28       | 39,346.28       |           |
| SON/PAS - South Campus Parking Lot               | 2006                         | 618,239.83                     |                         | 618,239.83      |                         | 30,911.99      | 370,943.88      | 247,295.95      |           |
| RAAS - Landscaping                               | 2016                         | 277,247.28                     |                         | 277,247.28      |                         | 27,724.73      | 36,966.31       | 240,280.97      |           |
| Total Educational Plant                          |                              | \$ 9,318,786.76                | \$ -                    | \$ 9,318,786.76 | \$ -                    | \$ 504,385.70  | \$ 4,902,742.34 | \$ 4,416,044.42 |           |

SCHEDULE S-11c (continued)

| Year Constructed or Acquired                            | Carrying Value August 31, 2017 |                         |                  | Depreciation                  |           |                         | Net Basis       |
|---|--------------------------------|-------------------------|------------------|-------------------------------|-----------|-------------------------|-----------------|
|   | Beginning Value Sept. 1, 2016  | Disposals & Adjustments | Ending Value     | Beginning Total Sept. 1, 2016 | Additions | Disposals & Adjustments |                 |
| AUXILIARY   |                                |                         |                  |                               |           |                         |                 |
| Recreational Facility (REC)                             | \$ 1,148,585.25                | \$ -                    | \$ 1,148,585.25  | \$ 998,566.86                 | \$ -      | \$ -                    | \$ 1,010,677.61 |
| Recreational Facility - Swimming Pool (REC)             | 500,718.00                     | 707,538.70 (1)          | 1,211,012.70     | 227,020.07                    | -         | -                       | 262,032.83      |
| Recreational Facility - Paths & Trails (REC)            | 326,115.00                     | 2,756.00 (2)            | 326,115.00       | 128,469.55                    | -         | -                       | 158,116.37      |
| Recreational Facility - Parking Lot (REC)               | 1,176,598.82                   | -                       | 1,176,598.82     | 4,902.50                      | -         | -                       | 63,732.44       |
| Student/Faculty Apartments - Landscaping (SFA)          | 324,306.59                     | -                       | 324,306.59       | 324,306.59                    | -         | -                       | 324,306.59      |
| South Campus Parking Lot (SFA)                          | 485,129.00                     | -                       | 485,129.00       | 250,649.98                    | -         | -                       | 274,906.43      |
| Student/Faculty Apartments - Parking Lot (SFA)          | 3,324,452.74                   | -                       | 3,324,452.74     | 406,021.04                    | -         | -                       | 572,243.67      |
| Student/Faculty Apartments - Landscaping (UHA)          | 169,790.20                     | -                       | 169,790.20       | 169,790.20                    | -         | -                       | 169,790.20      |
| Student/Faculty Apartments - Recreational Area (UHA)    | 262,559.88                     | -                       | 262,559.88       | 244,326.55                    | -         | -                       | 262,559.88      |
| Student/Faculty Apartments - Paths and Trails (UHA)     | 478,598.49                     | -                       | 478,598.49       | 478,598.49                    | -         | -                       | 478,598.49      |
| Student/Faculty Apartments - Fencing (UHA)              | 118,874.85                     | -                       | 118,874.85       | 53,097.42                     | -         | -                       | 57,852.42       |
| University Housing Phase III - Landscaping (UH3)        | 534,905.12                     | -                       | 534,905.12       | 120,353.65                    | -         | -                       | 173,844.16      |
| University Housing Phase III - Fencing (UH3)            | 115,164.96                     | -                       | 115,164.96       | 10,364.85                     | -         | -                       | 14,971.45       |
| University Housing Phase III - Paths and Trails (UH3)   | 233,947.02                     | -                       | 233,947.02       | 47,852.80                     | -         | -                       | 69,120.71       |
| University Housing Phase III - Parking Lot (UH3)        | 89,979.62                      | -                       | 89,979.62        | 10,122.71                     | -         | -                       | 14,621.69       |
| Total Auxiliary Plant                                   | \$ 9,289,725.54                | \$ 710,294.70           | \$ 10,000,020.24 | \$ 3,475,443.26               | \$ -      | \$ -                    | \$ 3,907,374.94 |
| Cinco Ranch Health - Landscaping                        | 22,483.63                      | -                       | 22,483.63        | 8,618.72                      | -         | -                       | 10,867.08       |
| Cinco Ranch Health - Sprinkler System                   | 19,342.00                      | -                       | 19,342.00        | 4,942.97                      | -         | -                       | 6,232.44        |
| Cinco Ranch Health - Parking Lot                        | 2,147.72                       | -                       | 2,147.72         | 411.66                        | -         | -                       | 519.05          |
| TOTAL FACILITIES AND OTHER IMPROV. (Sched B-11)         | \$ 18,652,485.65               | \$ 710,294.70           | \$ 19,362,780.35 | \$ 7,887,732.25               | \$ -      | \$ -                    | \$ 8,827,735.85 |
| Analysis of Additions and Adjustments:                  |                                |                         |                  |                               |           |                         |                 |
| (1) From Unexpended Plant Funds (S-8)                   | \$ 707,538.70                  | -                       | \$ 707,538.70    | -                             | -         | -                       | -               |
| (2) From Completion of Construction in Progress (S-11e) | 2,756.00                       | -                       | 2,756.00         | -                             | -         | -                       | -               |
| Total   | \$ 710,294.70                  | -                       | \$ 710,294.70    | -                             | -         | -                       | -               |

Analysis of Additions and Adjustments:  
 (1) From Unexpended Plant Funds (S-8)  
 (2) From Completion of Construction in Progress (S-11e)  
 Total

SCHEDULE S-11d  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - EQUIPMENT  
 FOR THE PERIOD ENDED AUGUST 31, 2017

| Class<br>Code            | Description  | Carrying Value August 31, 2017 |             |                             |                     |                     |
|--------------------------|--|--------------------------------|-------------|-----------------------------|---------------------|---------------------|
|                          |  | Beginning<br>Value             | Reclass     | Adjusted<br>Beginning Value | Additions           | Disposals           |
|                          |  | Sept. 1, 2016                  | Adjustment  | Sept. 1, 2016               |                     |                     |
| <b>OTHER DEPRECIABLE</b> |  |                                |             |                             |                     |                     |
| 91                       | Leasehold Improvements UTP                               | \$ 13,664,253.59               | \$          | \$ 13,664,253.59            | \$ 2,539,455.71     | \$                  |
| 91                       | Leasehold Improvements                                   | 33,430,685.12                  |             | 33,430,685.12               | 4,232,091.83        |                     |
| 751                      | Library Books & Reference Materials-Depreciable          | 4,046,158.81                   |             | 4,046,158.81                | 127,564.54          | (231,095.11)        |
| 752                      | Library Books & Reference Materials                      | 0.00                           |             | 0.00                        |                     |                     |
| 801                      | Works of Art, Historical Treasures (Depreciable)         | 156,300.00                     |             | 156,300.00                  |                     |                     |
|                          | <b>Total Other Depreciable UHealth</b>                   | <b>37,633,143.93</b>           | <b>0.00</b> | <b>37,633,143.93</b>        | <b>4,359,656.37</b> | <b>(231,095.11)</b> |
|                          | <b>Total Other Depreciable Blended</b>                   | <b>51,297,397.52</b>           | <b>0.00</b> | <b>51,297,397.52</b>        | <b>6,899,112.08</b> | <b>(231,095.11)</b> |
| <b>VEHICLES</b>          |  |                                |             |                             |                     |                     |
| 701                      | Passenger Cars UTP                                       | \$ 0.00                        | \$          | \$ 0.00                     | \$ 68,270.35        | \$                  |
| 701                      | Passenger Cars   | 795,382.12                     |             | 795,382.12                  | 25,427.00           |                     |
| 708                      | Vans, Cargo & Passenger                                  | 1,402,121.78                   |             | 1,402,121.78                | -                   | (52,684.00)         |
| 709                      | Light Trucks (under 8,600 lbs GVWR)                      | 630,673.43                     |             | 630,673.43                  | 130,544.00          | (18,527.37)         |
| 725                      | Marine Equipment   | 0.00                           |             | 0.00                        |                     |                     |
|                          | <b>Total Vehicles UHealth</b>                            | <b>2,828,177.33</b>            | <b>0.00</b> | <b>2,828,177.33</b>         | <b>155,971.00</b>   | <b>(71,211.37)</b>  |
|                          | <b>Total Vehicles Blended</b>                            | <b>2,828,177.33</b>            | <b>0.00</b> | <b>2,828,177.33</b>         | <b>224,241.35</b>   | <b>(71,211.37)</b>  |
| <b>EQUIPMENT</b>         |  |                                |             |                             |                     |                     |
| 101                      | Desks  | \$ 33,687.69                   | \$          | \$ 33,687.69                | \$ 9,242.31         | \$ (5,000.25)       |
| 102                      | Tables   | 133,734.52                     |             | 133,734.52                  | 23,894.00           |                     |
| 103                      | Chairs   | 6,910.12                       |             | 6,910.12                    |                     |                     |
| 105                      | Cases, Cabinets & Credenzas                              | 874,478.77                     |             | 874,478.77                  |                     | (19,881.28)         |
| 110                      | Other Office Furniture                                   | 42,065.64                      |             | 42,065.64                   |                     |                     |
| 120                      | Photocopying Equipment                                   | 30,617.43                      |             | 30,617.43                   |                     |                     |
| 129                      | Sound Systems and Other Audio Equipment                  | 532,946.20                     |             | 532,946.20                  | 5,325.00            | (23,761.00)         |
| 130                      | Cameras  | 3,358,816.91                   |             | 3,358,816.91                | 85,101.97           | (103,987.34)        |
| 131                      | Video Recorder/Laserdisk Player (TV, VCR, Camcorder)     | 143,732.79                     |             | 143,732.79                  | 50,057.53           |                     |
| 132                      | Other Sound Systems/Equipment                            | 0.00                           |             | 0.00                        |                     |                     |
| 134                      | Recreational Equipment: Bicycle, Pool Table              | 190,115.70                     |             | 190,115.70                  |                     | (39,533.70)         |
| 135                      | Video Conferencing Equipment                             | 1,191,899.60                   |             | 1,191,899.60                | 23,976.81           | (23,400.18)         |
| 144                      | Warehouse Equipment: Forklift                            | 138,672.54                     |             | 138,672.54                  |                     |                     |
| 145                      | Mailroom Equipment: Folder, Inserter, Labeler, Band Tier | 6,515.00                       |             | 6,515.00                    | 21,856.44           |                     |
| 150                      | Instructional Equipment                                  | 2,085,323.77                   |             | 2,085,323.77                | 189,079.23          |                     |
| 156                      | Drills, Stationary                                       | 17,775.20                      |             | 17,775.20                   |                     |                     |
| 158                      | Grinders, Stationary                                     | 0.00                           |             | 0.00                        |                     |                     |
| 159                      | Lathes, Stationary                                       | 0.00                           |             | 0.00                        |                     |                     |
| 161                      | Milling Machines   | 0.00                           |             | 0.00                        |                     |                     |
| 162                      | Pallet Trucks, Lifts, Jacks, Hydraulic                   | 58,200.09                      |             | 58,200.09                   |                     |                     |
| 163                      | Saws, Stationary   | 0.00                           |             | 0.00                        |                     |                     |
| 165                      | Shapers, Joiners, Planers, Stationary                    | 0.00                           |             | 0.00                        |                     |                     |
| 170                      | Tools  | 25,801.00                      |             | 25,801.00                   | 12,552.00           |                     |
| 179                      | Office Machines  | 157,747.61                     |             | 157,747.61                  |                     |                     |
| 180                      | Miscellaneous Machines                                   | 1,114,201.96                   |             | 1,114,201.96                | 89,433.00           |                     |
| 190                      | Printing Machines & Bookbinding Equipment                | 1,532,776.29                   |             | 1,532,776.29                |                     |                     |
| 195                      | Kitchen Appliances & Equipment                           | 586,568.73                     |             | 586,568.73                  | 30,801.80           | (96,054.05)         |
| 197                      | Building Maintenance & Safety Equipment                  | 1,192,970.23                   |             | 1,192,970.23                | 953,569.93          |                     |
| 198                      | Portable Building  | 0.00                           |             | 0.00                        |                     |                     |
| 200                      | Other Furniture & Equipment                              | 1,614,582.35                   |             | 1,614,582.35                | 48,037.40           | (195,453.64)        |
| 202                      | Mainframe Computer & Peripherals                         | 585,603.02                     |             | 585,603.02                  |                     | (50,045.76)         |
| 203                      | Minicomputers, Servers                                   | 17,144,919.75                  |             | 17,144,919.75               | 7,191,971.78        | (786,029.04)        |
| 204                      | Desktop CPU (not Apple)                                  | 1,976,987.05                   |             | 1,976,987.05                | 26,130.97           | (497,789.38)        |
| 205                      | Peripheral Devices Microcomputer: Disk, Tape, Optical    | 0.00                           |             | 0.00                        |                     |                     |
| 208                      | Printers   | 207,799.93                     |             | 207,799.93                  | 547,144.29          | (33,951.85)         |
| 209                      | Terminal, Monitor  | 76,317.43                      |             | 76,317.43                   |                     | (38,258.06)         |
| 210                      | Controllers: Tape, Disk, Terminal                        | 0.00                           |             | 0.00                        |                     |                     |
| 213                      | Other Computer Hardware                                  | 7,641,835.58                   |             | 7,641,835.58                | 579,273.03          | (1,566,635.08)      |
| 214                      | CPU Desktop - Apple                                      | 0.00                           |             | 0.00                        |                     |                     |
| 218                      | Data Projectors: 'Proxima' or Dataviewers w/o Projector  | 120,033.40                     |             | 120,033.40                  | 39,987.61           | (10,757.08)         |
| 219                      | Personal Digital Assistant                               | 6,500.00                       |             | 6,500.00                    |                     |                     |
| 241                      | Image Scanner  | 210,840.45                     |             | 210,840.45                  |                     | (18,629.00)         |
| 242                      | Barcode Scanner  | 0.00                           |             | 0.00                        |                     |                     |
| 245                      | Power Supply, Battery, Generator                         | 66,629.80                      |             | 66,629.80                   | 17,240.60           |                     |
| 250                      | Modem, External  | 20,837.50                      |             | 20,837.50                   |                     |                     |
| 256                      | VSAT S   | 8,964.00                       |             | 8,964.00                    |                     |                     |
| 260                      | Computer Equipment Racks, Shelving, Chassis              | 971,674.53                     |             | 971,674.53                  |                     | (19,794.00)         |
| 284                      | Portable CPU (not Apple)                                 | 241,402.03                     |             | 241,402.03                  |                     | (20,270.70)         |
| 294                      | Portable Apple CPU                                       | 0.00                           |             | 0.00                        |                     |                     |



SCHEDULE S-11d  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - EQUIPMENT  
 FOR THE PERIOD ENDED AUGUST 31, 2017

| DEPRECIATION         |                                  |                    |   |                     |                     |                      |                      |
|----------------------|----------------------------------|--------------------|---|---------------------|---------------------|----------------------|----------------------|
| Ending Value         | Beginning Total<br>Sept. 1, 2016 | Reclass Adjustment | Adjusted Beginning Value<br>Sept. 1, 2016 | Additions           | Disposals           | Ending Total         | Net Basis            |
| \$ 16,203,709.30     | \$ 3,152,798.74                  | \$                 | \$ 3,152,798.74                           | \$ 2,158,083.68     |                     | \$ 5,310,882.42      | \$ 10,892,826.88     |
| 37,662,776.95        | 13,505,924.99                    |                    | 13,505,924.99                             | 1,885,949.14        |                     | 15,391,874.13        | 22,270,902.82        |
| 3,942,628.24         | 2,421,148.32                     |                    | 2,421,148.32                              | 180,212.07          | (231,095.11)        | 2,370,265.28         | 1,572,362.96         |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 156,300.00           | 124,832.29                       |                    | 124,832.29                                | 925.00              |                     | 125,757.29           | 30,542.71            |
| <b>41,761,705.19</b> | <b>16,051,905.60</b>             | <b>0.00</b>        | <b>16,051,905.60</b>                      | <b>2,067,086.21</b> | <b>(231,095.11)</b> | <b>17,887,896.70</b> | <b>23,873,808.49</b> |
| <b>57,965,414.49</b> | <b>19,204,704.34</b>             | <b>0.00</b>        | <b>19,204,704.34</b>                      | <b>4,225,169.89</b> | <b>(231,095.11)</b> | <b>23,198,779.12</b> | <b>34,766,635.37</b> |
| \$ 68,270.35         | \$ 0.00                          | \$                 | \$ 0.00                                   | \$ 9,481.99         | \$                  | \$ 9,481.99          | \$ 58,788.36         |
| 820,809.12           | 424,040.92                       |                    | 424,040.92                                | 106,034.47          |                     | 530,075.39           | 290,733.73           |
| 1,349,437.78         | 1,116,331.43                     |                    | 1,116,331.43                              | 126,728.75          | (52,684.00)         | 1,190,376.18         | 159,061.60           |
| 742,690.06           | 491,170.09                       |                    | 491,170.09                                | 49,957.14           | (18,527.37)         | 522,599.86           | 220,090.20           |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     | 0.00                | 0.00                 | 0.00                 |
| <b>2,912,936.96</b>  | <b>2,031,542.44</b>              | <b>0.00</b>        | <b>2,031,542.44</b>                       | <b>282,720.36</b>   | <b>(71,211.37)</b>  | <b>2,243,051.43</b>  | <b>669,885.53</b>    |
| <b>2,981,207.31</b>  | <b>2,031,542.44</b>              | <b>0.00</b>        | <b>2,031,542.44</b>                       | <b>292,202.35</b>   | <b>(71,211.37)</b>  | <b>2,252,533.42</b>  | <b>728,673.89</b>    |
| \$ 37,929.75         | \$ 33,687.69                     | \$                 | \$ 33,687.69                              | \$ 462.12           | \$ (5,000.25)       | \$ 29,149.56         | \$ 8,780.19          |
| 157,628.52           | 109,974.09                       |                    | 109,974.09                                | 6,557.61            |                     | 116,531.70           | 41,096.82            |
| 6,910.12             | 4,654.73                         |                    | 4,654.73                                  | 575.84              |                     | 5,230.57             | 1,679.55             |
| 854,597.49           | 661,480.44                       |                    | 661,480.44                                | 39,446.99           | (19,372.59)         | 681,554.84           | 173,042.65           |
| 42,065.64            | 34,423.06                        |                    | 34,423.06                                 | 4,206.56            |                     | 38,629.62            | 3,436.02             |
| 30,617.43            | 29,018.21                        |                    | 29,018.21                                 | 661.75              |                     | 29,679.96            | 937.47               |
| 514,510.20           | 408,363.48                       |                    | 408,363.48                                | 28,501.67           | (21,951.08)         | 414,914.07           | 99,596.13            |
| 3,339,931.54         | 2,163,921.55                     |                    | 2,163,921.55                              | 251,256.16          | (83,304.51)         | 2,331,873.20         | 1,008,058.34         |
| 193,790.32           | 90,443.98                        |                    | 90,443.98                                 | 11,520.81           |                     | 101,964.79           | 91,825.53            |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 150,582.00           | 149,172.65                       |                    | 149,172.65                                | 13,684.00           | (34,911.73)         | 127,944.92           | 22,637.08            |
| 1,192,476.23         | 1,094,505.80                     |                    | 1,094,505.80                              | 40,895.54           | (23,400.18)         | 1,112,001.16         | 80,475.07            |
| 138,672.54           | 106,976.00                       |                    | 106,976.00                                | 6,402.48            |                     | 113,378.48           | 25,294.06            |
| 28,371.44            | 2,117.38                         |                    | 2,117.38                                  | 1,744.32            |                     | 3,861.70             | 24,509.74            |
| 2,274,403.00         | 389,999.90                       |                    | 389,999.90                                | 165,924.10          |                     | 555,924.00           | 1,718,479.00         |
| 17,775.20            | 17,775.20                        |                    | 17,775.20                                 |                     |                     | 17,775.20            | 0.00                 |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 58,200.09            | 25,480.54                        |                    | 25,480.54                                 | 4,850.01            |                     | 30,330.55            | 27,869.54            |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 38,353.00            | 7,821.14                         |                    | 7,821.14                                  | 2,231.50            |                     | 10,052.64            | 28,300.36            |
| 157,747.61           | 129,165.49                       |                    | 129,165.49                                | 10,743.58           |                     | 139,909.07           | 17,838.54            |
| 1,203,634.96         | 967,904.94                       |                    | 967,904.94                                | 70,106.63           |                     | 1,038,011.57         | 165,623.39           |
| 1,532,776.29         | 652,642.03                       |                    | 652,642.03                                | 61,049.78           |                     | 713,691.81           | 819,084.48           |
| 521,316.48           | 487,715.62                       |                    | 487,715.62                                | 31,272.72           | (93,573.67)         | 425,414.67           | 95,901.81            |
| 2,146,540.16         | 933,601.58                       |                    | 933,601.58                                | 83,960.40           |                     | 1,017,561.98         | 1,128,978.18         |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 1,467,166.11         | 1,453,094.92                     |                    | 1,453,094.92                              | 53,623.95           | (189,468.92)        | 1,317,249.95         | 149,916.16           |
| 535,557.26           | 581,616.36                       |                    | 581,616.36                                | 976.32              | (50,045.76)         | 532,546.92           | 3,010.34             |
| 23,550,862.49        | 9,108,849.60                     |                    | 9,108,849.60                              | 3,800,382.20        | (700,462.71)        | 12,208,769.09        | 11,342,093.40        |
| 1,505,328.64         | 1,733,658.92                     |                    | 1,733,658.92                              | 73,867.11           | (435,797.38)        | 1,371,728.65         | 133,599.99           |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 720,992.37           | 113,641.48                       |                    | 113,641.48                                | 76,951.41           | (33,951.85)         | 156,641.04           | 564,351.33           |
| 38,059.37            | 68,480.01                        |                    | 68,480.01                                 | 2,626.10            | (38,258.06)         | 32,848.05            | 5,211.32             |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 6,654,473.53         | 5,591,073.58                     |                    | 5,591,073.58                              | 758,342.66          | (1,562,154.53)      | 4,787,261.71         | 1,867,211.82         |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 149,263.93           | 107,396.60                       |                    | 107,396.60                                | 9,417.75            | (10,757.08)         | 106,057.27           | 43,206.66            |
| 6,500.00             | 2,600.00                         |                    | 2,600.00                                  | 1,300.00            |                     | 3,900.00             | 2,600.00             |
| 192,211.45           | 208,133.45                       |                    | 208,133.45                                | 2,707.00            | (18,629.00)         | 192,211.45           | 0.00                 |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |
| 83,870.40            | 66,629.80                        |                    | 66,629.80                                 | 2,281.62            |                     | 68,911.42            | 14,958.98            |
| 20,837.50            | 20,837.50                        |                    | 20,837.50                                 |                     |                     | 20,837.50            | 0.00                 |
| 8,964.00             | 1,909.00                         |                    | 1,909.00                                  | 996.00              |                     | 2,905.00             | 6,059.00             |
| 951,880.53           | 855,885.49                       |                    | 855,885.49                                | 17,754.56           | (19,794.00)         | 853,846.05           | 98,034.48            |
| 221,131.33           | 211,271.17                       |                    | 211,271.17                                | 10,905.70           | (20,270.70)         | 201,906.17           | 19,225.16            |
| 0.00                 | 0.00                             |                    | 0.00                                      |                     |                     | 0.00                 | 0.00                 |

SCHEDULE S-11d (CONTINUED)

| Class Code  | Description                              | Carrying Value August 31, 2017 |                |                          |                         |                          |
|---|--|--------------------------------|----------------|--------------------------|-------------------------|--------------------------|
|   |  | Beginning Value                | Adjustment     | Adjusted Beginning Value | Additions               | Disposals                |
|   |  | Sept. 1, 2016                  |                | Sept. 1, 2016            |                         |                          |
| <b>EQUIPMENT (continued)</b>                        |  |                                |                |                          |                         |                          |
| 501   | Amplifiers (all types)                   | \$ 134,409.69                  | \$             | \$ 134,409.69            | \$                      | \$                       |
| 502   | Analyzer (all types)                     | 1,914,668.55                   |                | 1,914,668.55             | 220,833.40              |                          |
| 503   | Autoclaves and Sterilizers               | 653,335.92                     |                | 653,335.92               | 47,103.02               |                          |
| 504   | Balance                                  | 71,533.59                      |                | 71,533.59                |                         |                          |
| 505   | Baths, Water and Shakers                 | 266,925.27                     |                | 266,925.27               | 18,389.87               | (16,431.50)              |
| 506   | Animal Cages & Accessories               | 2,162,527.74                   |                | 2,162,527.74             | 506,808.10              | (105,596.25)             |
| 507   | Centrifuge                               | 4,071,093.51                   |                | 4,071,093.51             | 285,221.24              | (172,770.48)             |
| 508   | Chromatograph                            | 1,568,526.00                   |                | 1,568,526.00             | 43,885.57               | (82,976.09)              |
| 509   | Cryostat                                 | 490,629.59                     |                | 490,629.59               | 87,452.84               |                          |
| 510   | Counter Laboratory Assembly              | 62,735.46                      |                | 62,735.46                |                         | (20,640.46)              |
| 511   | Densitometer                             | 55,000.00                      |                | 55,000.00                |                         |                          |
| 512   | Electronic Module                        | 8,712.00                       |                | 8,712.00                 |                         |                          |
| 513   | Electrophoresis Apparatus                | 887,454.69                     |                | 887,454.69               |                         | (65,221.18)              |
| 514   | Evaporators                              | 77,045.04                      |                | 77,045.04                |                         |                          |
| 515   | Fraction Collector                       | 20,983.70                      |                | 20,983.70                |                         |                          |
| 516   | Freeze Dryers & Accessories              | 207,914.18                     |                | 207,914.18               |                         | (10,863.56)              |
| 517   | Freezer (lab)                            | 3,634,890.50                   |                | 3,634,890.50             | 428,374.06              | (112,763.66)             |
| 518   | Homogenizer                              | 43,835.00                      |                | 43,835.00                |                         |                          |
| 519   | Hood (all types)                         | 576,305.96                     |                | 576,305.96               | 86,391.69               |                          |
| 521   | Incubators & Accessories                 | 1,520,305.48                   |                | 1,520,305.48             | 205,634.60              | (22,074.31)              |
| 522   | Isolator                                 | 165,895.10                     |                | 165,895.10               | 125,428.36              |                          |
| 523   | Micromanipulator                         | 514,141.20                     |                | 514,141.20               | 6,508.00                |                          |
| 524   | Meters, Gauges, Indicators               | 1,807,231.86                   |                | 1,807,231.86             |                         | (53,075.00)              |
| 525   | Microscopes & Accessories                | 17,765,276.47                  |                | 17,765,276.47            | 2,193,072.34            | (406,698.29)             |
| 526   | Micro Tomes, Diamond Knives, Sharpeners  | 411,518.73                     |                | 411,518.73               | 437,859.28              | (5,875.59)               |
| 527   | Optical Equipment                        | 279,649.10                     |                | 279,649.10               |                         |                          |
| 528   | Oscilloscope                             | 164,803.59                     |                | 164,803.59               |                         | (21,086.77)              |
| 529   | Ovens and Ranges (lab)                   | 36,353.78                      |                | 36,353.78                |                         |                          |
| 530   | Pumps                                    | 160,311.59                     |                | 160,311.59               |                         | (16,480.74)              |
| 531   | Recording Systems                        | 325,195.93                     |                | 325,195.93               |                         |                          |
| 532   | Refrigerators (lab)                      | 209,820.35                     |                | 209,820.35               | 36,140.52               | (14,205.86)              |
| 533   | Rotors and Heads                         | 361,914.67                     |                | 361,914.67               | 14,209.40               |                          |
| 534   | Scan Systems                             | 471,636.34                     |                | 471,636.34               | 200,499.30              | (27,995.00)              |
| 535   | Scintillation Systems                    | 278,200.05                     |                | 278,200.05               |                         | (12,740.00)              |
| 536   | Ultrasound Equipment                     | 2,391,326.47                   |                | 2,391,326.47             | 34,246.00               | (304,239.00)             |
| 537   | Spectrofluorometer                       | 286,602.12                     |                | 286,602.12               |                         |                          |
| 538   | Spectrometer                             | 3,817,233.76                   |                | 3,817,233.76             | 28,684.90               | (14,042.26)              |
| 539   | Spectrophotometer                        | 959,332.09                     |                | 959,332.09               | 8,338.10                | (56,020.57)              |
| 540   | Stereotaxic Instrument & Accessories     | 20,703.27                      |                | 20,703.27                |                         |                          |
| 541   | Stimulator                               | 121,894.62                     |                | 121,894.62               | 19,100.00               |                          |
| 542   | Tables, Dissecting, Operating, Balancing | 87,817.12                      |                | 87,817.12                |                         | (5,996.00)               |
| 543   | Tanks, Containers, Chambers (all types)  | 39,042.58                      |                | 39,042.58                |                         |                          |
| 544   | Water Purification                       | 179,593.13                     |                | 179,593.13               | 40,360.09               | (7,915.00)               |
| 545   | X-Ray Equipment                          | 2,659,636.23                   |                | 2,659,636.23             | 131,159.00              | 0.00                     |
| 546   | Misc Lab & Scientific Equipment          | 52,731,455.19                  |                | 52,731,455.19            | 2,484,279.11            | (1,528,215.66)           |
| 547   | Patient Monitoring Systems               | 917,275.21                     |                | 917,275.21               |                         | (16,218.00)              |
| 548   | Breathing Apparatus, Respirator          | 157,132.88                     |                | 157,132.88               | 12,400.00               |                          |
| 549   | Defibrillator                            | 70,716.90                      |                | 70,716.90                |                         | 0.00                     |
| 550   | EKG/ECG/EEG Apparatus                    | 454,184.00                     |                | 454,184.00               | 33,455.73               | (6,495.00)               |
| 551   | Dialysis Equipment                       | 0.00                           |                | 0.00                     |                         |                          |
| 552   | Clinical Diagnostic Instruments          | 617,712.35                     |                | 617,712.35               | 160,901.00              | (7,375.00)               |
| 553   | Table (exam)                             | 69,036.75                      |                | 69,036.75                | 0.00                    | 0.00                     |
| 554   | Dental Equipment                         | 1,277,067.96                   |                | 1,277,067.96             | 80,844.89               |                          |
| 555   | Wheelchairs                              | 0.00                           |                | 0.00                     |                         |                          |
| 556   | Miscellaneous Surgical Instruments       | 14,571.95                      |                | 14,571.95                |                         |                          |
| 557   | Patient Care Miscellaneous               | 141,686.87                     |                | 141,686.87               | 10,535.00               | 0.00                     |
| 559   | DNA Sequencer & Accessories              | 0.00                           |                | 0.00                     | 77,017.58               | 0.00                     |
| 675   | PBX, KSU, Voice Mail, Phone System       | 4,623,628.52                   |                | 4,623,628.52             | 5,815.06                | (5,430.00)               |
| 700   | Phone Equipment (other than systems)     | 77,926.50                      |                | 77,926.50                |                         |                          |
| 712   | Trailers                                 | 7,500.00                       |                | 7,500.00                 |                         |                          |
| 720   | Other Equipment: Forklift, Grader, Other | 119,947.17                     |                | 119,947.17               |                         | (12,361.84)              |
| <b>Total Equipment UTHealth</b>                     |  | <b>157,574,288.88</b>          | <b>0.00</b>    | <b>157,574,288.88</b>    | <b>18,015,623.75</b>    | <b>(6,681,034.46)</b>    |
| Furniture & Equipment UTP                           |  | 13,623,029.76                  |                | 13,623,029.76            | 785,204.12              | (319,564.63)             |
| <b>Total Equipment Blended</b>                      |  | <b>\$ 171,197,318.64</b>       | <b>\$ 0.00</b> | <b>\$ 171,197,318.64</b> | <b>\$ 18,800,827.87</b> | <b>\$ (7,000,599.09)</b> |
| <b>Overall Total UTHealth</b>                       |  | <b>198,035,610.14</b>          | <b>0.00</b>    | <b>198,035,610.14</b>    | <b>22,531,251.12</b>    | <b>(6,983,340.94)</b>    |
| <b>Overall Total UTPhysicians</b>                   |  | <b>27,287,283.35</b>           | <b>0.00</b>    | <b>27,287,283.35</b>     | <b>3,392,930.18</b>     | <b>(319,564.63)</b>      |
| <b>Overall Blended Totals</b>                       |  | <b>\$ 225,322,893.49</b>       | <b>\$ 0.00</b> | <b>\$ 225,322,893.49</b> | <b>\$ 25,924,181.30</b> | <b>\$ (7,302,905.57)</b> |
| Analysis of Additions and Adjustments:              |  | Total Sllid                    | Equipment      | Vehicles                 | Other Depr              |                          |
| From Auxiliary Funds                                |  | \$ 984,397.33                  | 984,397.33     | 0.00                     |                         |                          |
| From Restricted Funds                               |  | 1,840,264.63                   | 1,840,264.63   |                          | 0.00                    |                          |
| From Designated Funds                               |  | 10,374,238.67                  | 10,163,117.63  | 138,999.35               | 72,121.69               |                          |
| From Educational and General Funds                  |  | 432,024.45                     | 291,339.60     | 85,242.00                | 55,442.85               |                          |
| From Unexpended Plant Funds (S-8)                   |  | 11,206,866.42                  | 5,202,225.16   |                          | 6,004,641.26            |                          |
| From Completion of Construction in Progress (S-11e) |  | 1,086,389.80                   | 319,483.52     |                          | 766,906.28              |                          |
|   |  | \$ 25,924,181.30               | 18,800,827.87  | 224,241.35               | 6,899,112.08            |                          |
| Reclass for Interagency Transfers In                |  | 0.00                           | 0.00           |                          |                         |                          |
|   |  | \$ 25,924,181.30               | 18,800,827.87  | 224,241.35               | 6,899,112.08            |                          |

## SCHEDULE S-11d (CONTINUED)

| DEPRECIATION             |                               |                |  |                         |                          |                          |                         |
|--------------------------|-------------------------------|----------------|--|-------------------------|--------------------------|--------------------------|-------------------------|
| Ending Value             | Beginning Total Sept. 1, 2016 | Adjustment     | Adjusted Beginning Value Sept. 1, 2016 | Additions               | Disposals                | Ending Total             | Net Basis               |
| \$ 134,409.69            | \$ 116,255.40                 | \$             | \$ 116,255.40                          | \$ 2,218.27             | \$                       | \$ 118,473.67            | \$ 15,936.02            |
| 2,135,501.95             | 1,552,535.33                  |                | 1,552,535.33                           | 151,634.27              |                          | 1,704,169.60             | 431,332.35              |
| 700,438.94               | 508,423.52                    |                | 508,423.52                             | 28,164.89               |                          | 536,588.41               | 163,850.53              |
| 71,533.59                | 51,726.66                     |                | 51,726.66                              | 2,524.68                |                          | 54,251.34                | 17,282.25               |
| 268,883.64               | 221,353.28                    |                | 221,353.28                             | 10,153.69               | (6,107.95)               | 225,399.02               | 43,484.62               |
| 2,563,739.59             | 1,726,961.95                  |                | 1,726,961.95                           | 184,180.44              | (104,135.79)             | 1,807,006.60             | 756,732.99              |
| 4,183,544.27             | 3,400,270.05                  |                | 3,400,270.05                           | 137,799.56              | (165,547.05)             | 3,372,522.56             | 811,021.71              |
| 1,529,435.48             | 980,521.48                    |                | 980,521.48                             | 112,381.77              | (77,661.13)              | 1,015,242.12             | 514,193.36              |
| 578,082.43               | 401,079.15                    |                | 401,079.15                             | 31,071.51               |                          | 432,150.66               | 145,931.77              |
| 42,095.00                | 47,036.95                     |                | 47,036.95                              | 3,003.08                | (14,218.96)              | 35,821.07                | 6,273.93                |
| 55,000.00                | 17,416.67                     |                | 17,416.67                              | 5,500.00                |                          | 22,916.67                | 32,083.33               |
| 8,712.00                 | 4,900.92                      |                | 4,900.92                               | 551.00                  |                          | 5,451.92                 | 3,260.08                |
| 822,233.51               | 831,002.36                    |                | 831,002.36                             | 11,132.27               | (65,221.18)              | 776,913.45               | 45,320.06               |
| 77,045.04                | 41,944.93                     |                | 41,944.93                              | 5,061.40                |                          | 47,006.33                | 30,038.71               |
| 20,983.70                | 7,177.56                      |                | 7,177.56                               | 1,614.13                |                          | 8,791.69                 | 12,192.01               |
| 197,050.62               | 103,247.83                    |                | 103,247.83                             | 13,136.72               | (10,863.56)              | 105,520.99               | 91,529.63               |
| 3,950,500.90             | 2,118,463.80                  |                | 2,118,463.80                           | 296,337.43              | (110,619.91)             | 2,304,181.32             | 1,646,319.58            |
| 43,835.00                | 23,347.58                     |                | 23,347.58                              | 3,652.92                |                          | 27,000.50                | 16,834.50               |
| 662,697.65               | 322,028.38                    |                | 322,028.38                             | 28,028.66               |                          | 350,057.04               | 312,640.61              |
| 1,703,865.77             | 858,116.70                    |                | 858,116.70                             | 107,383.36              | (18,346.20)              | 947,153.86               | 756,711.91              |
| 291,323.46               | 87,522.66                     |                | 87,522.66                              | 19,373.81               |                          | 106,896.47               | 184,426.99              |
| 520,649.20               | 390,009.57                    |                | 390,009.57                             | 21,268.92               |                          | 411,278.49               | 109,370.71              |
| 1,754,156.86             | 1,025,691.26                  |                | 1,025,691.26                           | 116,438.51              | (47,191.70)              | 1,094,938.07             | 659,218.79              |
| 19,551,650.52            | 11,976,136.79                 |                | 11,976,136.79                          | 987,326.93              | (319,129.59)             | 12,644,334.13            | 6,907,316.39            |
| 843,502.42               | 298,666.81                    |                | 298,666.81                             | 21,765.52               | (5,875.59)               | 314,556.74               | 528,945.68              |
| 279,649.10               | 203,259.53                    |                | 203,259.53                             | 30,103.32               |                          | 233,362.85               | 46,286.25               |
| 143,716.82               | 160,347.37                    |                | 160,347.37                             | 557.03                  | (21,086.77)              | 139,817.63               | 3,899.19                |
| 36,353.78                | 36,353.78                     |                | 36,353.78                              |                         |                          | 36,353.78                | 0.00                    |
| 143,830.85               | 128,327.04                    |                | 128,327.04                             | 6,808.81                | (15,123.10)              | 120,012.75               | 23,818.10               |
| 325,195.93               | 229,508.73                    |                | 229,508.73                             | 15,395.24               |                          | 244,903.97               | 80,291.96               |
| 231,755.01               | 134,120.71                    |                | 134,120.71                             | 12,651.58               | (8,778.37)               | 137,993.92               | 93,761.09               |
| 376,124.07               | 152,314.31                    |                | 152,314.31                             | 24,678.33               |                          | 176,992.64               | 199,131.43              |
| 644,140.64               | 340,516.58                    |                | 340,516.58                             | 29,906.50               | (27,995.00)              | 342,428.08               | 301,712.56              |
| 265,460.05               | 240,974.56                    |                | 240,974.56                             | 4,881.99                | (12,740.00)              | 233,116.55               | 32,343.50               |
| 2,121,333.47             | 1,175,759.15                  |                | 1,175,759.15                           | 176,203.40              | (217,779.81)             | 1,134,182.74             | 987,150.73              |
| 286,602.12               | 223,529.80                    |                | 223,529.80                             | 11,503.93               |                          | 235,033.73               | 51,568.39               |
| 3,831,876.40             | 2,283,525.05                  |                | 2,283,525.05                           | 244,695.35              | (6,395.69)               | 2,521,824.71             | 1,310,051.69            |
| 911,649.62               | 728,738.38                    |                | 728,738.38                             | 35,950.08               | (56,020.57)              | 708,667.89               | 202,981.73              |
| 20,703.27                | 20,703.27                     |                | 20,703.27                              |                         |                          | 20,703.27                | 0.00                    |
| 140,994.62               | 78,956.61                     |                | 78,956.61                              | 12,018.97               |                          | 90,975.58                | 50,019.04               |
| 81,821.12                | 63,538.34                     |                | 63,538.34                              | 4,389.52                | (5,996.00)               | 61,931.86                | 19,889.26               |
| 39,042.58                | 34,650.91                     |                | 34,650.91                              | 775.00                  |                          | 35,425.91                | 3,616.67                |
| 212,038.22               | 154,675.04                    |                | 154,675.04                             | 11,392.73               | (7,915.00)               | 158,152.77               | 53,885.45               |
| 2,790,795.23             | 1,992,757.51                  |                | 1,992,757.51                           | 137,741.05              |                          | 2,130,498.56             | 660,296.67              |
| 53,687,518.64            | 40,525,614.51                 |                | 40,525,614.51                          | 3,306,564.39            | (1,508,572.42)           | 42,323,606.48            | 11,363,912.16           |
| 901,057.21               | 859,323.67                    |                | 859,323.67                             | 13,512.69               | (11,435.05)              | 861,401.31               | 39,655.90               |
| 169,532.88               | 77,445.63                     |                | 77,445.63                              | 10,672.33               |                          | 88,117.96                | 81,414.92               |
| 70,716.90                | 54,085.73                     |                | 54,085.73                              | 2,370.33                |                          | 56,456.06                | 14,260.84               |
| 481,144.73               | 268,593.88                    |                | 268,593.88                             | 35,962.22               | (6,245.25)               | 298,310.85               | 182,833.88              |
| 0.00                     | 0.00                          |                | 0.00                                   |                         |                          | 0.00                     | 0.00                    |
| 771,238.35               | 587,763.99                    |                | 587,763.99                             | 30,475.43               | (7,375.00)               | 610,864.42               | 160,373.93              |
| 69,036.75                | 63,020.05                     |                | 63,020.05                              | 1,951.35                |                          | 64,971.40                | 4,065.35                |
| 1,357,912.85             | 494,619.22                    |                | 494,619.22                             | 95,035.31               |                          | 589,654.53               | 768,258.32              |
| 0.00                     | 0.00                          |                | 0.00                                   |                         |                          | 0.00                     | 0.00                    |
| 14,571.95                | 12,297.60                     |                | 12,297.60                              | 880.39                  |                          | 13,177.99                | 1,393.96                |
| 152,221.87               | 125,268.07                    |                | 125,268.07                             | 7,853.62                |                          | 133,121.69               | 19,100.18               |
| 77,017.58                | 0.00                          |                | 0.00                                   | 15,400.97               |                          | 15,400.97                | 61,616.61               |
| 4,624,013.58             | 3,284,270.43                  |                | 3,284,270.43                           | 449,576.32              | (5,430.00)               | 3,728,416.75             | 895,596.83              |
| 77,926.50                | 53,854.83                     |                | 53,854.83                              | 5,554.99                |                          | 59,409.82                | 18,516.68               |
| 7,500.00                 | 1,354.17                      |                | 1,354.17                               | 1,250.00                |                          | 2,604.17                 | 4,895.83                |
| 107,585.33               | 89,107.77                     |                | 89,107.77                              | 11,543.79               | (12,361.84)              | 88,289.72                | 19,295.61               |
| <b>168,908,878.17</b>    | <b>110,616,937.19</b>         | <b>0.00</b>    | <b>110,616,937.19</b>                  | <b>12,698,147.65</b>    | <b>(6,237,272.48)</b>    | <b>117,077,812.36</b>    | <b># 51,831,065.81</b>  |
| 14,088,669.25            | 5,947,867.10                  |                | 5,947,867.10                           | 1,332,619.87            | (208,316.29)             | 7,072,170.68             | 7,016,498.57            |
| <b>\$ 182,997,547.42</b> | <b>\$ 116,564,804.29</b>      | <b>\$ 0.00</b> | <b>\$ 116,564,804.29</b>               | <b>\$ 14,030,767.52</b> | <b>\$ (6,445,588.77)</b> | <b>\$ 124,149,983.04</b> | <b>\$ 58,847,564.38</b> |
| <b>213,583,520.32</b>    | <b>128,700,385.23</b>         | <b>0.00</b>    | <b>128,700,385.23</b>                  | <b>15,047,954.22</b>    | <b>(6,539,578.96)</b>    | <b>137,208,760.49</b>    | <b>76,374,759.83</b>    |
| 30,360,648.90            | 9,100,665.84                  | 0.00           | 9,100,665.84                           | 3,500,185.54            | (208,316.29)             | 12,392,535.09            | 17,968,113.81           |
| <b>\$ 243,944,169.22</b> | <b>\$ 137,801,051.07</b>      | <b>\$ 0.00</b> | <b>\$ 137,801,051.07</b>               | <b>\$ 18,548,139.76</b> | <b>\$ (6,747,895.25)</b> | <b>\$ 149,601,295.58</b> | <b>\$ 94,342,873.64</b> |



SCHEDULE S-11e (CONTINUED)

|  | Carrying Value Sept. 1, 2016 | Additions               | Adjustments    | Adjusted Carrying Value | Deductions     |                        |                                   |                      | Expenditures Not Capitalized | Carrying Value Aug. 31, 2017 |
|--|------------------------------|-------------------------|----------------|-------------------------|----------------|------------------------|-----------------------------------|----------------------|------------------------------|------------------------------|
|  |                              |                         |                |                         | Buildings      | Building Completions   | Facilities and Other Improvements | Equipment            |                              |                              |
| <b>FROM OTHER SOURCES - CONTINUED</b>                                  |                              |                         |                |                         |                |                        |                                   |                      |                              |                              |
| UTP - NAMP CHWC Beaumont (27037)                                       | 301,136.41                   | 363,586.79              | -              | 664,723.20              | -              | -                      | -                                 | 301,136.41           | -                            | 363,586.79                   |
| UTP - NAMP CHWC Halls Bayou (27038)                                    | 42,667.55                    | -                       | -              | 42,667.55               | -              | -                      | -                                 | 42,667.55            | -                            | 0.00                         |
| UTP - DSRIP Dashwood Pkdf. (27044)                                     | 177,149.76                   | -                       | -              | 177,149.76              | -              | -                      | -                                 | 177,149.76           | -                            | 0.00                         |
| UTP - Bayshore Administration (27046)                                  | 983.35                       | -                       | -              | 983.35                  | -              | -                      | -                                 | 983.35               | -                            | 0.00                         |
| UTP - HOSP Ortho (27050)   | 0.00                         | 29,066.25               | -              | 29,066.25               | -              | -                      | -                                 | -                    | -                            | 29,066.25                    |
| UTP - Cypress Ortho (27051)  | 0.00                         | 25,130.75               | -              | 25,130.75               | -              | -                      | -                                 | -                    | -                            | 25,130.75                    |
| <b>Total From Other Sources</b>  | <b>1,371,241.25</b>          | <b>5,103,059.84</b>     | <b>-</b>       | <b>6,474,301.09</b>     | <b>-</b>       | <b>401,130.65</b>      | <b>2,756.00</b>                   | <b>766,906.28</b>    | <b>-</b>                     | <b>5,303,508.16</b>          |
| <b>FROM MULTIPLE SOURCES</b>   |                              |                         |                |                         |                |                        |                                   |                      |                              |                              |
| Renovation and Modernization of Ed. & Res Facilities                   | -                            | 22,965,983.06           | -              | 22,965,983.06           | -              | 464,592.37             | -                                 | -                    | -                            | 22,501,390.69                |
| Tuition Revenue Bond Proceeds (701-950)                                | -                            | -                       | -              | 0.00                    | -              | -                      | -                                 | -                    | -                            | 0.00                         |
| RFS Bond Proceeds (701-950)  | -                            | -                       | -              | 0.00                    | -              | -                      | -                                 | -                    | -                            | 0.00                         |
| Other Sources - Designated (701-950)                                   | 2,308,395.80                 | (2,308,395.80)          | -              | 0.00                    | -              | -                      | -                                 | -                    | -                            | 0.00                         |
| <b>Total Renovation and Modernization of Ed. &amp; Res. Facilities</b> | <b>2,308,395.80</b>          | <b>20,657,587.26</b>    | <b>-</b>       | <b>22,965,983.06</b>    | <b>-</b>       | <b>464,592.37</b>      | <b>-</b>                          | <b>-</b>             | <b>-</b>                     | <b>22,501,390.69</b>         |
| Academic Extension Building Renovation                                 | -                            | 2,304,023.28            | -              | 2,304,023.28            | -              | -                      | -                                 | -                    | -                            | 2,304,023.28                 |
| RFS Bond Proceeds (701-937)  | 342,641.02                   | (342,641.02)            | -              | 0.00                    | -              | -                      | -                                 | -                    | -                            | 0.00                         |
| Other Sources - Designated (701-937)                                   | -                            | -                       | -              | -                       | -              | -                      | -                                 | -                    | -                            | -                            |
| <b>Total Academic Extension Building Renovation</b>                    | <b>342,641.02</b>            | <b>1,961,382.26</b>     | <b>-</b>       | <b>2,304,023.28</b>     | <b>-</b>       | <b>-</b>               | <b>-</b>                          | <b>-</b>             | <b>-</b>                     | <b>2,304,023.28</b>          |
| Campus Solutions Upgrade   | -                            | 455,894.87              | -              | 455,894.87              | -              | -                      | -                                 | -                    | -                            | 455,894.87                   |
| Restricted Funds (907DS)   | -                            | 868,708.95              | -              | 868,708.95              | -              | -                      | -                                 | -                    | -                            | 868,708.95                   |
| General Funds (907DS)  | -                            | 2,661,730.88            | -              | 2,661,730.88            | -              | -                      | -                                 | -                    | -                            | 2,661,730.88                 |
| Other Sources - Designated (907DS)                                     | -                            | -                       | -              | -                       | -              | -                      | -                                 | -                    | -                            | -                            |
| <b>Total Campus Solutions Upgrade</b>                                  | <b>-</b>                     | <b>3,986,334.70</b>     | <b>-</b>       | <b>3,986,334.70</b>     | <b>-</b>       | <b>-</b>               | <b>-</b>                          | <b>-</b>             | <b>-</b>                     | <b>3,986,334.70</b>          |
| <b>Total From Multiple Sources</b>                                     | <b>\$ 2,651,036.82</b>       | <b>\$ 26,605,304.22</b> | <b>\$ 0.00</b> | <b>\$ 29,256,341.04</b> | <b>\$ 0.00</b> | <b>\$ 464,592.37</b>   | <b>\$ 0.00</b>                    | <b>\$ 0.00</b>       | <b>\$ 0.00</b>               | <b>\$ 28,791,748.67</b>      |
| <b>Total from Unexpended Plant Funds</b>                               | <b>\$ 4,819,655.03</b>       | <b>\$ 32,649,063.56</b> | <b>\$ 0.00</b> | <b>\$ 37,468,718.59</b> | <b>\$ 0.00</b> | <b>\$ 1,483,926.06</b> | <b>\$ 2,756.00</b>                | <b>\$ 799,979.88</b> | <b>\$ 0.00</b>               | <b>\$ 35,182,056.65</b>      |

SCHEDULE S-11e (CONTINUED)

|  | Carrying Value<br>Sept. 1, 2016 | Additions               | Adjustments    | Adjusted Carrying Value | Deductions             |                      |                                   |                        | Intangible Assets    | Expenditures Not Capitalized | Carrying Value<br>Aug. 31, 2017 |
|--|---------------------------------|-------------------------|----------------|-------------------------|------------------------|----------------------|-----------------------------------|------------------------|----------------------|------------------------------|---------------------------------|
|  |                                 |                         |                |                         | Buildings              | Building Completions | Facilities and Other Improvements | Equipment              |                      |                              |                                 |
| <b>OTHER THAN UNEXPENDED PLANT FUNDS</b>                         |                                 |                         |                |                         |                        |                      |                                   |                        |                      |                              |                                 |
| CIP - Equipment - Designated                                     | 206,762.10                      | 491,755.36              |                | 698,517.46              |                        |                      |                                   | 206,762.10             |                      |                              | 491,755.36                      |
| CIP - Equipment - Gifts  | 44,794.82                       | 0.00                    |                | 44,794.82               |                        |                      |                                   | 44,794.82              |                      |                              | 0.00                            |
| CIP - Equipment - General Funds                                  | 28,968.00                       | 0.00                    |                | 28,968.00               |                        |                      |                                   | 28,968.00              |                      |                              | 0.00                            |
| CIP - Equipment - Auxiliary Funds                                | 0.00                            | 28,252.74               |                | 28,252.74               |                        |                      |                                   |                        |                      |                              | 28,252.74                       |
| CIP - Equipment - State Agency (53040)                           | 0.00                            | 9,872.01                |                | 9,872.01                |                        |                      |                                   |                        |                      |                              | 9,872.01                        |
| CIP - Equipment - Private Restricted (57015)                     | 5,885.00                        | 0.00                    |                | 5,885.00                |                        |                      |                                   | 5,885.00               |                      |                              | 0.00                            |
| CIP - Computer Software Int. Dev. - Designated (36076)           | 0.00                            | 90.00                   |                | 90.00                   |                        |                      |                                   |                        |                      |                              | 90.00                           |
| CIP - Computer Software Int. Dev. - Federal (50013)              | 40,570.00                       | 334,325.00              |                | 374,895.00              |                        |                      |                                   | 40,570.00              |                      |                              | 334,325.00                      |
| CIP - Computer Software Int. Dev. - State Agency (53010&338)     | 160,000.00                      | 420,419.00              |                | 580,419.00              |                        |                      |                                   | 160,000.00             |                      |                              | 420,419.00                      |
| CIP - Computer Software Int. Dev. - Gifts (58000)                | 75,647.42                       | 6,441.00                |                | 82,088.42               |                        |                      |                                   | 75,647.42              |                      |                              | 6,441.00                        |
| <b>Total from Other Than Unexpended Plant Funds</b>              | <b>\$ 562,627.34</b>            | <b>\$ 1,291,155.11</b>  | <b>\$ 0.00</b> | <b>\$ 1,853,782.45</b>  | <b>\$ 0.00</b>         | <b>\$ 0.00</b>       | <b>\$ 0.00</b>                    | <b>\$ 286,409.92</b>   | <b>\$ 276,217.42</b> | <b>\$ 0.00</b>               | <b>\$ 1,291,155.11</b>          |
| <b>TOTAL CONSTRUCTION IN PROGRESS (Schedule B-11)</b>            | <b>\$ 5,382,282.37</b>          | <b>\$ 33,940,218.67</b> | <b>\$ 0.00</b> | <b>\$ 39,322,501.04</b> | <b>\$ 1,483,926.06</b> | <b>\$ 0.00</b>       | <b>\$ 2,756.00</b>                | <b>\$ 1,086,389.80</b> | <b>\$ 276,217.42</b> | <b>\$ 0.00</b>               | <b>\$ 36,473,211.76</b>         |
| <b>RECAPITULATION BY FUND SOURCE:</b>                            |                                 |                         |                |                         |                        |                      |                                   |                        |                      |                              |                                 |
| <b>Expenditures Unexpended Plant Funds:</b>                      |                                 |                         |                |                         |                        |                      |                                   |                        |                      |                              |                                 |
| Remainder University Fund Bond Proceeds                          | \$ 720,806.17                   | \$ 656,085.30           | \$ 0.00        | \$ 1,376,892.47         | \$ 0.00                | \$ 541,632.25        | \$ 0.00                           | \$ 33,073.60           | \$ 0.00              | \$ 0.00                      | \$ 802,186.62                   |
| PUF Bond Interest Proceeds                                       | 0.00                            | 0.00                    | 0.00           | 0.00                    | 0.00                   | 0.00                 | 0.00                              | 0.00                   | 0.00                 | 0.00                         | 0.00                            |
| Revenue Financing System Bond Proceeds                           | 0.00                            | 2,304,023.28            | 0.00           | 2,304,023.28            | 0.00                   | 0.00                 | 0.00                              | 0.00                   | 0.00                 | 0.00                         | 2,304,023.28                    |
| Tuition Revenue Bond Proceeds                                    | 0.00                            | 22,965,983.06           | 0.00           | 22,965,983.06           | 0.00                   | 464,592.37           | 0.00                              | 0.00                   | 0.00                 | 0.00                         | 22,501,390.69                   |
| Federal Grants   | 0.00                            | 0.00                    | 0.00           | 0.00                    | 0.00                   | 0.00                 | 0.00                              | 0.00                   | 0.00                 | 0.00                         | 0.00                            |
| Interest Earned on Construction Funds                            | 76,570.79                       | 284,613.20              | 0.00           | 361,183.99              | 0.00                   | 76,570.79            | 0.00                              | 0.00                   | 0.00                 | 0.00                         | 284,613.20                      |
| Private Gifts  | 0.00                            | 0.00                    | 0.00           | 0.00                    | 0.00                   | 0.00                 | 0.00                              | 0.00                   | 0.00                 | 0.00                         | 0.00                            |
| Other Sources  | 4,022,278.07                    | 6,438,357.72            | 0.00           | 10,460,635.79           | 0.00                   | 401,130.65           | 2,756.00                          | 766,906.28             | 0.00                 | 0.00                         | 9,289,842.86                    |
| <b>Sub-Total - Expenditures from Unexpended Plant Funds</b>      | <b>\$ 4,819,655.03</b>          | <b>\$ 32,649,063.56</b> | <b>\$ 0.00</b> | <b>\$ 37,468,718.59</b> | <b>\$ 1,483,926.06</b> | <b>\$ 0.00</b>       | <b>\$ 2,756.00</b>                | <b>\$ 799,979.88</b>   | <b>\$ 0.00</b>       | <b>\$ 0.00</b>               | <b>\$ 35,182,056.65</b>         |
| <b>S-8</b>   |                                 |                         |                |                         |                        |                      |                                   |                        |                      |                              |                                 |
| <b>Expenditures from Other than Unexpended Plant Funds:</b>      |                                 |                         |                |                         |                        |                      |                                   |                        |                      |                              |                                 |
| From Designated Funds  | \$ 206,762.10                   | \$ 491,845.36           | \$ 0.00        | \$ 698,607.46           | \$ 0.00                | \$ 0.00              | \$ 0.00                           | \$ 206,762.10          | \$ 0.00              | \$ 0.00                      | \$ 491,845.36                   |
| From Private Gifts Restricted                                    | 120,442.24                      | 6,441.00                | 0.00           | 126,883.24              | 0.00                   | 0.00                 | 0.00                              | 44,794.82              | 75,647.42            | 0.00                         | 6,441.00                        |
| From General Funds   | 28,968.00                       | 0.00                    | 0.00           | 28,968.00               | 0.00                   | 0.00                 | 0.00                              | 28,968.00              | 0.00                 | 0.00                         | 0.00                            |
| From Auxiliary Funds   | 0.00                            | 28,252.74               | 0.00           | 28,252.74               | 0.00                   | 0.00                 | 0.00                              | 0.00                   | 0.00                 | 0.00                         | 28,252.74                       |
| From Private Restricted  | 5,885.00                        | 0.00                    | 0.00           | 5,885.00                | 0.00                   | 0.00                 | 0.00                              | 5,885.00               | 0.00                 | 0.00                         | 0.00                            |
| From Federal Funds   | 40,570.00                       | 334,325.00              | 0.00           | 374,895.00              | 0.00                   | 0.00                 | 0.00                              | 40,570.00              | 0.00                 | 0.00                         | 334,325.00                      |
| From State Agency Funds  | 160,000.00                      | 430,291.01              | 0.00           | 590,291.01              | 0.00                   | 0.00                 | 0.00                              | 160,000.00             | 0.00                 | 0.00                         | 430,291.01                      |
| <b>Sub-Total - Expenditures from Other than Unexpended Plant</b> | <b>\$ 562,627.34</b>            | <b>\$ 1,291,155.11</b>  | <b>\$ 0.00</b> | <b>\$ 1,853,782.45</b>  | <b>\$ 0.00</b>         | <b>\$ 0.00</b>       | <b>\$ 0.00</b>                    | <b>\$ 286,409.92</b>   | <b>\$ 276,217.42</b> | <b>\$ 0.00</b>               | <b>\$ 1,291,155.11</b>          |
| <b>Schedule References</b>                                       |                                 |                         |                |                         |                        |                      |                                   |                        |                      |                              |                                 |
| <b>TOTAL CONSTRUCTION IN PROGRESS (Schedule B-11)</b>            | <b>\$ 5,382,282.37</b>          | <b>\$ 33,940,218.67</b> | <b>\$ 0.00</b> | <b>\$ 39,322,501.04</b> | <b>\$ 1,483,926.06</b> | <b>\$ 0.00</b>       | <b>\$ 2,756.00</b>                | <b>\$ 1,086,389.80</b> | <b>\$ 276,217.42</b> | <b>\$ 0.00</b>               | <b>\$ 36,473,211.76</b>         |
| <b>S-11a S-11b S-11c S-11d S-11g</b>                             |                                 |                         |                |                         |                        |                      |                                   |                        |                      |                              |                                 |

SCHEDULE S-11f  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - INFRASTRUCTURE  
 FOR THE PERIOD ENDED AUGUST 31, 2017

| Year<br>Constructed<br>or Acquired | Carrying Value August 31, 2017      |           |                            |                 | Depreciation                        |                            |                   | Ending<br>Total | Net Basis       |
|------------------------------------|-------------------------------------|-----------|----------------------------|-----------------|-------------------------------------|----------------------------|-------------------|-----------------|-----------------|
|                                    | Beginning<br>Value<br>Sept. 1, 2016 | Additions | Disposals &<br>Adjustments | Ending<br>Value | Beginning<br>Total<br>Sept. 1, 2016 | Disposals &<br>Adjustments | Annual<br>Expense |                 |                 |
| 2011                               | \$ 2,889,204.00                     | \$ -      | \$ -                       | \$ 2,889,204.00 | \$ 326,373.03                       | \$ -                       | \$ 64,204.53      | \$ 390,577.56   | \$ 2,498,626.44 |
| BREF Tunnel (BBT)                  | \$ 2,889,204.00                     | \$ -      | \$ -                       | \$ 2,889,204.00 | \$ 326,373.03                       | \$ -                       | \$ 64,204.53      | \$ 390,577.56   | \$ 2,498,626.44 |
| TOTAL INFRASTRUCTURE (Sched B-11)  | \$ 2,889,204.00                     | \$ -      | \$ -                       | \$ 2,889,204.00 | \$ 326,373.03                       | \$ -                       | \$ 64,204.53      | \$ 390,577.56   | \$ 2,498,626.44 |

SCHEDULE S-11g  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - INTANGIBLE ASSETS  
 FOR THE PERIOD ENDED AUGUST 31, 2017

|   | Carrying Value August 31, 2017 |                                  |                          |                         |                               | Amortization             |                        |                         | Ending Total           | Net Basis |
|---|--------------------------------|----------------------------------|--------------------------|-------------------------|-------------------------------|--------------------------|------------------------|-------------------------|------------------------|-----------|
|   | Beginning Value Sept. 1, 2016  | Additions                        | Disposals & Adjustments  | Ending Value            | Beginning Total Sept. 1, 2016 | Disposals & Adjustments  | Annual Expense         | Ending Total            |                        |           |
| <b>NONAMORTIZABLE INTANGIBLE ASSETS</b>             |                                |                                  |                          |                         |                               |                          |                        |                         |                        |           |
| Perpetual Lease                                     |                                |                                  |                          |                         |                               |                          |                        |                         |                        |           |
| <b>TOTAL NONAMORTIZABLE INTANGIBLE ASSETS</b>       |                                |                                  |                          |                         |                               |                          |                        |                         |                        |           |
| <b>AMORTIZABLE INTANGIBLE ASSETS</b>                |                                |                                  |                          |                         |                               |                          |                        |                         |                        |           |
| Land Use Rights                                     |                                |                                  |                          |                         |                               |                          |                        |                         |                        |           |
| <b>TOTAL LAND USE RIGHTS</b>                        |                                |                                  |                          |                         |                               |                          |                        |                         |                        |           |
| Purchased Computer Software (307) (310)             | 14,748,716.45                  | 303,667.69 (1)<br>400,025.27 (2) | (1,199,239.15)           | 14,253,170.26           | 11,839,700.14                 | (1,170,869.39)           | 1,135,101.03           | 11,803,931.78           | 2,449,238.48           |           |
| Purchased Computer Software UTP                     | 2,713,923.75                   | 925,588.62 (2)                   |                          | 3,639,492.37            | 2,245,923.92                  |                          | 369,347.30             | 2,615,271.22            | 1,024,221.15           |           |
| <b>TOTAL PURCHASED COMPUTER SOFTWARE</b>            | <b>\$ 17,462,640.20</b>        | <b>\$ 1,629,251.58</b>           | <b>\$ (1,199,239.15)</b> | <b>\$ 17,882,662.63</b> | <b>\$ 14,085,624.06</b>       | <b>\$ (1,170,869.39)</b> | <b>\$ 1,504,448.33</b> | <b>\$ 14,419,203.00</b> | <b>\$ 3,473,459.63</b> |           |
| Internally Developed Computer Software (308)        | 15,515,675.12                  | 159,416.79 (3)<br>276,217.42 (4) |                          | 15,951,309.33           | 10,508,506.37                 |                          | 1,512,846.46           | 12,021,352.83           | 3,929,956.50           |           |
| <b>TOTAL INTERNALLY DEVELOPED COMPUTER SOFTWARE</b> | <b>\$ 15,515,675.12</b>        | <b>\$ 435,634.21</b>             | <b>\$ (1,199,239.15)</b> | <b>\$ 15,951,309.33</b> | <b>\$ 10,508,506.37</b>       | <b>\$ (1,170,869.39)</b> | <b>\$ 1,512,846.46</b> | <b>\$ 12,021,352.83</b> | <b>\$ 3,929,956.50</b> |           |
| <b>TOTAL AMORTIZABLE INTANGIBLE ASSETS</b>          | <b>\$ 32,978,315.32</b>        | <b>\$ 2,064,895.79</b>           | <b>\$ (1,199,239.15)</b> | <b>\$ 33,843,971.96</b> | <b>\$ 24,594,130.43</b>       | <b>\$ (1,170,869.39)</b> | <b>\$ 3,017,294.79</b> | <b>\$ 26,440,555.83</b> | <b>\$ 7,403,416.13</b> |           |
| <b>TOTAL INTANGIBLE ASSETS (Sched B-1)</b>          | <b>\$ 32,978,315.32</b>        | <b>\$ 2,064,895.79</b>           | <b>\$ (1,199,239.15)</b> | <b>\$ 33,843,971.96</b> | <b>\$ 24,594,130.43</b>       | <b>\$ (1,170,869.39)</b> | <b>\$ 3,017,294.79</b> | <b>\$ 26,440,555.83</b> | <b>\$ 7,403,416.13</b> |           |

Analysis of Additions and Adjustments:  
 (1) From Unexpended Plant Funds (S-8)  
 (2) From Designated Funds  
 (3) From Restricted Funds  
 (4) From Completion of Construction in Progress (S-11e)  
 Total